REGULAR MEETING

of the California Horse Racing Board (CHRB) will be held on Thursday, November 16, 2017, commencing at 9:30 a.m., at the Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under “Webcasts.”

AGENDA

Action Items:

1. Approval of the minutes of October 26, 2017.

2. Executive Director’s Report.

3. Public Comment: Communications, reports, requests for future actions of the Board. Note: Persons addressing the Board under this item will be restricted to three (3) minutes for their presentations.

4. Discussion and action by the Board regarding an update from Barretts on its 2018 sales schedule and its request for authorization of these sales pursuant to CHRB Rule 1807, Authorized Horse Sales.

5. Discussion and action by the Board regarding a presentation from the Arabian Racing Association of California (ARAC) on its proposal for encouraging participation and cooperation among all racing breeds in California.

6. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the Los Angeles Turf Club (T) at Santa Anita Park Race Track, commencing December 20, 2017 through June 26, 2018. (Note: Opening Day is December 26, 2017.)

7. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields, commencing December 20, 2017 through June 12, 2018. (Note: Opening Day is December 26, 2017.)

8. Discussion and action by the Board on the Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo, commencing December 26, 2017 through May 12, 2018, inclusive.
9. Discussion and action by the Board on the Application for License to Conduct Advance Deposit Wagering (ADW) of Game Play Network, Inc., as a California multi-jurisdictional wagering hub, for a period of up to two years.

10. Discussion and action by the Board regarding the proposed amendment to CHRB Rule 1467, Paymaster of Purses, to include trainer and jockey purse deductions, from any thoroughbred race conducted at a thoroughbred or fair race meeting, to be contributed to the California Retirement Management Account (CARMA), a charitable trust fund maintained by CARMA, a non-profit organization.

11. Public hearing and action by the Board regarding the proposed amendment to CHRB Rule 1685, Equipment Requirement, to change “whip” to “riding crop” and to require that riding crops used during training meet the same regulatory standards as riding crops used during the running of a race and CHRB Rule 1688, Use of Riding Crop, to require the provisions of the rule apply to training as well as racing. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)

12. Discussion and action by the Board regarding reconsideration of the 2018 northern California race dates due to a reported change in the calendar for the Sonoma County Fair.

13. Closed Session: For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.

   A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," and as authorized by Government Code section 11126(e).

   B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned “Pending Administrative Adjudications,” as authorized by Government Code section 11126(e).

   C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).
Notice of CHRB Meeting
November 3, 2017

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD
Chuck Winner, Chairman
Madeline Auerbach, Vice Chairman
Jesse H. Choper, Member
Fredric Maas, Member
Araceli Ruano, Member
Alex Solis, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director

Date of Notice: November 3, 2017
PROCEEDINGS of the Regular Meeting of the California Horse Racing Board held at the Santa Anita Park Race Track, 285 West Huntington Drive, Arcadia, California, on October 26, 2017.

Present: Chuck Winner, Chairman
Madeline Auerbach, Vice-Chairman
Alex Solis, Member
Fredric Maas, Member
Rick Baedeker, Executive Director
Jacqueline Wagner, Assistant Executive Director
John McDonough, General Counsel

APPROVAL OF THE MINUTES OF SEPTEMBER 28, 2017

Chairman Winner asked for approval of the minutes of the Regular Meeting of September 28, 2017. Commissioner Maas motioned to approve the minutes. Commissioner Solis seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Maas, Solis, Winner, Auerbach. Nay: None. Motion carried.

EXECUTIVE DIRECTOR’S REPORT.

Executive Director Rick Baedeker reported that at its September 28, 2017 Regular meeting the Board allocated 2018 race dates for Southern California thoroughbred and fair racing. The dates were allocated as follows: Los Angeles Turf Club (LATC) at Santa Anita December 20, 2017 through June 26, 2018; Los Alamitos Racing Association (LARA) at Los Alamitos June 27, 2018 through July 17, 2018; Del Mar Thoroughbred Club (DMTC) at Del Mar July 18, 2018 through September 4, 2018; Los Angeles County Fair (LACF) at Los Alamitos September 5, 2018 through September 25, 2018; LATC at Santa Anita fall meeting September 26, 2018 through November 6, 2018; DMTC fall meeting November 7, 2018 through December 4, 2018 and LARA at Los Alamitos December 5, 2018 through December 18, 2018. Executive Director Baedeker stated the
2017 handle for LACF decreased 0.3 percent compared to 2016. LACF had the same number of days and races in 2016 and 2017, with the average field size decreasing slightly from 7.05 horses to 6.93 horses. The average daily handle for the Oak Tree at Pleasanton race meeting increased 1.1 percent from 2016 to 2017. Oak Tree at Pleasanton’s out-of-state wagering handle was not as strong as in 2016, which was reflected in a 21.3 percent loss in handle overall. He said September 2017 daytime handle increased 3.85 percent and nighttime handle increased 9.4 percent with an overall gain of 4.4 percent compared to September 2016. He stated year-to-date daytime handle decreased 1.0 percent and nighttime handle increased 0.6 percent, with an overall loss of 0.8 percent over the same time in 2016; an improvement after the wet winter caused a reduction in handle earlier in 2017.

PUBLIC COMMENT

There were no public comments.

DISCUSSION BY THE BOARD OF THE DESCRIPTION OF HISTORICAL RACING MACHINES USED IN OTHER STATES AND THEIR FINANCIAL IMPACT ON CALIFORNIA RACING.

Chairman Winner stated California racing had a financial disadvantage compared to other racing jurisdictions in the United States because it was not subsidized. Stakeholders suggested options to subsidize racing including: Internet poker, exchange wagering and historical racing. He said the California racing industry should look into those possibilities. One option was historical racing machines, and Tom Aronson, Racing Resource Group, Inc., was prepared to make a presentation to the Board regarding the concept. Mr. Aronson stated he had an extensive background in legalized gaming, including as a former executive for Exacta Systems, Paramax, owned by the
Stronach Group, and Exacta Systems were the only two companies invested in historical racing. He said historical racing machines were electronic gaming systems that allowed customers to wager on previously-run horse races. Customers were given statistics prior to wagering, however the machines withheld race and horse identity until all wagers were placed. Customers could choose the sequence they believed the horses would finish, or choose a quick pick option allowing the machine to choose for them. The wagers placed through historical racing machines followed the same pari-mutuel regulations as any wager placed on a live race in the same jurisdiction. The customer would be provided with the results as well as replays of portions of the actual race or other ways to verify the legitimacy of the results after all wagers were placed. Mr. Aronson said historical racing machines could generate billions of dollars in handle for the California racing industry. He stated horse racing in the United States would generate about $10.7 billion in handle on live races in 2017. Handle from historical horse racing machines, which were legal in only four states, would exceed $1.3 billion in 2017. Kentucky used the handle generated from its historical racing machines to fund several interests including: purses, drug testing and equine education. He said a handout given to the Board provided historical racing machine data, including the jobs impact at Kentucky and the wagering increase on live racing in Wyoming. Chairman Winner stated one obstacle for allowing historical racing machines in California was the Constitution of the State of California (Constitution). The Constitution contained a definition for slot machine. The Constitution also provided language allowing the governor to enter into contracts with Indian tribes on tribal land to approve slot machines. He said historical racing machines may fall within the Constitution’s definition of a slot machine. This made the legality of historical racing machines debatable. Mr. Aronson said issues arose from each state’s statutes and constitutions, however in some states government officials were open to considering historic horse racing as a legal part of
Proceedings of the Regular Meeting of October 26, 2017

horse racing. These officials recognized a difference between a historical racing machine and slot machine, to the racing jurisdiction’s benefit. Chairman Winner stated the Constitution language was broad and should be looked into by anyone with an interest in legalizing historical racing machines. Any interested party could seek to amend the language if they deemed the historic racing machines illegal by the Constitution, though amending the Constitution may be too costly. Mr. Aronson said he would be happy to provide a response regarding the Constitution language once he looked into it.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS QUARTER HORSE RACING ASSOCIATION, FROM ITS 2016 RACE MEETING, IN THE AMOUNT OF $27,520 TO FIVE BENEFICIARIES.

Rick English, Los Alamitos Quarter Horse Racing Association (LAQHRA), stated LAQHRA requested approval to distribute race day charity proceeds to five beneficiaries in the amount of $27,520. Commissioner Maas motioned to approve the distribution of race day charity proceeds in the amount of $27,520 to five beneficiaries. Commissioner Solis seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Auerbach, Winner, Solis, Maas. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ALAMITOS RACING ASSOCIATION, FROM ITS 2016 RACE MEETING, IN THE AMOUNT OF $9,775 TO FOUR BENEFICIARIES.

Rick English, Los Alamitos Racing Association (LARA), requested approval to distribute race day charity proceeds of LARA in the amount of $9,775 to four beneficiaries. Vice-Chairman Auerbach motioned to approve the distribution of race day charity proceeds in the amount of $9,775 to four
beneficiaries. Commissioner Solis seconded the motion, which was unanimously carried. Roll
Call Vote: Aye: Auerbach, Winner, Solis, Maas. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB DBA SANTA ANITA PARK, FROM ITS 2016 SPRING RACE MEETING, IN THE AMOUNT OF $58,182 TO TEN BENEFICIARIES.

Eric Sindler, Los Angeles Turf Club (LATC), stated LATC requested approval to distribute race day charity proceeds to ten beneficiaries in the amount of $58,182. Commissioner Solis motioned to approve the distribution of race day charity proceeds in the amount of $58,182 to ten beneficiaries. Commissioner Maas seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Auerbach, Winner, Maas, Solis. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF RACE DAY CHARITY PROCEEDS OF THE LOS ANGELES TURF CLUB DBA SANTA ANITA PARK, FROM ITS 2017 WINTER/SPRING RACE MEETING, IN THE AMOUNT OF $159,214 TO TEN BENEFICIARIES.

Eric Sindler, Los Angeles Turf Club (LATC), requested approval to distribute race day charity proceeds of LATC in the amount of $159,214 to ten beneficiaries. Commissioner Maas motioned to approve the distribution of race day charity proceeds in the amount of $159,214 to ten beneficiaries. Commissioner Solis seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Auerbach, Winner, Solis, Maas. Nay: None. Motion Carried.
DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM BARETTS ON ITS 2018 SALES SCHEDULE AND ITS REQUEST FOR AUTHORIZATION OF THESE SALES PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES.

This item was moved to the November 2017 Regular Board meeting agenda.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1588, HORSE INELIGIBLE TO START IN A RACE, TO PROVIDE THAT A HORSE RECEIVES AN INTRA-ARTICULAR INJECTION (GLUCOCORTICOSTERIOD/CORTISONE) IS INELIGIBLE TO RACE FOR FIVE (5) DAYS (120 HOURS) AFTER THE TREATMENT AND THE PROPOSED ADDITION OF RULE 1842.1, ADDITIONAL REPORTING FOR INTRA-ARTICULAR TREATMENTS, TO REQUIRE VETERINARIANS ADMINISTERING MEDICATION OR TREATMENT INTO AN ARTICULAR STRUCTURE OF A HORSE WITHIN THE ENCLOSURE TO PROVIDE THE INTRA-ARTICULAR TREATMENT RECORD TO THE TRAINER, WHO SHALL MAINTAIN SUCH RECORDS OF THE TREATMENT FOR A MINIMUM OF ONE YEAR; AND WHO SHALL MAKE AVAILABLE SUCH RECORDS FOR THE PURPOSE OF ASSISTING WITH THE PRE-RACE VETERINARY EXAMINATION.

Dr. Rick Arthur, CHRB Equine Medical Director, stated ten years ago the Association of Racing Commissioners International appointed a committee to review pre-race examinations. The committee made two recommendations associated with the use of medications that obscured pre-race examinations: (1) reduce the level of nonsteroidal anti-inflammatories permitted and (2) reduce the presence of corticosteroids. The Kenneth L. Maddy Equine Analytical Chemistry Laboratory at UC Davis was used to set thresholds for corticosteroids, which had not been regulated in horse racing until 2014. The proposed amendment to CHRB Rule 1588, Horse Ineligible to Start in a Race, and the addition of Rule 1842.1, Additional Reporting for Intra-Articular Treatments, would provide that a horse that receives an intra-articular injection would be ineligible to race for five days. The five-day period was a compromise after discussions between staff, veterinarians and the California Thoroughbred Trainers (CTT) after the August 2017 Medication, Safety and Welfare Committee (Committee) Meeting. Dr. Arthur stated the
proposed amendment to Rule 1588 and addition of Rule 1842.1 were necessary for horse safety. Vice-Chairman Auerbach said the agreed-upon amount of time at the August 2017 Committee meeting was seven days of no racing, however after the meeting, CTT requested that the number of days be reduced to five. The proposed amendment was discussed candidly and was well-thought out, resulting in a compromise that suited everyone involved, but was still in the horse’s best interest. She said the proposed language would also protect jockeys. Vice-Chairman Auerbach stated she was very proud of the proposed amendment presented to the Board. Alan Balch, CTT, said he believed everyone involved agreed with the five days of no racing in the proposed language. He said the discussions did not get into the details of how veterinarians would report the use of the medications or treatments. Currently, any injections, including corticosteroids, were reported in the Veterinary Confidentials (Confidential), which was a different reporting system than the one veterinarians used for morning examinations of horses at the racetracks. The Confidential were not electronic and the proposed amendment included the requirement that intra-articular injections be reported on form CHRB-24A, Intra-Articular Treatment Record, a form filled out by veterinarians that trainers had to retain. CTT agreed that veterinarians needed the documentation; however, transferring the form to new owners had not been discussed in regards to claiming horses. Mr. Balch stated the CTT wanted the Confidential and CHRB-24A to be put into an electronic format for record keeping and sharing. The Confidential should include the information proposed to be collected on the form CHRB-24A. Once recorded electronically, a veterinarian could sort the information, and use the data for research. Executive Director Rick Baedeker, stated the Board was confident that the records would eventually be electronic; however, in the meantime, the Board needed to take every step it could to reduce the number of injuries. He said with the microchipping program, veterinarians would soon be able to instantly record data.
The CHRB-24A form was an old-fashioned way to keep a record, but it would be effective. Mr. Balch stated moving to electronic record sharing should be made a priority. He said the proposed amendment to Rule 1588 and addition of Rule 1842.1 should not be a way to stop electronic record sharing efforts. Vice-Chairman Auerbach stated the proposal was meant to regulate corticosteroid use in the meantime; not to delay the transition to electronic recordkeeping and reporting. The industry was getting closer to using electronic records now that horses were being identified with microchips. She said the proposed amendment would allow a veterinarian to examine a horse and know its history in regards to corticosteroid injections. Commissioner Solis said one veterinarian present at the meetings regarding the proposed language mentioned he stored records on his cellular phone and did not see the additional form as problematic; veterinarians were willing to use the paper version of the form CHRB-24A. Vice-Chairman Auerbach stated Paul Jones, a trainer at Los Alamitos Race Course, was also present for one of the discussions and said he maintained books where he could flip to a specific horse’s record and have all of its medical history stored together. She said she trusted the veterinarians within the industry to establish their own recordkeeping systems without instruction from the Board. Dr. Arthur said the New York Racing Association used an electronic system where trainers and veterinarians entered data from paper forms into electronic format. The Jockey Club was testing a system for electronic medical records, which made the data searchable. He said international standards required trainers to keep a record readily available for inspection of all treatments administered to horses under their care. Dr. Arthur stated it was difficult to put all the data from forms used in the industry into electronic format and get everyone involved in the same program so it could be searchable. Darrel Haire, Jockeys’ Guild (Guild) stated one-third of all falls were due to horse injuries, and those falls accounted for half of the jockeys’ injuries. The Guild preferred a regulation that did not allow cortisone use at all, but
supported the proposal, as it was a step in the right direction and would make racing safer. He said the Guild thanked the Board for looking out for jockey safety and wellbeing. Greg Avioli, Thoroughbred Owners of California (TOC) said TOC supported the proposal and CTT’s stance that the Board needed to move forward with electronic bookkeeping. Dr. Robert O’Neil, the Stronach Group (Stronach), stated Stronach supported the proposal, and urged the Board to expedite the move to electronic bookkeeping. Vice-Chairman Auerbach motioned to initiate a 45 day comment period regarding the proposed amendment to Rule 1588 and addition of Rule 1842.1. Commissioner Solis seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Maas, Solis, Winner, Auerbach. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE LOS ALAMITOS RACING ASSOCIATION (T), AT LOS ALAMITOS RACE COURSE, COMMENCING NOVEMBER 29, 2017 THROUGH DECEMBER 19, 2017, INCLUSIVE.

Executive Director Rick Baedeker stated the Los Alamitos Racing Association (LARA) application was complete; the items listed as outstanding on the staff analysis were received on an annual basis and were on file. Assistant Executive Director Jacqueline Wager said that was correct; the documents were on file. Commissioner Maas motioned to approve the LARA application to conduct a horse racing meeting commencing November 29, 2017 through December 19, 2017. Commissioner Solis seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Auerbach, Winner, Solis, Maas. Nay: None. Motion carried.
Chairman Winner stated the Race Dates Committee (Committee) held a meeting October 25, 2017. He said the Committee held numerous meetings regarding Northern California race dates with stakeholders, who were unable to agree with dates between themselves. New calendar options were presented at the October 2017 Committee meeting. The Committee made a recommendation and voted on dates. Executive Director Rick Baedeker stated the Northern California race dates for 2018 would be as follows: Pacific Racing Association (PRA) at Golden Gate Fields, December 26, 2017 through June 12, 2018; Oak Tree at Pleasanton, June 13, 2018 through July 10, 2018; California State Fair at Cal Expo, July 11, 2018 through July 24, 2018; Sonoma County Fair at Santa Rosa, July 25, 2018 through August 14, 2018; Humboldt County Fair at Ferndale, August 15, 2018 through August 28, 2018 and Fresno County Fair at Fresno, October 3, 2018 through October 16, 2018. PRA would have a summer meeting August 22, 2018 through October 2, 2018 and a fall-winter meeting October 17, 2018 through December 18, 2018. Chairman Winner said the Committee believed the dates were in the best interest of racing for various reasons. Vice-Chairman Auerbach stated it was difficult for the Committee to make the final determination since the stakeholders could not reach a compromise. Although the race dates would not please everyone, they were in the best interest of racing in California. Chairman Winner stated the Committee based the proposed race dates on financial, turf racing, fair factors and the cause and effect from the slight changes in allocated dates over the past several years. He said the fairs needed to be protected in the interest of California racing, despite the apparent lack of financial viability of some individual fairs.
DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF 2018 HARNESS RACE DATES.

Christopher Schick, Watch and Wager (WAW), requested approval for allocation of the WAW 2018 race meet dates at Cal Expo. He said the WAW harness race meeting would be held December 26, 2017 through May 12, 2018, and October 20, 2018 through December 23, 2018. Commissioner Solis motioned to approve the harness races dates beginning year 2018. Vice-Chairman Auerbach seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Auerbach, Winner, Solis, Maas.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF 2018 QUARTER HORSE RACE DATES.

Rick English, Los Alamitos Quarter Horse Racing Association (LAQHRA), stated LAQHRA requested December 29, 2017 through December 16, 2018 for a quarter horse race meet at Los Alamitos Race Course. Commissioner Maas motioned to approve the 2017 quarter horse race dates for Los Alamitos Quarter Horse Racing Association. Commissioner Solis seconded the motion, which was unanimously carried. Roll Call Vote: Aye: Auerbach, Winner, Solis, Maas. Nay: None. Motion Carried.

DISCUSSION AND ACTION BY THE BOARD REGARDING THE ALLOCATION OF 2018 NORTHERN CALIFORNIA RACE DATES.

Larry Swartzlander, California Authority of Racing Fairs (CARF), stated he wanted to provide a history of the fairs. Pleasanton first raced in 1858; Sacramento in 1861; Humboldt in 1896; and Fresno in 1884. He said Golden Gate Fields (GGF) established its first race on February 1, 1941; Bay Meadows on November 13, 1934 and closed on August 17, 2008. He stated in 1985 ten live
Proceedings of the Regular Meeting of October 26, 2017

racing fairs formed CARF. However, he wanted to make it clear that CARF was not just the live racing fairs, but included the simulcast facilities throughout California. He stated CARF was instrumental in establishing, improving, and maintaining the facilities, and CARF’s presence could be found in the live racing locations, as well as in all the simulcast facilities that supported racing throughout the state. He said CARF’s 2018 race dates proposal included maintaining the three-week race meet for the California State Fair (Cal Expo), Humboldt racing without overlap, and retaining the Oak Tree at Pleasanton Fall meet. He stated the intent of CARF’s proposal was to retain its race dates from 2017, and to increase its purse structure and stakes schedule. He said the fairs could not move forward and improve without increasing revenue. Mr. Swartzlander stated after reviewing the 2018 race dates proposed by the Race Dates Committee, the one area he still challenged was the two-week race meet proposed for the California State Fair. He said the 2017 State Fair was very successful, even with the worst heat he had experienced in 19 years, and there were no breakdowns. Rick Pickering, Cal Expo, stated in 2017 Cal Expo spent $1.7 million on marketing, and its grandstand was the newest and only grandstand in Northern California that was winterized to accommodate winter racing. Cal Expo also had a night lit course. He said Cal Expo was debt free, had invested more than $5 million in beneficial racing infrastructure projects, including $800,000 to renovate its barn area, and $110,000 to install a state-of-the-art inside racing rail. He stated there was roof damage to its racetrack grandstand due to last year’s winter storms. A contractor was currently repairing the roof, remodeling the turf club and main kitchen areas at an estimated cost of $2.9 million. Mr. Pickering said he was astounded by a proposal presented by the Stronach Group at the Race Date Committee meeting. Their proposal included taking all race dates from the fairs, except for three weeks in September. He stated a comment was made by the Stronach Group that if it could not get dates from the fairs, it would go out of business because
There is no board package material for Item 2
There is no board package material for Item 3
STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
AN UPDATE FROM BARRETTS ON ITS 2018 SALES SCHEDULE
AND
IT’S REQUEST FOR AUTHORIZATION OF THESE SALES
PURSUANT TO CHRB RULE 1807, AUTHORIZED HORSE SALES

Regular Board Meeting
November 16, 2017

ISSUE

Pursuant to Board Rule 1807, Authorized Horse Sales, Barretts has presented its sales schedule for January 2018 through January 2019, and has requested the Board’s authorization for the sales. The Barretts sales schedule is as follows:

- **January Mixed Sale**, Wednesday, January 17, 2018 at the Los Angeles County Fairgrounds, Pomona.
- **Spring 2-Year-Old Sale**, Wednesday, April 4, 2018 at Del Mar.
- **4th Annual Select Yearling Sale**, Tuesday, August 30, 2018 at Del Mar.
- **October Yearling & Horse of All Ages Sale**, Tuesday, October 18, 2018 at the Los Angeles County Fairgrounds, Pomona.
- **January Mixed Sale**, Wednesday, January 16, 2019 at the Los Angeles County Fairgrounds, Pomona.

BACKGROUND

Board Rule 1807, Authorized Horse Sales, provides that the Board may authorize a horse sale or horse auction sale for the sale of race horse or breeding stock that is used in the production of race horses, to be held on the premises of a racing association, and the authorization and approval of such horse sale or horse auction sale shall be upon such conditions as may be imposed by the Board.

RECOMMENDATION

This item is presented for Board discussion and action. A Barretts representative is prepared to address the Board regarding the Barretts sales schedule.
To the California Horse Racing Board,

This is the Barretts sales schedule for January 2018 – January 2019

**January Mixed Sale**
Location: LA County Fairgrounds, Pomona
Sale: Wednesday, January 17th
Sale time TBD
Sale includes breeding stock, yearlings, two-year-olds and horses of racing age.

**Spring 2-Year-Old Sale**
Location: Del Mar
Training Preview: Monday, April 2nd
Sale: Wednesday, April 4th.
Sale time: TBD
Sale of Two-Year-Olds in training

**7th Annual Paddock Sale**
Location: Del Mar
Preview: Friday, July 22nd after morning training
Sale: Saturday, July 23rd after the completion of the last race
This sale is for Race Ready horses. Unraced horses will be required to work at least 3/8ths in the training preview.

**4th Annual Select Yearling Sale**
Location: Del Mar
Sale: Tuesday, August 30th
Sale time TBD
Theses yearlings have been selected from inspections thorough out AZ, CA, TX & KY with the majority coming from CA
October Yearling & Horses of All Ages Sale
Location: LA County Fairgrounds, Pomona
Sale: Tuesday, October 18th
Sale time TBD
Sale includes yearling, horses of racing age as well as selected broodmares and weanlings.

January Mixed Sale
Location: LA Country Fairgrounds, Pomona
Sale: Wednesday, January 16th
Sale time : TBD
Sale includes breeding stock, yearlings, two-year-olds and horses of racing age.

Thank you,

Kim Lloyd
General Manager Barretts
STAFF ANALYSIS

DISCUSSION AND ACTION BY THE BOARD REGARDING A PRESENTATION FROM THE ARABIAN RACING ASSOCIATION OF CALIFORNIA (ARAC) ON ITS PROPOSAL FOR ENCOURAGING PARTICIPATION AND COOPERATION AMONG ALL RACING BREEDS IN CALIFORNIA.

Regular Board Meeting
November 16, 2017

BACKGROUND

Earlier this month, Michael Brown of the Arabian Racing Association of California (ARAC) requested that his organization be given the opportunity to present to the California Horse Racing Board (CHRB or Board) several proposals to address what they believe to be an unfortunate decline in the horse racing industry. As part of that request, ARAC also submitted a letter written by its Secretary, Dr. Cory Soltau, DVM (attached).

RECOMMENDATION

This item is presented for Board discussion and action. An ARAC representative is prepared to address the organization's proposals.
October 31, 2017

California Horse Racing Board
1010 Hurley Way Suite 100
Sacramento, CA 95825

Re: Horse Racing Issues in California

Gentlepersons,

The purpose of this letter is to express to the CHRB the sincere intent of the Arabian Racing Association of California (ARAC) to work with the Thoroughbred racing community and make a positive contribution to the health of horse racing in California.

Contrary to frequent comments that Arabian racing is in some way competing for purse money with the Thoroughbred and therefore having a negative effect; ARAC feels that including Arabian races will have a positive result for all.

ARAC is well aware of the challenges facing horseracing in California today. I read with great interest the two letters by TOC Chairman Nick Alexander dated May 31, 2017, and the in the Summer TOC Newsletter. The concerns he pointed out suggest truly dire consequences if field size does not increase. As he pointed out, there are factors difficult to control such as weather, track conditions, horse injuries and illness and the decline in foal crops which all contribute to declining field size. To the latter issue of declining foal crops, I can add, as an Equine Veterinarian and member of the Arabian Registry Commission, that all breeds in the United States are experiencing significant decreases in breeding and registration. It is particularly startling that Thoroughbred registrations have fallen from 33,885 in 2001 to just slightly over 22,500 in 2015. At this point I think we all can agree that horse racing in the United States is in crisis mode.
I am writing this letter to offer a suggestion which I feel will help increase field size. First, however, I want to support my suggestion with some statistics from racing participation at Golden Gate Fields from January 1, 2917, to June 18, 2017. During that period field size was as follows:

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These results add up to 5008 Starters in 781 races. That is an average of 6.41 starters per race. This reflects a decrease from 6.9 Starters per race in 2016.

Considering the above figures, I would suggest that the TOC work with ARAC to consider offering races to the Immerging breeds, especially the Arabians. Having one Arabian race would help fill the race card and would allow the Thoroughbred horses in the 3,4,5, and 6 horse fields to run in the larger remaining races and thus increase the handle, provide more exotic betting and thus be a win-win for both Thoroughbred and Arabian racing.

I have heard that some in the Thoroughbred community feel Arabian racing would pull purse money away from their races. I have not yet made that connection. In fact, for the reasons mentioned above, I feel the opposite is true. There is no argument that the Arabian races do not generate the handle of the Thoroughbred races. There are two obvious reasons for this. Early placement on the race card never generates the greater handles of the latter races, Arabian or Thoroughbred. Secondly, there is not the possibility of combining the Arabian Races with other races for Daily Double, the Pick races or other exotic wagering. Until they do, which I agree is unlikely, Arabian race handles will always appear...
considerably less.

I do believe that the benefits of moving the Thoroughbred horses from the small fields to create larger ones will far outweigh the effects of the smaller Arabian handles.

In closing, it is my belief that both the Thoroughbred and Arabian Racing communities can work together to sustain and hopefully improve and expand California Horse Racing.

Very truly yours,

CORY SOLTAU, DVM
Secretary, Arabian Racing Association of California
STAFF ANALYSIS
November 16, 2017


At its October 26, 2017 regular meeting, the Board deferred the Los Angeles Turf Club (LATC) race meet application until November 16, 2017, as the LATC application was incomplete. LATC had not provided its: race meet agreements with Thoroughbred Owners of California and California Thoroughbred Trainers, race-day furosemide administration agreement, letter of explanation, 2018 Advance Deposit Wagering (ADW) agreements required pursuant to Business and Professions Code section 19604, horsemen approval of ADW, and 2018 Vanning and Stabling Agreement.

The race-day furosemide agreement, 2018 Vanning and Stabling Agreement and ADW agreement with Xpressbet were submitted on October 31, 2017. At the time of printing of this Board package no other documents have been received from LATC.

LATC filed its application to conduct a thoroughbred horse racing meeting at Santa Anita.

- December 20, 2017 through June 26, 2018, or 102 days, 1 less day than 2017. Live racing will commence December 26, 2017 (Opening Day) through June 24, 2018. The association proposes to race a total of 832 to 1,145 races, or 8.15 to 11.22 races per day. In 2017 LATC raced 8.92 races per day with an average of 7.57 runners per race. The average daily purse for the 2017 race meeting was $498,089. The (estimated) average daily purse for this meet is $484,287.

- The race dates proposed are the dates the Board allocated.

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### December 2017

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• Racing 4 days per week, Thursday through Sunday with the exception of Tuesday, December 26, 2017 and Mondays January 1 and 15, February 19 and May 28 and no racing Thursdays April 12 and 19, 2017. Racing 7 to 9 races on weekdays, 9 to 12 races on weekends, opening day and holidays with the following exceptions: March 10, April 7, May 5, 18 and 19 and June 9 will have 9 to 14 races and May 4 will have 7 to 14 races.

• First post 1:00 p.m., weekdays, 12:30 p.m., weekends and holidays with the following exceptions:
  • Daily beginning Sunday March 11, 2018 through Thursday, May 3, 2018 – 1:00 p.m.
  • Tuesday, December 26, 2017 (Opening Day) – 12:00 p.m.
  • Thursday, December 28 – Monday, January 1, 2018 (Opening Week) – 12:30 p.m.
  • Saturday, January 27, 2018 (Cal Cup/Sunshine Millions Day) – 12:00 p.m.
  • Sunday, February 4, 2018 (Super Bowl Sunday) – 11:00 a.m.
  • Saturday, March 10, 2018 (Santa Anita Handicap) – 12:00 p.m.
  • Saturday, April 7, 2018 (Santa Anita Derby) – 12:00 p.m.
  • Saturday, May 5, 2018 (Kentucky Derby Day) – 11:00 a.m.
  • Saturday, May 19, 2018 (Preakness Day) – 11:00 a.m.
  • Saturday, June 9, 2018 (Belmont Stakes Day) – 11:00 a.m.

• Wagering program will use CHRB rules and ARCI rules.
  • Early wagering will be 8:30 a.m. to 10:00 a.m. each day.
  • Pick (n) contests – requests that Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests.
  • $1 Exacta on all eligible races.
  • $2 Rolling Daily Double beginning with race 1. Request 20% takeout.
  • $.50 Trifecta on all eligible races.
  • $.10 Superfecta on all eligible races.
  • $1 Pick 3.
  • $.50 Pick 4 on races two to five and last four races. No consolation; 100% carryover; Alternate runner provision.
  • $.50 Pick (n) Pool 5 on first five races; 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners; Alternate runner provision. Request 14% takeout.
• $2 - Pick 6 on last 6 live races each day – First share -70%; Second share – 15%; Third share – 15%.

• $1 Super High Five last race of the day; selecting the first five finishers in the race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover to next race day.

• Specific changes from the 2017 license application:
  • The dirt track cross slope in the straight-aways increased from 2% to 3% and in the turn from 5.75% to 5.00% on the track.
  • Reserved seating increased from $5 to $10.
  • Club House Admission (weekdays) decreased from $10 to free.
  • New $100 Annual Admission Passes (general).
  • New $150 Annual Admission Passes (Club House).
  • New $3 large programs (on-track and off-track).

• The ADW providers are Xpressbet, TwinSpires, TVG, Bet America and NYRABets.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.
• The ADW provider must be licensed by the Board.
• A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
• The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.
• The ADW provider must be licensed by the Board.
• There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:
• A copy of the wagering agreement between NYRABets and Monarch Content Management Tracks has been provided to CHRB.

• Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.

• Request that Jennifer Paige be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.
• Track safety inspection has been requested and will be completed before the race meeting begins.

• Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:
1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Letter of explanation regarding status of outstanding items.
4. Pertinent 2018 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Los Angeles Turf Club designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
5. Horsemen approval of Advance Deposit Wagering.

Specific information which will need **updating** during the proposed race dates:
2. California Emergency Medical Services Authority certification for Mercy ambulance (expires June 11, 2018).

**RECOMMENDATION:**

Staff recommends that the application not be heard at the November 16, 2017 Regular Board Meeting.
Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Los Angeles Turf Club, Incorporated 285 W. Huntington Drive Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565 frank.demarco@santaanita.com

B. Breed of horse: TB ☒ QH ☐ H ☐

C. Racetrack name: Santa Anita Park

D. Attach a certified check payable to the Treasurer of the State of California in the amount of $10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

   Yes ☒ No ☐

   If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars ($100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The $100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.
2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 20, 2017 through June 26, 2018

B. Actual dates racing will be held:

2017
December 26, 29, 30 and 31 (4 days)
January 1, 5, 6, 7, 11, 12, 13, 14, 15, 19, 20, 21, 25, 26, 27, and 28 (16 days)
February 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 19, 23, 24, and 25 (16 days)
March 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 22, 23, 24, 25, 29, 30, and 31 (19 days)
April 1, 5, 6, 7, 8, 13, 14, 15, 20, 21, 22, 26, 27, 28, and 29 (15 days)
May 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26, 27, and 28 (17 days)
June 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, and 24 (15 days)

2018
January 1, 5, 6, 7, 11, 12, 13, 14, 15, 19, 20, 21, 25, 26, 27, and 28 (16 days)
February 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 19, 23, 24, and 25 (16 days)
March 1, 2, 3, 4, 8, 9, 10, 11, 15, 16, 17, 18, 22, 23, 24, 25, 29, 30, and 31 (19 days)
April 1, 5, 6, 7, 8, 13, 14, 15, 20, 21, 22, 26, 27, 28, and 29 (15 days)
May 3, 4, 5, 6, 10, 11, 12, 13, 17, 18, 19, 20, 24, 25, 26, 27, and 28 (17 days)
June 1, 2, 3, 7, 8, 9, 10, 14, 15, 16, 17, 21, 22, 23, and 24 (15 days)

C. Total number of days or nights of racing: 102

D. Days or nights of the week races will be held:

[ ] Wed - Sun [ ] Tues - Sat [X] Other (specify)

Racing scheduled Thursday through Sunday weekly.
Exceptions: Racing Tuesday, December 26, 2017
Monday, January 1, 2018
Monday, January 15, 2018
Monday, February 19, 2018
Monday, May 28, 2018

[ ] Dark
Thursday, December 28, 2017
Thursday, January 4, 2018
Thursday, January 18, 2018
Thursday, February 22, 2018
Thursday April 12, 2018
Thursday, April 19, 2018
Thursday, May 31, 2018

E. Number of days or nights of racing per week: 4 days per week

Exceptions: 3 days, Friday, April 13 – 15, 2018
3 days, Friday, April 20 – 22, 2018

3. RACING PROGRAM

A. Total number of races: Estimated between 832 and 1,145

B. Number of races for each day or night:

- 7 – 9 races weekdays
- 9 – 12 races opening day, weekends and holidays

Exceptions:
- December 26, 2017 – between 9 and 12 races
- March 10, 2018 – between 9 and 14 races
- April 7, 2018 – between 9 and 14 races
- May 4, 2018 – between 7 and 14 races
- May 5, 2018 – between 9 and 14 races
- May 18, 2018 – between 9 and 14 races
- May 19, 2018 – between 9 and 14 races
- June 9, 2018 – between 9 and 14 races
C. Total number of stakes races: 80

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes).

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted.

**Attached Pending Stakes Schedule Exhibit 3D.1.2.3**

E. Will provisions be made for owners and trainers to use their own registered colors?

- Yes
- No

If no, what racing colors are to be used:

F. List all post times for the daily racing program:

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<th>Weekends &amp; Holidays</th>
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**Exceptions:**

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<td>Opening Week</td>
<td>Thursday, December 28 – Monday, January 1, 2018</td>
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<td>Cal Cup/Sunshine Millions Day</td>
<td>Saturday, January 27, 2018</td>
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<td>Super Bowl Sunday</td>
<td>February 4, 2018</td>
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<td>Santa Anita Handicap</td>
<td>Saturday, March 10, 2018</td>
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<td>Saturday, May 5, 2018**</td>
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<td>11:00 AM</td>
<td>Preakness Day</td>
<td>Saturday, May 19, 2018**</td>
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<td>11:00 AM</td>
<td>Belmont Stakes Day</td>
<td>Saturday, June 9, 2018**</td>
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Any race card with more than 12 race, license will seek board approval with respect to post times


*** Post time subject to change with reasonable advance notice to the board.
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<th>Run Date</th>
<th>Race</th>
<th>Age</th>
<th>Distance</th>
<th>2015-16 Purse</th>
<th>2016-17 Purse</th>
<th>2017-18 Purse</th>
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<td>Distance</td>
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*Pending TOC & CTBA approval:

Arabian Race - Saturday, March 31

*** ALL RACES ARE GUARANTEED unless indicated
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<tr>
<th>Run Date</th>
<th>Race</th>
<th>Age</th>
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*Pending TOC & CTBA approval

The American and Lennyfrommalibu stakes have been dropped because of the shorter meet.
NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: [X] Corporation (complete subsection C)
   [ ] LLC (complete subsection D)
   [ ] Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.  
   Addendum A on file

C. CORPORATION

1. Registered name of the corporation: Los Angeles Turf Club, Incorporated
2. State where incorporated: California
3. Registry or file number for the corporation: 481360
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
   Keith Brackpool – Chairman (Officer), 0 Shares
   Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
   Mike Rogers – Vice President, Operations (Officer and Director), 0 Shares
   Scott Daruty – Vice President (Officer), 0 Shares
   Jane Lynn – Assistant Secretary (Officer and Director), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: TSG Developments Investments Inc., 100 Shares

6. Number of outstanding shares in the corporation: 100 Shares
7. Are the shares listed for public trading? [ ] Yes [X] No
   If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Jane Lynn, General Counsel in Toronto, Canada

   Email: jane.lynn@stronachgroup.com

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: TSG Developments Investments Inc.
   A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
      If yes, answer questions 10-17. [ ] Yes [X] No

10. Registered name of the corporation: Not applicable
11. State where incorporated: Not applicable
12. Registry or file number for the corporation: Not applicable
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **On file with the board**
15. Number of outstanding shares in the corporation: **Not applicable**
16. Are the shares listed for public trading? **Not applicable** ☐ Yes ☐ No If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

**D. LLC**

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
5. Are the shares listed for public trading? Yes ☐ No ☐ If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
   A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes ☐ No ☐
7. Registered name of the LLC/Corporation:
8. State where articles of organization are filed:
9. Registry or file number for the LLC:
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each:
11. Are the shares listed for public trading? Yes ☐ No ☐ If yes, on what exchange and how the stock is listed:

**E. OTHER Not applicable**

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

**F. FINANCIAL INFORMATION** *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
   - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
   - Statement of Comprehensive Income: also referred to as Profit and Loss (“P&L”) Statement. Statement should include report on applicant’s income, expenses, and profits.
• Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
• Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
• Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
• Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Financials will be submitted directly to the Board.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool – Chairman
Scott Daruty – Vice President
Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Rick Hammerle – Vice President, Racing and Racing Secretary
Nate Newby – Vice President, Marketing
Amy Zimmerman – Vice President Business Coordination and Director of Broadcasting

Candace Coder-Chew – Director of Print and Graphics
Dan Cirimele – Senior Director of Marketing
Mark DiMartino – Director of Food and Beverage
Randy Hartzell – Director of Pari-Mutuels
Rebecca Neimark – Controller
Lou Scalera – Chief of Security
Pete Siberell – Director of Community Service and Special Projects
Jason Spetnegal – Director of Facilities & Grounds
Mike Willman – Director of Publicity and Public Relations
Nina Zukin – Director of Information Services
Jay Cohen – Hornblower
Michael Wrona – Public Address Commentator/Announcer

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

Frank DeMarco, Jr. – Vice President Regulatory Affairs and Secretary
Los Angeles Turf Club, Incorporated
285 W. Huntington Drive
Arcadia, CA 91007 Phone (626) 574-7223 Fax (626) 446-9565
Email: frank.demarco@santaanita.com
5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

   Yes [X]   No

   Wager(s) to be adjusted: Pick 5

   Proposed percentage: 14%

   Percentage: 20%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

   NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

<table>
<thead>
<tr>
<th>Year</th>
<th>Handle</th>
<th>Attendance</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016-2017</td>
<td>$1,080,109,113</td>
<td>827,068</td>
</tr>
<tr>
<td>2015-2016</td>
<td>$1,039,922,803</td>
<td>782,611</td>
</tr>
<tr>
<td>2014-2015</td>
<td>$1,129,468,228</td>
<td>799,228</td>
</tr>
<tr>
<td>2013-2014</td>
<td>$1,099,222,508</td>
<td>750,548</td>
</tr>
<tr>
<td>2012-2013</td>
<td>$655,876,049</td>
<td>535,801</td>
</tr>
</tbody>
</table>

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution:

1. All races other than stakes:
   Current meet estimate: $27,591,467
   Prior meet actual: $28,673,254

   Average Daily Purse (7 A1 ÷ number of days):
   Current meet estimate: $270,504
   Prior meet actual: $278,381

2. Overnight stakes:
   Current meet estimate: $1,800,000
   Prior meet actual: $1,800,000
September 21, 2017

Ms. Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Ms. Wagner:

Pursuant to California Business and Professions Code Section 19601.01, Los Angeles Turf Club, Incorporated ("LATC"), after consultation with the Thoroughbred Owners of California ("TOC"), is hereby requesting takeout, as set forth below, to be offered during LATC’s 2017 Winter/Spring Meet, December 20, 2017 through June 26, 2018.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.
- Players Pick-5 – A $0.50 minimum wager on the first five (5) races on each day’s card subject to a takeout rate of 14%.

Very truly yours,

Frank DeMarco, Jr.  
Vice President Regulatory Affairs & Secretary

FDM:sm

cc: Joe Morris
September 26, 2017

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA  95825  

RE:  Santa Anita (LATC) 2017-18 Winter-Spring Race Meet  

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 20, 2017 through June 26, 2018. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,  

Greg Avioli  
President & CEO  

cc:  Eric Sindler  
     Tom Varela  
     Mary Saenz
September 26, 2017

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Santa Anita (LATC) 2017-18 Winter-Spring Race Meet

Dear Ms. Wagner,

Los Angeles Turf Club (LATC) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring race meet, December 20, 2017 through June 26, 2018. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Santa Anita’s previous meet.

Please feel free to contact me with any questions.

Sincerely,

Greg Avioli
President & CEO

cc: Eric Sindler
    Tom Varela
    Mary Saenz
Average Daily Purse ($7A2 \div \text{number of days)}:
Current meet estimate: $17,647
Prior meet actual: $17,476

3. Non-overnight stakes:
Current meet estimate: $14,019,425
Prior meet actual: $14,619,425

Average Daily Purse ($7A3 \div \text{number of days)}:
Current meet estimate: $137,445
Prior meet actual: $141,936

4. Total Purses: ($7A1+7A2+7A3)
Current meet estimate: $43,410,892
Prior meet actual: $45,092,679

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
Current meet estimate: 18.0%
Prior meet actual: 18.5%

Average Daily Purse ($7B1 \div \text{number of days)}:
Current meet estimate: $0.19
Prior meet actual: $0.18

C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
Current meet estimate: $4,767,725
Prior meet actual: $4,942,784

D. Payment to each recognized horsemen’s organization contracting with the association and the name(s) of the organization(s):

<table>
<thead>
<tr>
<th>Recognized Horsemen’s Organization</th>
<th>Current meet estimate</th>
<th>Prior meet actual</th>
</tr>
</thead>
<tbody>
<tr>
<td>NTRA</td>
<td>57,100</td>
<td>59,443</td>
</tr>
<tr>
<td>TOC</td>
<td>464,612</td>
<td>483,339</td>
</tr>
<tr>
<td>CTT Pension</td>
<td>464,612</td>
<td>483,339</td>
</tr>
<tr>
<td>CTT Admin.</td>
<td>232,306</td>
<td>241,669</td>
</tr>
<tr>
<td>Total</td>
<td>$1,218,629</td>
<td>Total $1,267,790</td>
</tr>
</tbody>
</table>

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen ($7A+7C+7D):
Current meet estimate: $49,397,246
Prior meet actual: $51,303,253

Average Daily Purse ($E \div \text{number of days)}:
Current meet estimate: $484,287
Prior meet actual: $498,089
### F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):

<table>
<thead>
<tr>
<th></th>
<th>Current meet estimate</th>
<th>Prior meet actual</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$19,822,000</td>
<td>$20,165,265</td>
</tr>
</tbody>
</table>

**Average Daily Purse (7 F ÷ number of days):**

<table>
<thead>
<tr>
<th></th>
<th>Current meet estimate</th>
<th>Prior meet actual</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$194,333</td>
<td>$195,779</td>
</tr>
</tbody>
</table>

### G. Purse funds to be generated from interstate handle:

<table>
<thead>
<tr>
<th></th>
<th>Current meet estimate</th>
<th>Prior meet actual</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$24,888,942</td>
<td>$26,376,390</td>
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</tbody>
</table>

**Average Daily Purse (7 G ÷ number of days):**

<table>
<thead>
<tr>
<th></th>
<th>Current meet estimate</th>
<th>Prior meet actual</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$244,009</td>
<td>$256,081</td>
</tr>
</tbody>
</table>

### H. Bank and account number for the Paymaster of Purses' purse account:

Wells Fargo Regional Commercial Bank, West Covina Office, paid through Paymaster of Purses Account #On File

### I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Bowen, McBeth Co., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730  
Phone: (909) 944-6465  
Email: craigbmiller@verizon.net

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

### 8. STABLE ACCOMMODATIONS

A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,950**

B. Minimum number of stalls believed necessary for the meeting: **1,853**

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,345**
D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:
   - Los Alamitos – 850
   - SLR Training Center – 495

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **Will be forwarded to the board upon receipt.**

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,950**

G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **Estimated $15.53 per day, per stall**

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
   - Los Alamitos $180 RT
   - SLR Training Center $360 RT

9. **EQUINE EMERGENCY SERVICES**

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites:
   **There is a rotation of private veterinarian’s onsite during training hours and during racing hours.**

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites.
   **There is a rotation of private veterinarian’s onsite during training hours and during racing hours.**

10. **PARI-MUTUEL WAGERING PROGRAM**

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

   Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

<table>
<thead>
<tr>
<th>TYPE OF WAGERS</th>
<th>APPLICABLE RULES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Race:</td>
<td>$1 E; $1 Double</td>
</tr>
<tr>
<td></td>
<td>CHRB #1959; RCI #VE</td>
</tr>
</tbody>
</table>

See Attached for wagering menu, bet menu, bet minimum, rules, and additional wagering information.
### Santa Anita Park Wagering Menu and Information

#### Wager Rule Minimum Wager Amount and other Information

<table>
<thead>
<tr>
<th>W/P/S</th>
<th>Exacta</th>
<th>Trifecta</th>
<th>Superfecta</th>
<th>DD</th>
<th>Pick 3</th>
<th>PNP 4</th>
<th>PNP 5</th>
<th>PNP 6</th>
<th>Pentafecta</th>
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<tbody>
<tr>
<td>1</td>
<td>X</td>
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</tbody>
</table>

*When applicable

<table>
<thead>
<tr>
<th>Wager</th>
<th>Rule</th>
<th>Minimum Wager Amount and other Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exacta</td>
<td>CHRB 1959</td>
<td>$1 Exacta on all eligible races.</td>
</tr>
<tr>
<td>Trifecta</td>
<td>CHRB 1979</td>
<td>$.50 Trifecta on all eligible races.</td>
</tr>
<tr>
<td>Superfecta</td>
<td>CHRB 1979.1</td>
<td>$.10 Superfecta on all eligible races.</td>
</tr>
<tr>
<td>Daily Double (DD)</td>
<td>CHRB 1957</td>
<td>$2 Daily Double.</td>
</tr>
<tr>
<td>Pick 3</td>
<td>CHRB 1977</td>
<td>$1 Pick 3.</td>
</tr>
<tr>
<td>PNP4 (Pick 4)</td>
<td>CHRB 1976.9</td>
<td>$.50 Pick 4 on races 2-5 and last four races; No consolation; 100% payout; Alternate runner provision.</td>
</tr>
<tr>
<td>PNP5 (Pick 5)</td>
<td>CHRB 1976.9</td>
<td>$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.</td>
</tr>
<tr>
<td>PNP 6 (Pick 6)</td>
<td>ARCI 004-105(G)(2)(i); ARCI 004-105(G)(16)(b)(i)</td>
<td>$2 Pick 6 on the last six live races each day: First share – 70%; Second share – 15%; Third share – 15%.</td>
</tr>
<tr>
<td>Pentafecta (Super Hi-5)</td>
<td>ARCI 004-105(X)(4)</td>
<td>$1 Super High 5 last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race day.</td>
</tr>
</tbody>
</table>

1 We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests in the event their selected wagering interest is scratched.
September 26, 2017

Rick Baedeker  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Pick 6 Payout on Mandatory Payout Days and on Days with a Late Surface Change

Rick,

As specified in Santa Anita Park’s 2017-18 winter/spring race meet license application (license commencing December 20, 2017 and continuing through June 20, 2018) we will be using ARCI 004-105(G)(2)(i) to govern the payout of our Pick 6.

**Mandatory Payout Days**

Pursuant to ARCI 004-105(G)(12), Santa Anita Park is requesting that on any mandatory distribution payout date which is approved by the California Horse Racing Board (“CHRB”) during this license period, the following payout distribution method be used:

1. The payout distribution method, with all three shares being paid, as specified in ARCI 004-105(G)(2)(i); provided, however,

2. In the event that on such mandatory distribution payout date there is no unique winning ticket, that day’s unique share (i.e. third share), as well as an applicable carryover, be paid to ticket holders who correctly selected the winner in each of the races included in the Pick 6; provided further that,

3. In the event that on such mandatory distribution payout date there is no ticket which correctly selected the winner in each of the Pick 6 races, that day’s first share, and any carryover, and that day’s third share, and any applicable carryover, be paid to the ticket holders who correctly selected the winner of the greatest number of races included in the Pick 6.

In order to avoid any doubt, on any approved mandatory distribution payout date, the second share (i.e. 5 of 6) will be paid out like it is on all other days of the meet.

**Late Surface Change**

Pursuant to ARCI 004-105(G)(6)-(7), on any day in which there is a surface change after the Pick 6 pool closes, “the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such
distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.”

Santa Anita Park requests that on any day in which there is a surface change after the Pick 6 pool has closed, with respect to the race(s) with a changed surface, the changed leg(s), i.e. the race(s) that had the surface change, are declared a “Win All,” not a “no contest.”

Santa Anita Park also requests that on any day in which there is a surface change after the Pick 6 pool has closed, the following payout distribution method be used:

1. The payout distribution method as provided for in ARCI 004-105(G)(2)(i); provided, however,

2. If there is no unique winning ticket that day, that day’s unique share (i.e. third share) will be added to the day’s first share.

In other words, Santa Anita Park is requesting that on any day in which there is a surface change after the Pick 6 pool has closed, the payout will be treated the same way it is on all other days during the license period if there is a unique winning ticket. If there is no unique winning ticket, the day’s unique share (i.e. third share) will be added to the first share and will either be paid to the ticketholders that correctly selected the winner in each of the races included in the Pick 6 or if there is no such ticket, it would then be added to the first share’s carryover. On days in which there is a surface change after the Pick 6 pool has closed, the unique jackpot carryover (i.e. third share carryover) can be paid out, however, it cannot grow.

In order to avoid any doubt, on any day in which there is a surface change after the Pick 6 pool closes, the second share (i.e. 5 of 6) will be paid out like it is on all other days of the meet.

If there is a surface change after the Pick 6 pool closes on a mandatory payout date, the mandatory payout date rules would control with respect to payout distributions.

Santa Anita Park request these modifications be approved as part of its license application.

Sincerely,

Joe Morris

cc: Jackie Wagner
    Phil Laird
    Scott Daruty
B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program.

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool:

Unlimited; final distribution June 24, 2018

D. List any options requested with regard to exotic wagering: Not applicable

E. Will "advance" or "early bird" wagering be offered? Yes  No

If yes, when will such wagering begin? Specify days and time for “early bird” wagering:

Each race day from 8:30am to 10:00am

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:

Keith Johnson
AmTote International Inc. Expires August 21, 2020
11200 Pepper Road
Hunt Valley, MD Phone: (800) 345-1566

G. List below the takeout percentage for each type of wager identified in 10.A.:

<table>
<thead>
<tr>
<th>TAKEOUT PERCENTAGE</th>
<th>W/P/S</th>
<th>DD</th>
<th>E</th>
<th>PNP5</th>
<th>TRI; SF; PK3; PK4; PNP6; SuperH5</th>
</tr>
</thead>
<tbody>
<tr>
<td>15.43%</td>
<td></td>
<td></td>
<td></td>
<td>14%</td>
<td></td>
</tr>
<tr>
<td>20.00%</td>
<td></td>
<td></td>
<td></td>
<td>15%</td>
<td></td>
</tr>
<tr>
<td>22.68%</td>
<td></td>
<td></td>
<td></td>
<td>20%</td>
<td></td>
</tr>
<tr>
<td>14.00%</td>
<td></td>
<td></td>
<td></td>
<td>22%</td>
<td></td>
</tr>
<tr>
<td>23.68%</td>
<td></td>
<td></td>
<td></td>
<td>23%</td>
<td></td>
</tr>
</tbody>
</table>

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:

Xpressbet
Twinspires
TVG
NYRABets
Bet America

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

To be provided to the board.

C. Have the contract/agreements been approved by the respective horsemen’s groups?

Yes  No  X

If yes, attach a copy of the approval.
If no, explain the status of the approval. To be provided to the board upon receipt.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks...
of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
   Southern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. The SCOTWINC agreement between LATC and SCOTWINC on file with the Board.

C. California simulcast facilities the association proposes to offer its live audiovisual signal:
   Exhibit 12C/F

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
   Exhibit 12D/E

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:
   Exhibit 12D/E

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:
   Exhibit 12C/F

G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

   Arlington
   Assiniboia
   Australia Racing
   Canterbury
   Churchill Downs
   Delaware Park
   Delta Downs
   Ellis Park
   Emerald
   Evangeline
   Fort Erie
   Gulfstream
   Hastings Park
   Indiana Downs
   Keeneland
   Laurel
   Lone Star
   Louisiana Downs
   Mahoning Valley
   Monmouth
   Mountaineer Park
   Northlands
   NYRA - Aqueduct, Belmont, Saratoga
   Parx (Philly Park)
   Penn National
   Pimlico
   Portland Meadows
   Prairie Meadows
   Presque Isle
   River Downs
   South America Racing
   Suffolk Downs
   Sunray Park
   Tampa Bay
   Thistledown
   Turf Paradise
   Turfway Park
   United Kingdom
   Woodbine

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair...
SOUTHERN CALIFORNIA

Cabazon Fantasy Springs Casino
84-245 Indio Springs Dr.
Indio, CA 92201

Surfside Race Place at Del Mar
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Hollywood Park
3883 W. Century
Inglewood, CA 90303

Sports Pavilion at The Farmer's Fair
18700 Lake Perris Dr.
Perris, CA 92571

Watch & Wager, Antelope Valley Fairgrounds
2551 West Ave. H
Lancaster, CA 93536

Santa Anita Park
285 W. Huntington Dr.
Arcadia, CA 91007

Sports Center at National Orange Show
930 S. Arrowhead Ave.
San Bernardino, CA 92408

Fairplex Park (Finish Line Grill)
2201 W. White Ave.
Pomona, CA 91768

The Derby Club, Seaside Park (Ventura Fair)
10 West Harbor Blvd.
Ventura, CA 93001

Sports Pavilion San Bernardino Co. Fair
14800 7th St.
Victorville, CA 92392

Viejas Casino & Turf Club
5000 Willows Rd.
Alpine, CA 91901

Commerce Casino (mini-satellite)
6131 E. Telegraph Rd.
Commerce, CA 90040

OC Tavern Grill & Sports Bar (mini-satellite)
2369 S. El Camino Real
San Clemente, CA 92672

Ocean's Eleven Casino (mini-satellite)
121 Brooks Street
Oceanside, CA 92054

Original Roadhouse Grill (mini-satellite)
1423 S. Bradley Rd.
Santa Maria, CA 93454

Sammy's (mini-satellite)
23221 Lake Center Drive
Lake Forest, CA 92630

Santa Clarita Lanes (mini-satellite)
21615 Soledad Canyon Road
Saugus, CA 91350

Tilted Kilt (mini-satellite)
1345 Thousand Oaks Blvd.
Thousand Oaks, CA 91362

Striders San Diego (mini-satellite)
100 Harbor Drive
San Diego, CA 92101

Firehouse (mini-satellite)
7701 White Ave.
Bakersfield, CA 93313

Lake Elsinore Hotel & Casino (mini-satellite)
20930 Malaga Road
Lake Elsinore, CA 92530

Race & Sports Authority (mini-satellite)
4110 Bonita Road
Bonita, CA 91902

Pechanga Casino (mini-satellite)
4500 Pechanga Pkwy.
Temecula, CA 92592
NORTHERN CALIFORNIA

Big Fresno Fair
1121 Chance Ave.
Fresno, CA 93702

Solano County Fair
900 Fairgrounds Dr.
Vallejo, CA 94589

Monterey County Fair
2004 Fairgrounds Rd.
Monterey, CA 93940

Golden Gate Fields
1101 Eastshore Highway
Berkley, CA 94710

Fresno Club One
1033 Van Ness Ave.
Fresno, CA 93721

Alameda County Fair
4501 Pleasanton Ave.
Pleasanton, CA 94586

California State Fair & Exposition
1600 Exposition Blvd.
Sacramento, CA 95815

The Jockey Club at San Mateo
2495 S. Delaware St.
San Mateo, CA 94403

Santa Clara County Fair
344 Tully Rd.
San Jose, CA 95111

Sonoma County Fair
1350 Bennett Valley Rd.
Santa Rosa, CA 95402

San Joaquin County Fair
1658 South Airport Way
Stockton, CA 95206
### 2017-18 Santa Anita Commingled Locations

<table>
<thead>
<tr>
<th>Location</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>AmiWest Entertainment</td>
<td>Evangeline Downs</td>
</tr>
<tr>
<td>Armwest Accounts</td>
<td>Fair Grounds</td>
</tr>
<tr>
<td>Greenbrier (WV)</td>
<td>Fair Grounds ADW</td>
</tr>
<tr>
<td>Cour D'Alene Casino (ID)</td>
<td>Feathardows</td>
</tr>
<tr>
<td>Triple Crown (SD)</td>
<td>Favorites at Gloucester</td>
</tr>
<tr>
<td>Arapaho-Mile High</td>
<td>Favorites at Vineyard</td>
</tr>
<tr>
<td>Arinna Race Club</td>
<td>Finger Lakes</td>
</tr>
<tr>
<td>Arlington I</td>
<td>Finger Lakes ADW</td>
</tr>
<tr>
<td>Bangor Raceway</td>
<td>Foneer Park</td>
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<tr>
<td>Batavia</td>
<td>Freerhold</td>
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<tr>
<td>Belterra Park</td>
<td>Gillespie County Fair</td>
</tr>
<tr>
<td>Better Racing</td>
<td>Global Wagering Group</td>
</tr>
<tr>
<td>Birmingham Greyhound</td>
<td>Global Wagering Solutions</td>
</tr>
<tr>
<td>Borgata Casino</td>
<td>Betsson, OneXTwo, German Tote</td>
</tr>
<tr>
<td>Buffalo Raceway</td>
<td>Racebets, Sportech-Netherlands, SBO</td>
</tr>
<tr>
<td>Canterbury Park</td>
<td>Magna Bet, Pferdewetten, Dortmund</td>
</tr>
<tr>
<td>Capital District OTB</td>
<td>AHDL, Stylesports, Ladbrokes Australia</td>
</tr>
<tr>
<td>Catskill OTB</td>
<td>Greentrack</td>
</tr>
<tr>
<td>Charles Town Race Course</td>
<td>Greyhound at Poit Falls</td>
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<tr>
<td>Chester Downs (Harrah's Philly)</td>
<td>Gulf Greyhound</td>
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<tr>
<td>Churchill Downs</td>
<td>Gulfstream Park</td>
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<tr>
<td>Codere (Brazil)</td>
<td>Horsemen's Park</td>
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<tr>
<td>Columbus Raceway</td>
<td>Harrington/ADW (DE regional)</td>
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<tr>
<td>Connecticut OTB</td>
<td>Hawthorne Race Course</td>
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<td>Connecticut OTB ADW</td>
<td>Clariksville/Evansville OTB</td>
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<td>Intermountain Racing</td>
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<td>Paragon Casino</td>
<td>Keeneland</td>
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<tr>
<td>Ho-Chick Casino</td>
<td>Keeneland Select ADW</td>
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<td>Mohegan Sun Casino</td>
<td>Kentucky Downs</td>
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<td>Oneida Bingo and Casino</td>
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<td>Pony Bar Simulcast Center</td>
<td>Lewiston OTB</td>
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<td>Tote Investment Racing</td>
<td>Lies Games</td>
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<td>Randall James Racetrack</td>
<td>Chips Lounge/Rumoros OTB</td>
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<td>Millionaire Racing</td>
<td>Howard Johnsons OTB</td>
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<td>Royal Beach Casino</td>
<td>Skydowners Casino OTB</td>
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<td>Div Carolina Bay Casino</td>
<td>Remington OTB Network</td>
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<tr>
<td>Winner's Circle</td>
<td>X the Spot (Virgin Islands)</td>
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<td>Camouflage Gaming</td>
<td>Offtrackbetting ADW</td>
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<td>Corpus Christ Greyhound</td>
<td>Lone Star</td>
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<tr>
<td>Dayton Raceway</td>
<td>Louisiana Downs</td>
</tr>
<tr>
<td>Delaware Park</td>
<td>LVDC</td>
</tr>
<tr>
<td>Dela Louis</td>
<td>Atlantis Paradise Casino</td>
</tr>
<tr>
<td>Dover Downs</td>
<td>Buffalo Thunder Resort</td>
</tr>
<tr>
<td>Dubuque (Iowa Greyhound)</td>
<td>Foxwoods Resort Casino</td>
</tr>
<tr>
<td>Elite Turf Club (1 to 10)</td>
<td>Mahrning Valley</td>
</tr>
<tr>
<td>Ellis Park</td>
<td>Mahoning Valley</td>
</tr>
<tr>
<td>Emerald Downs</td>
<td>Seabrook Greyhound</td>
</tr>
<tr>
<td>Canadian Locations</td>
<td>Seabrook Greyhound ADW</td>
</tr>
</tbody>
</table>

**Exhibit 12D/E**

**2017-18 Santa Anita Commingled Locations**

- **AmiWest Entertainment**: Evangeline Downs
- **Armwest Accounts**: Fair Grounds
- **Greenbrier (WV)**: Fair Grounds ADW
- **Cour D'Alene Casino (ID)**: Feathardows
- **Triple Crown (SD)**: Favorites at Gloucester
- **Arapaho-Mile High**: Favorites at Vineyard
- **Arinna Race Club**: Finger Lakes
- **Arlington I**: Finger Lakes ADW
- **Bangor Raceway**: Foneer Park
- **Batavia**: Freerhold
- **Belterra Park**: Gillespie County Fair
- **Better Racing**: Global Wagering Group
- **Birmingham Greyhound**: Global Wagering Solutions
- **Borgata Casino**: Betsson, OneXTwo, German Tote
- **Buffalo Raceway**: Racebets, Sportech-Netherlands, SBO
- **Canterbury Park**: Magna Bet, Pferdewetten, Dortmund
- **Capital District OTB**: AHDL, Stylesports, Ladbrokes Australia
- **Catskill OTB**: Greentrack
- **Charles Town Race Course**: Greyhound at Poit Falls
- **Chester Downs (Harrah's Philly)**: Gulf Greyhound
- **Churchill Downs**: Gulfstream Park
- **Codere (Brazil)**: Horsemen's Park
- **Columbus Raceway**: Harrington/ADW (DE regional)
- **Connecticut OTB**: Hawthorne Race Course
- **Connecticut OTB ADW**: Clariksville/Evansville OTB
- **Coushatta Casino**: Intermountain Racing
- **Paragon Casino**: Keeneland
- **Ho-Chick Casino**: Keeneland Select ADW
- **Mohegan Sun Casino**: Kentucky Downs
- **Onida Bingo and Casino**: Kentucky OTB
- **Pony Bar Simulcast Center**: Lewiston OTB
- **Tote Investment Racing**: Lies Games
- **Randall James Racetrack**: Chips Lounge/Rumoros OTB
- **Millionaire Racing**: Howard Johnsons OTB
- **Royal Beach Casino**: Skydowners Casino OTB
- **Div Carolina Bay Casino**: Remington OTB Network
- **Winner's Circle**: X the Spot (Virgin Islands)
- **Camouflage Gaming**: Offtrackbetting ADW
- **Corpus Christ Greyhound**: Lone Star
- **Dayton Raceway**: Louisiana Downs
- **Delaware Park**: LVDC
- **Dela Louis**: Atlantis Paradise Casino
- **Dover Downs**: Buffalo Thunder Resort
- **Dubuque (Iowa Greyhound)**: Foxwoods Resort Casino
- **Elite Turf Club (1 to 10)**: Mahoning Valley
- **Ellis Park**: Seabrook Greyhound
- **Emerald Downs**: Seabrook Greyhound ADW

**Canadian Locations**

- **Assiniboia, Century Downs, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamhore Downs, Fraser Downs**
- **Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hsawatha, Hipodrome de Quebec, Kawartha Downs, Marquis Downs**
- **Mohawk, Northillions, Northside Downs, Picov Downs, Quinete Raceway, Rideau Carlton, Rocky Mountain Turf Club, Sudbury Downs, Summerside, TBC Teletheatres**
- **Western Fair, Woodbine, Xpressbet Southern Alberta**
racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

<table>
<thead>
<tr>
<th>Name of Host Track</th>
<th>Race Dates</th>
<th>Full Card or Selected Feature and/or Stakes Races</th>
</tr>
</thead>
</table>

H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

<table>
<thead>
<tr>
<th>Name of Host Track</th>
<th>Race Dates</th>
<th>Full Card or Selected Feature and/or Stakes Races</th>
</tr>
</thead>
</table>

I. For **STANDABRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**HARNESS SIMULCAST RACES TO BE IMPORTED**

<table>
<thead>
<tr>
<th>Name of Host Track</th>
<th>Race Dates</th>
<th>Full Card or Selected Feature and/or Stakes Races</th>
</tr>
</thead>
</table>

J. For **ALL** racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

<table>
<thead>
<tr>
<th>Name of Host Track</th>
<th>Breed of Horse</th>
<th>Race Dates</th>
<th>Number of Races to be Imported</th>
</tr>
</thead>
</table>

K. For **ALL** racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stable and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)
13. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
   Board of Directors
   Los Angeles Turf Club, Incorporated
   285 W. Huntington Drive
   Arcadia, CA 91007

B. Names and addresses of the trustees or directors of the distributing agent:
   Frank DeMarco, Jr., Secretary
   Los Angeles Turf Club, Incorporated
   285 W. Huntington Drive
   Arcadia, CA 91007

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes [X]

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:
   Association Veterinarian(s)          Dr. Dana Stead
   Clerk of Scales                      Kevin Colosi
   Clerk of the Course                  Angel Lopez
   Film Specialist                     Jennifer Paige
   Horse Identifier                    Victor Tovar
   Horseshoe Inspector                 Karen Denovel
   Paddock Judge                       Karen Denovel, Randy Valdez
   Patrol Judges                       Michael Burns, Bob Moreno, Ed Reese
   Placing Judges                      Jay Slender
   Starter                             Dane Nelson

B. Management officials in the racing department:
   VP-Racing                           Rick Hammerle
   Racing Secretary                   Rick Hammerle
   Assistant Racing Secretary         Zac Soto
   Paymaster of Purses                 Bridget Crawford
   Assistant Clerk of Scales           Charles McCaul
   Others (identify by name and title)

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
   Ms. Barbara Weinstein
   9582 Hamilton Ave., Suite 265
   Huntington Beach, CA 92626       Phone: (714) 964-7102
D. Photographic device to be used for photographing the finish of all races, name of the person 
supplying the service, and expiration date of the service contract:
Plusmic Corporation USA  Expires: December 31, 2018
Bill O’Brien

E. Photo patrol video equipment to be used to record all races, name of the person supplying the 
service, and expiration date of the service contract. Specify the number and location of cameras 
for dirt and turf tracks.
Jim Porep, Jr., President  Contract term: through July 31, 2020
Pegasus Communications, Inc.
16633 Ventura Blvd., Suite 1010
Encino, CA 91436  Phone: 818/907-1900
Major video and camera equipment listing attached, Exhibit 14E

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying 
the service, and expiration date of the service contract:
Electronic timing systems on both dirt and turf courses; maintained and operated by Santa 
Anita personnel. Systems backed by hand timing.

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an 
organizational chart of the security department and a list of the names of security personnel and 
contact telephone numbers.
Lou Scalera, Chief of Security
Front Side Security Office (626) 574-6406
Stable Security Office (626) 574-6469
Organizational Chart:  (Exhibit 15A)

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks 
on a regular full-time basis:

1 Chief of Security  4 Investigators
1 Secretary  36 Security Guards
1 Stable Security Manager  13 Gatemen
1 Supervisor Investigator  1 Stable Credentials Secretary

1. Attach a written plan for enhanced security for graded stakes races, and races of $100,000 or 
more, to include the number of security guards in the restricted areas during a 24-hour period 
and a plan for detention stalls.
Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded 
Stakes Races

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.
Security Officer per four (4) horses. Surveillance cameras to cover all Horses for Graded 
Stakes Races

B. Number of security guards in the detention stall area during a 24-hour period.
Four Security Guards

C. Describe number and location of surveillance cameras in detention stall area.
Total of Sixty-Three (63) Cameras (Barns #: 117, 118, 119, 120 and 121)
Jim Porep, Jr., President  
Pegasus Communications, Inc.  
16633 Ventura Blvd, Suite 1010  
Encino, CA 91436  
Office 818-907-1900  
Cell 951-314-6348

Contract Term:  
July 31 2012 / July 31 2020

Major Video Equipment Listing, June 2017

Broadcast Video Production Truck, Executive parking lot (E Lot)  
Avid HD graphics systems with Pegasus DataLinks interface software  
GVG HD Karera 4ME Switcher  
Recording systems:  
3ea ZPlay HD video recorder, slow motion playback,  
3play 820,  
Audio Calrec Board 120 input  
Stewards Review System

HD Cameras, locations and equipment description
1. Pan camera 1: Sony HXC 100 triax chain Fuji 50x lens  
2. Pan camera 2: Sony HXC 100 triax chain Fuji 50x lens  
3. Dirt 7/8 tower: Sony HXC 100 triax chain Canon 50x lens  
4. Turf 7/8 tower: Sony HXC 100 triax chain Canon 50x lens  
5. Dirt 3/8 tower: Sony HXC 100 triax chain Canon 50x lens  
6. Turf 3/8 tower: Sony HXC 100 triax chain Canon 50x lens  
7. 1/4 pole tower: Sony HXC 100 triax chain Canon 33x lens  
8. Turf Hill camera: Sony HXC 100 triax chain Canon 20x lens  
9. Tote board: Sony HXC 100 triax chain Canon 20x lens  
10. Shutter Camera, fixed Sony super slow motion at finish line  
11. Walking ring (WR) 12ft jib, Sony HXC 100 triax chain Canon 50x lens  
12. Walking ring tower: Sony HXC 100 triax chain Canon 50x lens  
13. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens  
14. Winner's Circle/Finish Line (WC) 12' jib: Sony HXC 100 triax chain cannon 20 x lens  
15. Make & break WR/WC: Sony HXC 100 triax chain Canon 20x lens  
16. RF Gate Camera: JVC Camera, proprietary wireless systems - 16x lens  
17. Overhead Gate Camera 1: Proprietary RF remote, overhead gate break and follow  
18. Overhead Gate Camera 2: Proprietary RF remote, overhead gate break and follow  
19. Fixed tunnel camera: Pan Tilt Zoom  
20. Saddling Barn West camera: Proprietary remote camera, "spider cam"  
21. Saddling Barn East camera: Proprietary remote camera, "spider cam"  
22. Fixed Pan tilt zoom Paddock (Saddling Barn) camera  
23. Fixed Pan tilt zoom Paddock (Saddling Barn) camera  
24. Stewards 5/16th remote Pan tilt zoom
3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.
   Will follow CHRB staff directives.

B. Plan for enhanced surveillance for trainers with high-test results.
   Contractual obligations with TOC, CTT and CHRB directives will be followed.

C. Plan for detention stalls for repeat offenders.
   In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB.

D. Number of security personnel assigned to the TCO2 program.
   As needed per the advice of CHRB staff.

C. Describe the electronic security system:
   The electronic security system consists of video cameras with the ability to pan, tilt and zoom remotely. Camera monitors and control points are managed from secure locations. Digital recordings are maintained on hard drives.

   1. Location and number of video surveillance cameras for the detention stall and stable gate.
      Surveillance cameras are in place within stalls 9, 10, 11, 12, 12A, 14, 20 and 21, providing a wide angle view of the entire stall space. Additional cameras are strategically located on the outside of stalls 4, 7, 12A and 14, and providing access to the barn area, are also under surveillance 24 hours per day. In addition, Santa Anita Park has installed approximately 1,000 additional surveillance cameras to the backside and “gap”.

D. For night racing associations. Describe emergency lighting system: Not applicable

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing:

   Symons Ambulance
   Jeff Grange
   18592 Cajon Boulevard
   San Bernardino, CA 92407
   Phone: (909) 880-2979

   1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
      Staff on track ambulance includes: 2 Paramedics
      Exhibit 16A

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

   Los Alamitos: Huntington Ambulance, LLC
   Stacey O’Brien, President
   PO Box 145
   Sunset Beach, CA 90742
   Phone: (562) 904-1550

   SLR Training Center: Richard F. Roesch, President
   Mercy Medical Transportation Inc.
   2537 Old San Pasqual Rd.
   Escondido, CA 92027
   Phone: (760) 525-2688
Friday, September 15, 2017

To Whom It May Concern:

This letter shall serve as a statement of affirmation that all Emergency Medical Technicians and Paramedics employed by Symons Ambulance are trained, background checked and state certified in order to fulfill their job duties as authorized by the state of California Emergency Medical Services Authority.

Respectfully,

Juan Espinoza  
Operations Manager  
(626) 222-4236  
jespinoza@symonsambulance.com
Dear CHRB Board,

I, Stacey O'Bryan, President of Huntington Ambulance, LLC certify that all E.M.T.'s (Emergency Medical Technicians) working for Huntington Ambulance, LLC are licensed with the State of California Emergency Medical Services and licensed and certified with the Orange County Emergency Medical Services.

All of our E.M.T.'s are licensed with the California Horse Racing Board.

Sincerely,

Stacey O'Bryan - President
Huntington Ambulance, LLC
June 16, 2016

Paige Rickerd
Los Angeles Turf Club, Inc.
Santa Anita Park
285 W Huntington Drive

RE: Ambulance Certification Letter

To Whom It May Concern:

In regards to staffing of the San Luis Rey Downs Thoroughbred Training Center, Mercy Medical Transportation, Inc., employs only Paramedics that are licensed in the State of California and the County of San Diego. All our Paramedics endure a comprehensive interview and skills assessment, drug and hearing tests and are subjected to a thorough Department of Justice (DOJ) background investigation.

Mercy Medical Transportation, Inc., also participates the Department of Motor Vehicles (DMV) Employer Full Notice program, which is required by the California Highway Patrol (CHP). Moreover, Mercy Medical Transportation, Inc., employs a dynamic Quality Assurance / Quality Improvement Program, which places high standards on Emergency Services best practices in the areas of personnel training, equipment deployment and quality patient care and customer service to its constituents it serves.

Should you require any additional information, please do not hesitate to contact myself or the President of Mercy Medical Transportation, Inc., Mr. Richard Roesch.

Sincerely Yours,

Douglas Moriarty

Douglas Moriarty
Vice President of Operations
1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
   - Los Alamitos: Staff by Paramedics with support from Orange County Fire-paramedics. Exhibit 16B
   - SLR Training Center: On track ambulance includes two paramedics. Exhibit 16B

C. Describe the on-track first aid facility, including equipment and medical staffing:

   Description of Santa Anita First Aid Office (Live Racing)

SERVICES (Mission)
- Yearly Jockey Physicals;
- Preventive and curative medical services for jockeys;
- Urgent care facility for jockeys;
- Urgent care for patrons and Santa Anita employees.

STAFFING
- Contracted Track Physician
- Contracted Paramedics (on the track) and EMT's (2 in First Aid)
- Licensed Emergency Ambulance

EQUIPMENT
- Basic First Aid Supplies (i.e. bandages and wound care);
- Oxygen equipment;
- Backboard, cervical collars, and splints;
- Blood pressure monitoring.

AVAILABILITY
- When gates open until after last race

D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

   If quarter horse racing association sees D.1.:

   Dr. Heriberto Nunez
   409 N. Stoneman Avenue
   Alhambra, CA 91801
   Phone: (310) 780-1495

   Dr. Davis Skinner
   10010 California Avenue
   South Gate, CA 90280
   Phone: (323) 567-2425

   Dr. Tri Minh Vo
   10107 Valley Boulevard
   El Monte, CA 91731
   Phone: (734) 277-3087

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a):

   Arcadia Methodist Hospital
   300 W. Huntington Drive
   Arcadia, CA 91007
   Phone: (626) 445-4441

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

   Arcadia Methodist Hospital
   300 W. Huntington Drive
   Arcadia, CA 91007
   Phone: (626) 445-4441
F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey:

Exhibit 16F

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

Lou Scalera, Chief of Security

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

Exhibit 16H

I. Name of the workers’ compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

Liberty Mutual Insurance Company
PO Box 989000
West Sacramento CA 95798
Policy # WA2-B7D-170912-017 Expires 6/30/2018

J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation.

Exhibit 16J

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes □ No X

HANDICAPPERS
Bob's Card Incorporated (CHRB-25A and CHRB-87 on file with CHRB.)
Jeff Bohland
5090 Montville Trail
Medina, OH 44256

Winners Card Corp. (CHRB-25A and CHRB-87 on file with CHRB.)
% Toby Turrell
532 1/2 D Via De La Valle
Solana Beach, CA 90275
EMERGENCY MEDICAL PROCEDURES FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”
- In the event of an accident, LATC Trackside Human Ambulance “Unit 41 Paramedics” which is manned by LATC-contracted Paramedics and is following the horses and jockeys in the race will respond immediately to the site of the accident. The attending Paramedics shall arrive equipped with advance cardiac life support (ACLS) and full C-spine equipment.
- An “on-site evaluation” of injuries to the jockey(s) shall be made by Unit 41 Paramedics.

“ON-SITE EVALUATION”
- Based on the Paramedics “on-site evaluation” of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to transport to the hospital.
  - If on-site treatment is deemed sufficient, Unit 41 Paramedics shall transport the injured jockey(s) directly to the First Aid Office where the Track Physician will be primary care provider or,
  - If off-site treatment is deemed necessary Unit 41 Paramedics will contact the Association Gate (channel 1) and have them call the 911 Arcadia Fire Department.

“INJURY TREATMENT”
- If the injured jockey(s) is treated at the First Aid Office the Track Physician shall be the primary care provider unless or until the Track Physician surrenders custody of the patient based on his/her medical evaluation;
  - If deemed necessary the Track Physician will contact the Association Gate to call the 911 Arcadia Fire Department to transport the injured jockey(s) to the hospital.
  - Or should the Track Physician deem the rider clear to ride he may then return to the Jockeys’ Room or be taken off his mounts for the remainder of the day.
  - If more than two jockeys need to be transported to the hospital, Arcadia Fire
PROCEDIMIENTO MÉDICO de EMERGENCIAS PARA HERIDAS A JINETES EN LA PISTA

“PRIMEROS AUXILIOS”
- En el evento de un accidente, la ambulancia humana de LATC “41Paramedicos” es manejada por las EMT’s contractados por la LATC, seguirán a los caballos y jinetes en la carrera para responder inmediatamente al sitio del accidente. El EMT arrivara con el equipo cardiaco básico (ACLS), AED, y equipo para la espina dorsal.
- Una evaluacion de las heridas al jinete seran hechas en el sitio del accidente por la 41Paramedicos.

“EVALUACION EN EL SITIO DEL ACCIDENTE”
- Después del accidente, es la decisión de los paramédicos si el jinete va estar transportado al hospital o a la oficina de First Aid.
  - Si el tratamiento es suficiente en el sitio del accidente, el 41 Paramedicos transportara al jinete directamente a la oficina de First Aid donde el doctor del tracke sera el doctor.
  - Si se cree que es necesario el 41 Paramedicos se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Ciudad de Arcadia 911.

“TRATAMIENTO DE LAS HERIDAS”
- Si el jinete herido es tratado en la oficina de First Aid, el doctor del tracke sera el doctor al no ser que el doctor del tracke entregue custodia del paciente basado en su opinion medico;
  - Si se cree necesario el doctor de tracke se comunicara con la Association Gate (Canal 1) para que ellos le adviser a Departamento de Bomberos de la Ciudad de Arcadia 911.
  - Si el doctor de tracke dice que el jinete esta libre para montar or que va faltar de sus montas.
  - Si mas de dos jinetes necesitan transportacion al hospital, se le pedira a al
August 17, 2017

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Los Angeles Turf Club Fire Clearance (2017-2018 Main Meet)

To Whom It May Concern:

The Arcadia Fire Department, Bureau of Fire Prevention conducted a Fire and Life Safety Inspection of the facilities at Los Angeles Turf Club to be used for the 2017-2018 Main Meet.

It is requested that this letter be accepted as the report of that inspection. During this inspection no serious fire or life safety code violations were noted, and all permitted uses may continue.

In accordance with regulations as adopted by the California Horse Racing Board, this letter may be accepted as a fire clearance from this office for the 2017-2018 Main Meet at Los Angeles Turf Club, Arcadia, California.

Sincerely,

Mark Krikorian
Fire Marshal

cc: Keith Brackpool, CEO, L.A.T.C.
Joe Morris, Senior Vice President
Mary Saenz, Office Operations, L.A.T.C.
Jason Spetnagel, Director of Facilities & Grounds, L.A.T.C.
Ed Martinez, Asst. Facility Manager, L.A.T.C.
Mike Miser, Fire Marshal, L.A.T.C.
Certificate of Insurance

No.: 2017-99-ANITA  Dated: June 30, 2017
This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder:
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825
Attn.: Insurance Department

Named Insured and Address:
Los Angeles Turf Club, Incorporated
285 West Huntington Drive
Arcadia, CA 91007
Attn.: Insurance Department

This certificate is issued regarding:
Evidence of Insurance

<table>
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<tr>
<th>Type(s) of Insurance</th>
<th>Insurer(s)</th>
<th>Policy Number(s)</th>
<th>Effective/ Expiry Dates</th>
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<td>Zurich American Insurance Company</td>
<td>GLO 9302183-15</td>
<td>Jun 30, 2017 to Jun 30, 2018</td>
<td>Bodily Injury by Accident USD 2,000,000</td>
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<td>WORKERS' COMPENSATION &amp; EMPLOYERS' LIABILITY</td>
<td>Liberty Mutual Insurance Co</td>
<td>WA2-B7D-170912-017</td>
<td>Jun 30, 2017 to Jun 30, 2018</td>
<td>Workers' Compensation Statutory</td>
</tr>
</tbody>
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Additional Information:
The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

With respect to the Commercial General Liability policy, California Horse Racing Board is added as Additional Insured but only with respect to liability arising out of the operations of the Named Insured.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:
The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited
120 Bremner Boulevard
Suite 800
Toronto, ON M5J 0A8
Telephone: 1-844-990-2378
Fax: -
Certs.Strongeh@marsh.com

Marsh Canada Limited

By: Matthew Warnholtz
18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: On file with the board

B. Promotional/Marketing budget for this race meeting: On file with the board

Promotional/Marketing budget for prior race meeting: On file with the board

C. Number of hosts and hostesses employed for meeting:

10* - Group Sales handicapping aides to assist newcomers
11* - Food and Beverage hostess's (11 weekends, 4 weekdays)
16* - SST's Mutuel staff (14 on weekdays and 16 on weekends)

*Estimates

D. Describe facilities set aside for new fans:

Customer Service – Paddock Room, Breezeway Customer Relations deck, Mainline 1, and Club 1 are designated areas specifically to assist fans with general racing information.

THOROUGHBREDS Centers – There are two locations that are open every racing day in Mainline 1 and in the Turf Terrace. The Turf Terrace TBREDS location also assists with large transaction players. The Infield Center is also open on the weekends and the South TBREDS Center when needed for specific promotions.

Information Windows – Mainline #2, Club #1, Mezzanine #2, and Frontrunner have full service information windows that can perform most transactions for customers to acquire wagering funds. These windows also provide newcomer wagering assistance. On the weekends east tote also opens an additional information window for the Infield customers.

XpressBet – Mainline #2 and Mezzanine is available for new sign ups and account maintenance for advance deposit wagering customers.

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
   Enhanced video system installed throughout the barn area providing 24 hour surveillance of each shedrow.
2. Fans
3. Facilities in the restricted areas
19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

- Admission (general) $5.00 Weekends & Holidays
- Club House Admission Free Weekdays (Thursday/Friday)
- Reserved seating (general) $10.00 Weekends & Holidays
- Reserved seating (clubhouse) Free Weekdays (Thursday/Friday)
- Annual Admission Passes $10.00 * Sold on premium days only.
- Annual Admission Passes (Club House) $100.00 ** Calendar Year
- CH Box Seat $150.00 ** Calendar Year
- Parking (general) $10.00 Non-Premium Days
- Parking (valet) $30.00 Premium Days
- Programs (on-track) - Small $2.50 Weekends & Holidays
- Programs (off-track) - Small $2.50 Weekdays (Thursday/Friday)
- Programs (on-track) - Big $3.00 **
- Programs (off-track) - Big $3.00 **

(*) Previous year listed as $5
(**) New

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Annual Season Box 2018:

Seating for Winter/Spring & Autumn Meets: Price includes CH admission

- Finish Line Box: 4 Seats $4,392
- 5 Seats $5,856
- 6 Seats $7,564
- Premium Box: 4 Seats $3,000
- 5 Seats $3,750
- 6 Seats $4,800

C. Describe any "package" plans such as combined parking, admission and program: Not applicable

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers’ quarters:

- Corners (lockers and cubicles) X
- Showers X Steam room, sauna or steam cabinets
- Masseur X Food/beverage service
- Lounge area X Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers:

Same amenities as above, but in separate quarters. Shared food and beverage area.
21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet. County of Los Angeles Public Health on (date) 9/13/17.

B. Number of rooms used for housing on the backstretch of the racetrack: 445

C. Number of restrooms available on the backstretch of the racetrack: 42

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 to 47

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5280 feet.

B. Describe the type of track surface at the facility, including the specific track surface composition: Santa Anita has a Dirt Track. The composition is made up of approximately 86% Sand and 14% Silt and Clay.

C. The percent of cross slope in the straight-aways is: 3%
   The percent of cross slope in the center of the turns is: 5%

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
   Inside rail of the main track has metal goosenecks with a 6 inch aluminum rail and covering. Main track outside rail is a 4 inch round rail on a metal gooseneck. Turf Course has metal gooseneck with a 4 inch round rail with safety netting at zero. Duralock portable rail used at other settings. The outside turf rail is a 2 inch metal rail.

E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
   Dennis Moore, Track Superintendent

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
   On File

G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of $3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.
   Not applicable
23. DECLARATIONS

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
   No exceptions

B. Attach each horsemen’s agreement pursuant to CHRB Rule 2044.
   Will be forwarded to the board upon completion

C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. Will be forwarded to the board upon completion

D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
   N/A

E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
   No exceptions

F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):
   No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days’ notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
Print Name
Sr. Vice President, West Coast Operations
Print Title
Signature
Date

9/27/17
STAFF ANALYSIS
November 16, 2017


At its October 26, 2017 regular meeting, the Board deferred the Pacific Racing Association (PRA) race meet application until November 16, 2017, as the PRA application was incomplete. PRA had not provided its: race meet agreements with Thoroughbred Owners of California and California Thoroughbred Trainers, race-day furosemide administration agreement, letter of explanation, 2018 Advance Deposit Wagering (ADW) agreements required pursuant to Business and Professions Code section 19604, horsemen approval of ADW, or Albany fire clearance.

An amended application was submitted November 3, 2017 to reflect changes from PRA’s proposed race dates to the dates allocated on October 26, 2017. The ADW agreement with Xpressbet was submitted November 6, 2017. At the time of this Board package no other documents have been received from PRA.

PRA filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields (GGF):

- December 20, 2017 through June 12, 2018, or 94 days, five days less than 2017. Live racing will commence December 26, 2017 (Opening Day) through June 10, 2018. The association proposes to race a total of 760 to 956 races, or 8.08 to 10.17 races per day. In 2017 PRA raced 8.23 races per day with an average of 6.47 runners per race during the 2017 winter/spring meet. The (actual) average daily purse for the 2017 winter/spring race meeting was $153,651. The (estimated) average daily purse for this meet is $155,425.

- The race dates proposed are the dates the Board allocated.
Racing 4 days per week. Thursday through Sunday, with the exception of Tuesday, December 26, 2017, Mondays, January 1 and 15, February 19 and May 28 and no racing Thursdays, April 12, and April 19, 2018. Racing 7 to 9 races on weekdays, 9 to 11 races on weekends, and 8 to 11 races on holidays with the following exceptions: Tuesday, December 26 will have 7-10 races; Friday, May 4 and May 18 will have 8 to 10 races; Saturday, May 5, 19 and June 9 will have 9 to 12 races.

First post 12:45 p.m. daily with the following exceptions:
- Weekends beginning May 6, 2018 - 1:15p.m.
- Tuesday, December 26, 2017 (Opening Day) - 12:15p.m.
- Sunday, February 4, 2018 (Super Bowl) - 11:15a.m.
- Saturday, May 5, 2018 (Kentucky Derby) - 11:45 a.m.
- Saturday, May 19, 2018 (Preakness) - 11:45 a.m.
- Monday, May 28, 2018 (Memorial Day) - 12:45 p.m.
- Saturday, June 9, 2018 (Belmont Stakes) - 11:45 a.m.

Wagering program will use CHRB and ARCI rules.
- Advance wagering will be offered Friday, May 4, 2018 for the Kentucky Derby; Friday, May 18, 2018 for the Preakness; and on Friday, June 8, 2018 for the Belmont Stakes.
- Pick (n) contests – requests that Board allow patrons to select an alternate wagering interest in any of the Pick (n) contests.
- $1 Exacta on all eligible races.
- $2 Rolling Daily Double beginning with race 1. Request 20% takeout.
- $.50 Trifecta on all eligible races.
- $.10 Superfecta on all eligible races.
- $1 Pick 3.
- $.50 Pick (n) Pool 4 on races 2-5 and last four races each day. No consolation; 100% payout; Alternate runner provision.
- $.50 Pick (n) Pool 5 on first five races; 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners; Alternate runner provision. Request 14% takeout.
- $.20 Pick (n) Pool 6 on the last six races each day. If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.
- $1 Super High Five last race of the day; selecting the first five finishers in the race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover to next race day.
Specific changes from the 2017 license application:
- Housing on the backstretch increased from 138 to 140 rooms.
- Admission will no longer include a free program.

ADW providers are Xpressbet, TwinSpires, TVG, Bet America and NYRABets.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of Business and Professions Code 19604
To accept wagers on races conducted in California from a resident of California.
- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.
- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:
- A copy of the wagering agreement between NYRABets and Monarch Content Management Tracks has been provided to CHRB.
- A copy of the wagering agreement between Xpressbet and Monarch Content Management Tracks has been provided to CHRB.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.

- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

- Track safety inspection has been requested and will be completed before the race meeting begins.

- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
Specific information still needed to complete this application:
1. Thoroughbred Owners of California agreement.
2. California Thoroughbred Trainers agreement.
3. Race-day furosemide administration agreement.
4. Letter of explanation regarding status of outstanding items.
5. Pertinent 2018 contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Pacific Racing Association designated Advance Deposit Wagering providers to accept wagers on races conducted in and outside of California for the applied license term.
6. Horsemen approval of Advance Deposit Wagering.

Specific information which will need updating during the proposed race dates:

RECOMMENDATION:

Staff recommends that the application not be heard at the November 16, 2017 Regular Board Meeting.
Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:  
   Pacific Racing Association  
   1100 Eastshore Highway  
   Berkeley, CA 94710  
   Phone (510) 559-7300  
   Fax (510) 527-5622  
   david.duggan@goldengatefields.com

B. Breed of horse:  
   TB [X]  QH [ ]  H [ ]

C. Racetrack name: Golden Gate Fields

D. Attach a certified check payable to the Treasurer of the State of California in the amount of $10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. On file with the Board

E. Was the association licensed to operate a race meeting prior to January 1, 2001?  
   Yes [X]  No [ ]
   If no, attach a surety bond in the amount of one hundred thousand dollars.

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars ($100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The $100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 20, 2017 through June 12, 2018

B. Actual dates racing will be held: December 26, 29-31; January 1, 5-7, 11-14, 15, 19-21, 25-28; February 1-4, 8-11, 15-18, 19, 23-25; March 1-4, 8-11, 15-18, 22-25, 29-31; April 1, 5-8, 13-15, 20-22, 26-29; May 3-6, 10-13, 17-20, 24-27, 28; June 1-3, and 7-10.

C. Total number of days or nights of racing: 94 days

CHRB CERTIFICATION

Application received: 11/17/2017  
Deposit received:  
Reviewed:  
Hearing date: 11/16/17  
Approved date:  
License number:  


D. Days or nights of the week races will be held:
[ ] Wed - Sun  [ ] Tues - Sat  [X] Other (specify)

Racing Thursdays through Sundays

Exceptions:
Racing on Monday/Tuesday, 1/1/18, 1/15/18, 2/19/18, and 5/28/18 and Tuesday 12/26/17
Dark days: Thursdays 12/28/17, 1/18/18, 2/22/18, 4/12/18, 4/19/18, and 5/31/18

E. Number of days or nights of racing per week:  4 days per week with the exception of two 3-day race weeks in April 2018

3. RACING PROGRAM

A. Total number of races: 760 – 956

B. Number of races for each day or night:
• 7 – 9 races on weekdays
• 9 – 11 races on weekends
• 8 – 11 races on holidays
• Exceptions:
  o Tuesday, December 26th will have 7 – 10 races
  o Friday, May 4th will have 8 – 10 races
  o Saturday, May 5th will have 9 – 12 races
  o Friday, May 18th will have 8 – 10 races
  o Saturday, May 19th will have 9 – 12 races
  o Saturday, June 9th will have 9 – 12 races

C. Total number of stakes races: 12 (7 Non-Overnight and 5 Overnight)

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. **Attached**

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses.

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). **None**

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. **None**

E. Will provisions be made for owners and trainers to use their own registered colors?
[X] Yes  [ ] No  If no, what racing colors are to be used:
<table>
<thead>
<tr>
<th>Race Name</th>
<th>Date</th>
<th>Distance</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>CALIFORNIA DERBY</td>
<td>Saturday, January 21</td>
<td>1 1/16 Miles</td>
<td>Guaranteed $100,000</td>
</tr>
<tr>
<td>CALIFORNIA OAKS</td>
<td>Saturday, February 11</td>
<td>1 1/16 Miles</td>
<td>Added (Plus up to $15,000)</td>
</tr>
<tr>
<td>EL CAMINO REAL DERBY GIII</td>
<td>Saturday, February 18</td>
<td>1 1/8 Miles</td>
<td>Guaranteed $200,000</td>
</tr>
<tr>
<td>LOST IN THE FOG</td>
<td>Monday, February 20</td>
<td>6 Furlongs</td>
<td>Added (Plus up to $15,000)</td>
</tr>
<tr>
<td>CAMILLA URSO</td>
<td>Saturday, March 19</td>
<td>6 Furlongs</td>
<td>Added (Plus up to $15,000)</td>
</tr>
<tr>
<td>SF MILE GIII</td>
<td>Saturday, April 29</td>
<td>1 Mile Turf</td>
<td>Guaranteed $100,000</td>
</tr>
<tr>
<td>CAMPA palILE (Golden State Series)</td>
<td>Sunday, April 30</td>
<td>1 Mile Turf</td>
<td>Guaranteed $100,000</td>
</tr>
</tbody>
</table>

**Note:** All distances are in miles and all races are guaranteed or added with additional prize money for California-Bred horses.
SILKY SULLIVAN (Golden State Series)
$100,000 Guaranteed
(Incl. $37,500 from CBRF & $12,500 from CMC)
CALIFORNIA BRED 3yo -1 Mile Turf
Sunday, April 30

GOLDEN POPPY
$50,000 Added (Plus up to $15,000 to Cal-Breds)
Overnight Stakes
Fillies & Mares 3yo & up - 1 1/16 Turf
Saturday, May 14

ALCATRAZ
$75,000 Guaranteed
3yo - 1 Mile Turf
Sunday, May 15

ALL AMERICAN GIII
$100,000 Guaranteed
3yo & up - 1 Mile
Monday, May 30

ALBANY
$50,000 Added (Plus up to $15,000 to Cal-Breds)
Overnight Stakes
3yo & up -5 Furlongs Turf
Saturday, June 10
F. List all post times for the daily racing program:

<table>
<thead>
<tr>
<th>Race</th>
<th>All weekdays during the meet, and all weekends between December 26, 2017 – April 29, 2018</th>
<th>Race</th>
<th>All weekends beginning May 6, 2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>1st</td>
<td>12:45 p.m.</td>
<td>1st</td>
<td>1:15 p.m.</td>
</tr>
<tr>
<td>2nd</td>
<td>1:15 p.m.</td>
<td>2nd</td>
<td>1:45 p.m.</td>
</tr>
<tr>
<td>3rd</td>
<td>1:45 p.m.</td>
<td>3rd</td>
<td>2:15 p.m.</td>
</tr>
<tr>
<td>4th</td>
<td>2:15 p.m.</td>
<td>4th</td>
<td>2:45 p.m.</td>
</tr>
<tr>
<td>5th</td>
<td>2:45 p.m.</td>
<td>5th</td>
<td>3:15 p.m.</td>
</tr>
<tr>
<td>6th</td>
<td>3:15 p.m.</td>
<td>6th</td>
<td>3:45 p.m.</td>
</tr>
<tr>
<td>7th</td>
<td>3:45 p.m.</td>
<td>7th</td>
<td>4:15 p.m.</td>
</tr>
<tr>
<td>8th</td>
<td>4:15 p.m.</td>
<td>8th</td>
<td>4:45 p.m.</td>
</tr>
<tr>
<td>9th</td>
<td>4:45 p.m.</td>
<td>9th</td>
<td>5:15 p.m.</td>
</tr>
<tr>
<td>10th (when applicable)</td>
<td>5:15 p.m.</td>
<td>10th (when applicable)</td>
<td>5:45 p.m.</td>
</tr>
<tr>
<td>11th (when applicable)</td>
<td>5:45 p.m.</td>
<td>11th (when applicable)</td>
<td>6:15 p.m.</td>
</tr>
<tr>
<td>12th (when applicable)</td>
<td>6:15 p.m.</td>
<td>12th (when applicable)</td>
<td>6:45 p.m.</td>
</tr>
</tbody>
</table>

Exceptions:
- Tuesday, December 26, 2017
- Opening Day
- Super Bowl
- Sunday, February 4, 2018
- Kentucky Derby
- Saturday, May 5, 2018
- Preakness
- Saturday, May 19, 2018
- Memorial
- Monday, May 28, 2018
- Belmont Stakes
- Saturday, June 9, 2018

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: [X] Corporation (complete subsection C)
   [ ] LLC (complete subsection D)
   [ ] Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. Addendum on file with the Board

C. CORPORATION

1. Registered name of the corporation: Pacific Racing Association
2. State where incorporated: California
3. Registry or file number for the corporation: 0480316
   Federal ID #94-1585367 California ID #173-1919-5
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
   Keith Brackpool, Chairman (Officer), 0 Shares
   Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares
   Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares
   Sheila Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares

5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: TSG Developments Investments Inc., 100 Shares

6. Number of outstanding shares in the corporation: 100 Shares

7. Are the shares listed for public trading? [ ] Yes [x] No

8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Share register is with Sheila Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com

9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: TSG Developments Investments Inc.
   A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. [ ] Yes [x] No

10. Registered name of the corporation: Not applicable

11. State where incorporated: Not applicable

12. Registry or file number for the corporation: Not applicable

13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: Not applicable

14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: On file with the Board.

15. Number of outstanding shares in the corporation: Not applicable

16. Are the shares listed for public trading? [ ] Yes [x] No

17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Not applicable

D. LLC

1. Registered name of the LLC: Not applicable

2. State where articles of organization are filed: Not applicable

3. Registry or file number for the LLC: Not applicable

4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Not applicable

5. Are the shares listed for public trading? [ ] Yes [x] No

If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
   Not applicable

A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12.
   Yes [ ] No [X]

7. Registered name of the LLC/Corporation: Not applicable

8. State where articles of organization are filed: Not applicable

9. Registry or file number for the LLC: Not applicable

10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: Not applicable

11. Are the shares listed for public trading?
   Yes [ ] No [X]

12. If yes, on what exchange and how the stock is listed: Not applicable

E. OTHER

1. Name(s) of partners/sole proprietor: Not applicable

2. If a partnership, attach partnership agreement. Not applicable

F. FINANCIAL INFORMATION *

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: On file with Board

   • Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
   • Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant’s income, expenses, and profits.
   • Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
   • Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
   • Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
   • Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.
G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

Keith Brackpool, Chairman
Mike Rogers, Vice President, Operations
Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary
Sheila Jane Lynn, Assistant Secretary
David Duggan, Acting General Manager
Arous Mouradian, Controller
Merry Scalzo, Director of Administration
Mark Ventresca, Director of Hospitality and Sales
Doug Gooby, Mutuel Manager
Bob Hemmer, Director of Operations
Dan Cirimele, Senior Director of Marketing
Marciano "Rocky" Alado, Public Safety Director
Frank Mirahmadi, Announcer
Juan Meza, Track Superintendent
Steve Martinelli, Price Maker
William Vassar, Track Photographer
David Seftel, M.D., Track Physician

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

David Duggan
Acting General Manager
1100 Eastshore Hwy
Berkeley, CA 94710
david.duggan@goldengatefields.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes [X]  No [ ]

Wager(s) to be adjusted: Pick 5  Daily Double
Proposed percentage: 14%  20%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager. Attached

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than
September 21, 2017

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  

Dear Rick:  

Pursuant to California Business and Professions Code Section 19601.01, Pacific Racing Association (“PRA”), after consultation with the Thoroughbred Owners of California (“TOC”), is hereby requesting takeout, as set forth below, to be offered during PRA’s 2017 Winter/Spring Meet, December 20, 2017 through June 19, 2018.

- Rolling Daily Doubles beginning with Race 1 are subject to a 20% takeout rate.  
- Players Pick-5 – A $0.50 minimum wager on the first five (5) races on each day’s card subject to a takeout rate of 14%.

For reference, the Pick 5, Rolling & Daily Doubles wagers are noted on PRA’s license application in Section 5A.

Very truly yours,

Joe Morris  
Senior Vice President,  
West Coast Operations

JM:sm
September 27, 2017

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) 2017-18 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its Winter-Spring race meet from December 20, 2017 – June 19, 2018. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Mary Forney  
Executive Director

cc: Cal Rainey, GGF  
Merry Scalzo, GGF
September 27, 2017

Ms. Jackie Wagner
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA  95825

RE: Golden Gate Fields (PRA) 2017-18 Winter-Spring Race Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the “Players Pick-5” on the first five (5) races of the day subject to a 14% takeout during their Winter-Spring race meet, December 20, 2017 – June 19, 2018. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields’ previous meet.

Please feel free to contact me with any questions.

Sincerely,

Mary Forney
Executive Director

cc: Cal Rainey
Mary Scalzo
10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

<table>
<thead>
<tr>
<th>Year</th>
<th>Handle</th>
<th>Attendance</th>
</tr>
</thead>
<tbody>
<tr>
<td>2017 (12/26-6/18/17)</td>
<td>$37,682,905.50</td>
<td>187,631 (99 live race days)</td>
</tr>
<tr>
<td>2016 (12/26-6/16/16)</td>
<td>$36,428,245.30</td>
<td>177,602 (97 live race days)</td>
</tr>
<tr>
<td>2015 (12/26-6/14/15)</td>
<td>$38,306,734.90</td>
<td>213,319 (98 live race days)</td>
</tr>
<tr>
<td>2014 (12/26-6/15/14)</td>
<td>$40,229,270.40</td>
<td>218,081 (99 live race days)</td>
</tr>
<tr>
<td>2013 (12/26-6/16/13)</td>
<td>$42,525,802.30</td>
<td>244,267 (101 live race days)</td>
</tr>
</tbody>
</table>

7. PURSE PROGRAM (excluding supplements, nominations, sponsorships and starter fees):

A. Purse distribution: *Prior meet represents December 26, 2016 through June 18, 2017 (99 race days)*

1. All races other than stakes:
   Current meet estimate: $12,045,160.00
   Prior meet actual: $12,691,348.00
   
   Average Daily Purse (7 A1 ÷ number of days):
   Current meet estimate: $128,140.00
   Prior meet actual: $128,195.43

2. Overnight stakes:
   Current meet estimate: $250,000.00
   Prior meet actual: $250,000.00
   
   Average Daily Purse (7 A2 ÷ number of days):
   Current meet estimate: $2,659.57
   Prior meet actual: $2,525.25

3. Non-overnight stakes:
   Current meet estimate: $675,000.00 (Doesn't include $100,000.00 CMC/CBRF supplement)
   Prior meet actual: $611,350.00
   
   Average Daily Purse (7 A3 ÷ number of days):
   Current meet estimate: $7,180.85
   Prior meet actual: $6,175.25
4. **Total Purses: (7A1+7A2+ 7A3)**
   - Current meet estimate: $12,970,160.00
   - Prior meet actual: $13,552,698.00

B. **California-bred Stakes Races:**

1. **Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:**
   - Current meet estimate: 10%
   - Prior meet actual: 10%

   **Average Daily Purse (7 B1 ÷ number of days):**
   - Current meet estimate: 0.106%
   - Prior meet actual: 0.101%

C. **Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):**
   - Current meet estimate: $1,143,773.00
   - Prior meet actual: $1,173,888.31

D. **Payment to each recognized horsemen’s organization contracting with the association and the name(s) of the organization(s):**

<table>
<thead>
<tr>
<th>Recognized Horsemen's Organization</th>
<th>Current meet estimate:</th>
<th>Prior meet actual:</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOC (NTRA contribution)</td>
<td>$204,257.98</td>
<td>$199,636.66</td>
</tr>
<tr>
<td>TOC</td>
<td>$116,718.84</td>
<td>$114,078.14</td>
</tr>
<tr>
<td>CTT (Administration)</td>
<td>$58,359.42</td>
<td>$57,039.07</td>
</tr>
<tr>
<td>CTT (Pension)</td>
<td>$116,718.84</td>
<td>$114,078.14</td>
</tr>
<tr>
<td>Total</td>
<td>$496,055.08</td>
<td>$484,832.01</td>
</tr>
</tbody>
</table>

E. **Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):**
   - Current meet estimate: $14,609,988.08
   - Prior meet actual: $15,211,418.32

   **Average Daily Purse (7 E ÷ number of days):**
   - Current meet estimate: $155,425.41
   - Prior meet actual: $153,650.69

F. **Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):**
   - Current meet estimate: $10,142,860.00
   - Prior meet actual: $9,659,531.29

   **Average Daily Purse (7 F ÷ number of days):**
   - Current meet estimate: $107,902.77
   - Prior meet actual: $97,571.02
G. Purse funds to be generated from interstate handle:
   Current meet estimate: $3,215,402.00
   Prior meet actual: $3,374,612.82

   Average Daily Purse (7 G ÷ number of days):
   Current meet estimate: $34,206.40
   Prior meet actual: $34,087.00

H. Bank and account number for the Paymaster of Purses' purse account: Wells Fargo PRA Account
   #On File

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
   Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigbmiller@verizon.net

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

   A. Number of usable stalls available for racehorses at the track where the meeting is held: 1,500

   B. Minimum number of stalls believed necessary for the meeting: 1,500

   C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: N/A

   D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: N/A

   E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.
F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): 1,405

G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES

A. Name and emergency telephone number of the racing veterinarian onsite during training hours, workouts and during racing for the association and auxiliary sites: Attached

1. Attach a schedule listing the dates and times that the racing veterinarian will be available onsite during training hours, workouts and during racing for the association and auxiliary sites. Attached

10. PARI-MUTUELU WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

<table>
<thead>
<tr>
<th>TYPE OF WAGERS</th>
<th>APPLICABLE RULES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Race:</td>
<td>$1 E; $1 Double</td>
</tr>
</tbody>
</table>

See Attached for wagering menu, bet minimum, rules, and additional wagering information

B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. None

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: June 10, 2018 for the period of December 26, 2017 through June 10, 2018

D. List any options requested with regard to exotic wagering: 2 Tier – 70%30% split (see above)

E. Will "advance" or "early bird" wagering be offered? Yes [X] No [ ]

If yes, when will such wagering begin? Specify days and time for “early bird” wagering: Advance wagering will be offered Friday, May 4, 2018 for the Kentucky Derby, Friday, May 18, 2018 for the Preakness, and on Friday, June 8, 2018 for the Belmont Stakes
# PRA II/GOLDEN GATE FIELDS

## EQUINE EMERGENCY SERVICES

Attachment to PRA II/Golden Gate Fields License Application  
Section 9A (1)

A.  

<table>
<thead>
<tr>
<th>VETERINARIAN</th>
<th>EMERGENCY CONTACT #</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dr. Ken Allison</td>
<td>650-642-4636</td>
</tr>
<tr>
<td>Dr. Steve Boyer</td>
<td>510-867-5872</td>
</tr>
<tr>
<td>Dr. William Grantham</td>
<td>415-860-8113</td>
</tr>
<tr>
<td>Dr. Diane Isbell</td>
<td>925-980-1801</td>
</tr>
<tr>
<td>Dr. Kim Kuhlmann</td>
<td>510-867-5871</td>
</tr>
<tr>
<td>Dr. Steve Matuszak</td>
<td>415-860-3001</td>
</tr>
<tr>
<td>Dr. Jerry Parker</td>
<td>650-642-5610</td>
</tr>
<tr>
<td>Dr. Raina Petrov</td>
<td>650-642-5609</td>
</tr>
<tr>
<td>Dr. Don Smith</td>
<td>510-812-9275</td>
</tr>
<tr>
<td>Dr. Sara Sporer</td>
<td>510-220-4860</td>
</tr>
</tbody>
</table>

1.  

## GOLDEN GATE FIELDS VETERINARIAN SCHEDULE  

<table>
<thead>
<tr>
<th>Days</th>
<th>Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mondays</td>
<td>Closed</td>
</tr>
<tr>
<td>Tuesdays</td>
<td>AM Only – TBD</td>
</tr>
<tr>
<td>Wednesdays</td>
<td>AM Only – TBD</td>
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<tr>
<td>Thursdays</td>
<td>AM - Dr. Diane Isbell, PM – Dr. Sara Sporer</td>
</tr>
<tr>
<td>Fridays</td>
<td>AM - Dr. Diane Isbell, PM – Dr. Sara Sporer</td>
</tr>
<tr>
<td>Saturdays</td>
<td>AM - Dr. Diane Isbell, PM – Dr. Sara Sporer</td>
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<tr>
<td>Sunday</td>
<td>AM – Dr. Diane Isbell, PM – Dr. Sara Sporer</td>
</tr>
</tbody>
</table>

TBD – Rotating schedule amongst list from exhibit A
Golden Gate Fields Wagering Menu and Information

<table>
<thead>
<tr>
<th>W/P/S</th>
<th>Exacta</th>
<th>Trifecta</th>
<th>Superfecta</th>
<th>DD</th>
<th>Pick 3</th>
<th>PNP 4</th>
<th>PNP 5</th>
<th>PNP 6</th>
<th>Pentafecta</th>
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</thead>
<tbody>
<tr>
<td>1</td>
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</tbody>
</table>

*When applicable

<table>
<thead>
<tr>
<th>Wager</th>
<th>Rule</th>
<th>Minimum Wager Amount and other Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exacta</td>
<td>CHRB 1959</td>
<td>$1 Exacta on all eligible races.</td>
</tr>
<tr>
<td>Trifecta</td>
<td>CHRB 1979</td>
<td>$.50 Trifecta on all eligible races.</td>
</tr>
<tr>
<td>Superfecta</td>
<td>CHRB 1979.1</td>
<td>$.10 Superfecta on all eligible races.</td>
</tr>
<tr>
<td>Daily Double (DD)</td>
<td>CHRB 1957</td>
<td>$2 Daily Double.</td>
</tr>
<tr>
<td>Pick 3</td>
<td>CHRB 1977</td>
<td>$1 Pick 3.</td>
</tr>
<tr>
<td>PNP4 (Pick 4)</td>
<td>CHRB 1976.9</td>
<td>$.50 Pick 4 on races 2-5 and last four races each day; No consolation; 100% payout; Alternate runner provision.</td>
</tr>
<tr>
<td>PNP5 (Pick 5)</td>
<td>CHRB 1976.9</td>
<td>$.50 Pick 5 on first five races; 100% payout to all tickets selecting five winners; No consolation; 100% carryover if no ticket has five winners; Alternate runner provision.</td>
</tr>
<tr>
<td>PNP 6 (Pick 6)</td>
<td>ARCI 004-105(G)(2)(g)¹; ARCI 004-105(G)(16)(b)(i)</td>
<td>$.20 Pick 6 on the last six races each day; If no unique ticket, 70% will be paid to the minor pool and the 30% will be added to the major pool carryover.</td>
</tr>
<tr>
<td>Pentafecta (Super Hi-5)</td>
<td>ARCI 004-105(X)(4)</td>
<td>$1 Super High 5 last race of the day; selecting the first five finishers in the race; 100% payout on all tickets selecting five winners; No consolation; 100% carryover to next race day.</td>
</tr>
</tbody>
</table>

¹ We request that Commission allow patrons to select an alternate wagering interest in any of the Pick (n) contests.
F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: AmTote effective October 26, 2015 with expiration date of October 2020 with option to extend contract to October 2025

G. List below the takeout percentage for each type of wager identified in 10.A.:

<table>
<thead>
<tr>
<th>Takeout Percentage</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>PNP5-14%</td>
<td>WPS-15.43%</td>
</tr>
<tr>
<td></td>
<td>DD-20.00%</td>
</tr>
<tr>
<td></td>
<td>E-22.68%</td>
</tr>
<tr>
<td></td>
<td>PK3-23.68%</td>
</tr>
<tr>
<td></td>
<td>TRI-23.68%</td>
</tr>
<tr>
<td></td>
<td>SF-23.68%</td>
</tr>
<tr>
<td></td>
<td>PNP4-23.68%</td>
</tr>
<tr>
<td></td>
<td>PNP5-14.00%</td>
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<tr>
<td></td>
<td>PN6-23.68%</td>
</tr>
<tr>
<td></td>
<td>Super H5-23.68%</td>
</tr>
</tbody>
</table>

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
   - Xpressbet
   - TwinSpires (BetAmerica is not included)
   - TVG
   - NYRA Bets
   - BetAmerica

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.  
   On file with the Board

C. Have the contract/agreements been approved by the respective horsemen's groups?

   Yes [ ] No [X]  

   If yes, attach a copy of the approval.  
   If no, explain the status of the approval.  Forwarded to Board upon receipt

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.  ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:  
   Northern California Off-Track Wagering, Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
   On file with the Board; NCOTWINC renewal letter attached

C. California simulcast facilities the association proposes to offer its live audiovisual signal: Attached
D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:

<table>
<thead>
<tr>
<th>Track</th>
<th>Full or Partial Cards and Stakes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arlington</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Assiniboia (International)</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Australia Racing (International)</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Belterra Park</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Canterbury</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Charles Town</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Churchill Downs</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Delaware Park</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Downs at Albuquerque</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Ellis Park</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Emerald</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Evangeline</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Fort Erie (International)</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Gulfstream Park</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Gulfstream Park West</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Hastings Park (International)</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Hawthorne</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Indiana Downs</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Kentucky Downs</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Laurel</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Lone Star</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Louisiana Downs</td>
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<td>Mahoning Valley</td>
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<td>Monmouth</td>
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<tr>
<td>Mountaineer Park</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Northlands (International)</td>
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<tr>
<td>NYRA - Aqueduct, Belmont</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Oaklawn Park</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Penn National</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Philadelphia Park (Parx)</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Prairie Meadows</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Presque Isle</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>South America Racing (International)</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Suffolk Downs</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Sunland Park</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Sunray Park</td>
<td>Full or Partial Cards</td>
</tr>
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<td>Tampa Bay</td>
<td>Full or Partial Cards</td>
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<tr>
<td>Thistledown</td>
<td>Full or Partial Cards</td>
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<td>Turfway Park</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Turf Paradise</td>
<td>Full or Partial Cards</td>
</tr>
<tr>
<td>Woodbine (International)</td>
<td>Full or Partial Cards</td>
</tr>
</tbody>
</table>

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:

   Attached

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:

   Attached

G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: See 11D above. Full/partial card TBD
### Southern California Locations:

<table>
<thead>
<tr>
<th>Location</th>
<th>Address</th>
<th>City</th>
<th>Location</th>
<th>Address</th>
<th>City</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bakersfield-Firehouse</td>
<td>7701 White Lane</td>
<td>Bakersfield, CA</td>
<td>OC Tavern Sports Bar and Grill</td>
<td>2369 S. El Camino Real</td>
<td>San Clemente, CA</td>
</tr>
<tr>
<td>Bonita-58 Flat</td>
<td>4110 Bonita Road</td>
<td>Bonita, CA</td>
<td>Original Roadhouse Grill / Santa Maria</td>
<td>1423 S. Bradley Road</td>
<td>Santa Maria, CA</td>
</tr>
<tr>
<td>Cabazon-Fantasy Springs Casino</td>
<td>84-245 Indio Springs Drive</td>
<td>Indio, CA</td>
<td>Sammy's Original</td>
<td>23221 Lake Center Drive</td>
<td>Lake Forest, CA</td>
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<tr>
<td>Commerce Casino Racebook</td>
<td>6131 East Telegraph Road</td>
<td>Commerce, CA</td>
<td>Santa Anita</td>
<td>285 W. Huntington Drive</td>
<td>Arcadia, CA</td>
</tr>
<tr>
<td>Fairplex Park</td>
<td>2201 North White Avenue</td>
<td>Pomona, CA</td>
<td>Santa Clarita</td>
<td>21615 W. Soledad Canyon Road</td>
<td>Saugus, CA</td>
</tr>
<tr>
<td>Hollywood Park</td>
<td>3383 W. Century Blvd.</td>
<td>Inglewood, CA</td>
<td>Striders</td>
<td>100 Harbor Drive, Suite 100</td>
<td>San Diego, CA</td>
</tr>
<tr>
<td>Lake Elsinore Hotel &amp; Casino</td>
<td>20930 Malaga Road</td>
<td>Lake Elsinore, CA</td>
<td>Surfside Race Place at Del Mar</td>
<td>2260 Jimmy Durante Blvd</td>
<td>Del Mar, CA</td>
</tr>
<tr>
<td>Lancaster-Watch and Wager, Antelope Valley Fair</td>
<td>2651 West Avenue - H</td>
<td>Lancaster, CA</td>
<td>Ventura-The Derby Club</td>
<td>10 West Harbor Blvd.</td>
<td>Ventura, CA</td>
</tr>
<tr>
<td>Los Alamitos</td>
<td>4961 Katella Avenue</td>
<td>Los Alamitos, CA</td>
<td>Victorville-San Bernardino Fair Sports Pavilion</td>
<td>14800 7th Street</td>
<td>Victorville, CA</td>
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<tr>
<td>National Orange Show-Sports Show</td>
<td>930 South Arrowhead Avenue</td>
<td>San Bernardino, CA</td>
<td>Viejas Casino &amp; Turf Club</td>
<td>5000 Willows Road</td>
<td>Alpine, CA</td>
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<tr>
<td>Ocean's 11</td>
<td>121 Brooks Street</td>
<td>Oceanside, CA</td>
<td>Pechanga Resort and Casino</td>
<td>45000 Pechanga Pkwy</td>
<td>Temecula, CA</td>
</tr>
<tr>
<td>Location</td>
<td>Address</td>
<td>City</td>
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<td>Address</td>
<td>City</td>
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<tr>
<td>Club One-Fresno</td>
<td>1033 Van Ness Avenue</td>
<td>Fresno, CA 93721</td>
<td>San Jose-Santa Clara Fair</td>
<td>344 Tully Road</td>
<td>San Jose, CA 95111</td>
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<tr>
<td>Fresno-The Big Fresno Fair</td>
<td>1121 Chance Avenue</td>
<td>Fresno, CA 93702</td>
<td>San Mateo-The Jockey Club</td>
<td>2495 S. Delaware Street</td>
<td>San Mateo, CA 94403</td>
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<tr>
<td>Golden Gate Fields</td>
<td>1100 Eastshore Highway</td>
<td>Albany, CA 94710</td>
<td>Santa Rosa-Sonoma County Fair</td>
<td>1350 Bennett Valley Road</td>
<td>Santa Rosa, CA 95404</td>
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<tr>
<td>Monterey-Monterey County Fair</td>
<td>2004 Fairgrounds Road</td>
<td>Monterey, CA 93940</td>
<td>Stockton-San Joaquin County Fair</td>
<td>1658 South Airport Way</td>
<td>Stockton, CA 95206</td>
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<tr>
<td>Pleasanton-Alameda County Fair</td>
<td>4601 Pleasanton Avenue</td>
<td>Pleasanton, CA 94566</td>
<td>Vallejo-Solano County Fair</td>
<td>900 Fairgrounds Drive</td>
<td>Vallejo, CA 94589</td>
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<tr>
<td>Sacramento-California State Fair</td>
<td>1600 Exposition Blvd</td>
<td>Sacramento, CA 95815</td>
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## 2018 Golden Gate Locations

<table>
<thead>
<tr>
<th>AmWest Entertainment</th>
<th>Dover Downs</th>
<th>Maronas (South America)</th>
<th>Saratoga Harness Raceway</th>
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<tbody>
<tr>
<td>AmWest Accounts</td>
<td>Dubuque Greyhound</td>
<td>Maryland Jockey Club</td>
<td>Saratoga Bets (ADW)</td>
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<tr>
<td>Greenbrier (WV)</td>
<td>Elite Turf Club (1 to 12)</td>
<td>Maywood</td>
<td>Scarborough</td>
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<td>Riders Up (SD)</td>
<td>Ellis Park</td>
<td>Meadowslands</td>
<td>Sicot Downs</td>
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<td>Tline Out Lounge (SD)</td>
<td>Emerald Downs</td>
<td>Meadows The</td>
<td>Seabrook Greyhound</td>
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<td>Triple Crown (SD)</td>
<td>Evangeline Downs</td>
<td>Meadows The (ADW) - PA regional</td>
<td>Social Gaming</td>
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<td>Avapahoe-Mile High</td>
<td>Evangeline Downs AWA</td>
<td>Millers OTB</td>
<td>Sol Mueltel</td>
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<td>Arima Race Club</td>
<td>Fair Grounds</td>
<td>Mobile Greyhound</td>
<td>Southland Greyhound</td>
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<td>Arlington I</td>
<td>Fair Meadows</td>
<td>Monmouth Park</td>
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<td>Atlantic City Racecourse</td>
<td>Finger Lakes</td>
<td>Monticello</td>
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<td>Favorites at Gloucester</td>
<td>Mountaineer Park</td>
<td>Suffolk District OTB</td>
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<td>Balmoral ADW (BETZOTC)</td>
<td>Finger Lakes</td>
<td>Mt. Pleasant Meadows</td>
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<td>Bataavia</td>
<td>Frontier</td>
<td>New Jersey Casino Assc.</td>
<td>Sunfair Park &amp; Casino</td>
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<td>Freehold</td>
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<td>Birmingham</td>
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<td>The Downs at Albuquerque</td>
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<td>NYRA Account Wagering</td>
<td>Turfway Park</td>
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<td>TwinSpires</td>
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<td>Columbus Raceway</td>
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<td>Velocity Wagering Ltd.</td>
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<td>Vernon Downs</td>
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<td>Western OTB</td>
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<td>Pocono Downs and OTB</td>
<td>Wheeling Downs</td>
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<td>Will Rogers Downs</td>
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<td>Presque Isle Downs</td>
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<td>Raceway Park</td>
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<td>Youbet Group 1</td>
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<td>Divi Casa Bay Casino</td>
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<td>Racing2Day Int. (Stan James)</td>
<td>123Gaming</td>
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<td>Camouflage Gaming</td>
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<td>Separate Pool Locations</td>
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<td>Camarero (Puerto Rico)</td>
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<td>Delaware</td>
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<td>Reptile</td>
<td>Caymanas (Jamaica)</td>
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<td>Rillito Park</td>
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<td>Reference Locations</td>
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<td>River Downs</td>
<td>NDS Books (Nebraska)</td>
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<td>Alberta Downs, Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboyant Downs, Fraser Downs, Fort Erie, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hialeah, Hippodrome de Quebec, Inverness Raceway, Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picco Downs, Quinte Raceway, Rideau Carlton, Rocky Mountain Turf Club, Royal Britannia Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters, Truro Raceway, Western Fair, Woodbine,</td>
<td>Global Wagering Solutions</td>
<td>Tabcorp (Australia)</td>
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<td>Canadian Locations</td>
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<td>Rockingham Park</td>
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<td>New Zealand Racing Board</td>
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<td></td>
<td>Global Wagering Solutions</td>
<td>Ruidoso Downs</td>
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</tr>
</tbody>
</table>
NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track   Race Dates   Full Card or Selected Feature and/or Stakes Races

H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track   Race Dates   Full Card or Selected Feature and/or Stakes Races

Los Alamitos Per CHRB calendar Full Card

I. For STANDARDBRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track   Race Dates   Full Card or Selected Feature and/or Stakes Races

Cal Expo Harness Per CHRB calendar Full Card

J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track   Breed of Horse   Race Dates   Number of Races to be Imported

None:

K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)
13. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution

B. Names and addresses of the trustees or directors of the distributing agent: Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association’s race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Racing officials nominated:

Association Veterinarian(s) Sara Sporer, D.V.M (Track Veterinarian)
Forrest Franklin, D.V.M. (CHRB Veterinarian)
Diane Isbell, D.V.M. (Examining Veterinarian)

Clerk of Scales Ken Sjordal
Assistant Clerk of Scales Ross Allardyce
Clerk of the Course Tina Walker-Bryant
Placing Judge/Stakes Coordinator Lisa Jones
Horse Identifier Darrell Sparks
Horseshoe Inspector Agustin Rayas
Paddock Judge Linda Anderson
Patrol Judge/Steward’s Aide Tammy McDuffie-Morris
Placing Judge/Film Analyst Morgann Schlesser
Patrol Judge/Placing Judge John Leon
Starter Todd Stephens
Timer Jelina Pike

B. Management officials in the racing department:

Director of Racing Patrick Mackey
Racing Secretary Patrick Mackey
Assistant Racing Secretary Steve Martinelli & Linda Anderson
Paymaster of Purses Austin Rainey
Others (identify by name and title) Juan Meza
Main Track Superintendent Bob Hemmer
Turf Track Superintendent Steve Martinelli
Price Maker Carrie Fawcett
Stable Superintendent Carrie Fawcett
Steward’s Secretary/Horsemen Liaison Marci Knight
C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Christine Niccoli
Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp., USA, Bill O’Brien, Expires: December 31, 2019.

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communications, Inc., Jim Porep, Jr., System maintained in house, broadcasting in High Definition (HD) on March 17, 2016; Equipment contract expires June 2021.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Electronic timer is installed and maintained by on-site staff

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.
Marciano “Rocky” Alado, Public Safety Director is responsible for security on-site
Security Department organizational attached
Security Department phone number (510) 559-7370
Stable Security phone number (510) 542-4235 or (510) 559-7525
Marciano “Rocky” Alado cell phone number (510) 499-9364

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 34
1 – Public Safety Director 7 – Security Corporals/Guards
2 – Security Lieutenant 8 – Stable Security Officers
4 – Security Sergeants 5 – Stable Gate Personnel
1 – Stable Security Sergeant 5 – Fire Guards
1 – Fire Guard Lieutenant

1. Attach a written plan for enhanced security for graded stakes races, and races of $100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls. Attached

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.
6 hour surveillance prior to race in Graded Stakes with purses of $100,000 or over
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders

B. Number of security guards in the detention stall area during a 24-hour period.
Four Security Guards
Attachment to PRA / Golden Gate Fields License Application 14 (E):

Track Camera Equipment:

There are a total of ten (10) high definition (HD) cameras placed at the following strategic locations around the race track:

7/8 Pole
3/8 Pole
1/4 Pole
Paddock
Paddock (Gliding)
(2) Pan Cameras on Roof
Jib camera in Paddock
Starting Gate (Robo)
Parking Lot (Robo-scenic)
PACIFIC RACING ASSN / GOLDEN GATE FIELDS SECURITY DEPARTMENT ORGANIZATIONAL CHART ATTACHMENT 15A

Calvin Rainey
Vice President & General Manager

Bob Hemmer
Director of Operations

Marciano Alado
Public Safety Director (1)

Administrative Assistant

Lt. of Security (2)

Lt. of Fire Guards (1)

Grandstand Sgt. Of Security (4)

Stable Security Sgt. (1)

Fire Guards (5)

Grandstand Security Officers (7)

Stable Security Officers (8)

Stable Gate Personnel (5)
Attachment to PRA / Golden Gate Fields License Application
15B (1) and 15B (2):

SECURITY CONTROLS

Graded Stakes and Races of $100,000 or more

The following procedures have been put in place at Los Angeles Turf Club / Golden Gate Fields to ensure that proper security surveillance guidelines for races of $100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to Marciano “Rocky” Alado, Public Safety Director which includes the following information:
  1. Name of horse
  2. Name of trainer
  3. Barn number
  4. Stall number

- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
  A. An overview of the race track operating procedures
  B. A briefing of their duties throughout the day
  C. All guards are asked to sign out for their specific video camera
  D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
  E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
  F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
  G. Guards are instructed to position themselves as close to the horse’s stall without jeopardizing safety.
  H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
  I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day’s surveillance activities.

- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage for stakes races of $100,000 or more.
C. Describe number and location of surveillance cameras in detention stall area.
Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place

3. TCO2 Testing:

   A. Number of races to be tested, and number of horses entered in each race to be tested. Will follow CHRB staff directives

   B. Plan for enhanced surveillance for trainers with high-test results. Contractual obligations with TOC, CTT, and CHRB directives will be followed

   C. Plan for detention stalls for repeat offenders. In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB

   D. Number of security personnel assigned to the TCO2 program. As needed per the advice of CHRB staff

C. Describe the electronic security system:

   1. Location and number of video surveillance cameras for the detention stall and stable gate. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities at the Main Stable Gate and in the barn area, one (1) camera located on roof of Stable Security Office looking out into barn area, one (1) camera placed at the CTT office to monitor Stable Gate entrance, one (1) camera placed to monitor the Stable Gate exit; one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, one (1) 360° fish-eye in the Receiving Barn, and three (3) cameras installed at the horsemen walk-in gate providing 24/7 surveillance.

   D. For night racing associations. Describe emergency lighting system: Not applicable

16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546, (510) 581-8470

   1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff
Attachment to Golden Gate Fields License Application

Detention Barn Surveillance:

Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits along with a camera monitoring each stall.

The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.

Stable Gate Surveillance:

Cameras are strategically placed to monitor all activities at the Main Stable Gate and the Horsemen Walk-In Gate.
August 16, 2017

California Horse Racing Board
1010 Hurley Blvd., Suite 300
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2018

To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,

Brad Winding, Owner
Turf Rescue, LLC
B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service(s) listed in 16.B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
   N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: Attached

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: If quarter horse racing association sees D.1: Dr. David Seftel
   Office Number: 510-559-7375, Cell Number: 650-520-6204

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): N/A

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center (Highland Hospital Trauma Center), 1411 East 31st Street, Oakland, CA 94602 (510) 437-4865

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey: Attached

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Roy Roenbeck, Director of Environmental, Health & Safety
   Bob Hemmer, Director of Operations serves as Assistant Safety Manager

H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
   Albany fire clearance letter attached valid through December 31, 2017
   Berkeley fire clearance letter attached valid through May 28, 2018

I. Name of the workers’ compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Liberty Mutual Fire Insurance Co. WA2-B7D-170912-017 (policy no.)

J. Attach a Certificate of Insurance for workers’ compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers’ compensation.
   Workers’ Compensation COI attached valid through June 30, 2018 – Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency
Description of GGF Medical Clinic (Live Racing)

Services (Mission)
- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

Staffing
- Physician Medical Director ("Track Physician").

Equipment
- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

Availability
- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.
EMERGENCY MEDICAL PROCEDURES
-LIVE RACING-
FOR ON-TRACK INJURY TO JOCKEYS

“FIRST RESPONSE”
- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 (or the accident may very well be witnessed directly by the Track Physician);
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.

“ON-SITE EVALUATION”
- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance (a Fire Dept. truck shall also respond).

“INJURY TREATMENT”
- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance (accompanied by the Track Physician) at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.

“POST INJURY ACTIVITIES”
- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

(REV 2.0, OCTOBER 2011)
**PROCEDIMIENTOS MÉDICOS DE EMERGENCIA**

**-DURANTE LAS CARRERAS-**

**PARA HERIDAS A JINETES EN LA PISTA**

### “PRIMEROS AUXILIOS”
- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por “jinete caído”) a través del canal de radio n.º 7 (o tal vez el médico de la pista presencie el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una “evaluación en el lugar” de las heridas del jinete.

### “EVALUACIÓN EN EL LUGAR”
- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el “911” para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).

### “TRATAMIENTO DE HERIDAS”
- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (acompañado por el médico de la pista) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.

### “ACTIVIDADES POSTERIORES A LAS HERIDAS”
- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
  Con el asesoramiento del médico de la pista, la gerencia debe completar un “Informe de investigación de accidentes/heridas” luego de que se completen los procedimientos que se apuntaron anteriormente.

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Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.

*(REV 2.0, OCTUBRE 2011)*
EMERGENCY MEDICAL PROCEDURES
-MORNING TRAINING-
FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

"FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.

"ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance (a Fire Dept. truck shall also respond).

"INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
  - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.

"POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.

(REV 2.0, OCTOBER 2011)
PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO- PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

"PRIMEROS AUXILIOS"
- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.° 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.

"EVALUACIÓN EN EL LUGAR"
- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido;
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).

"TRATAMIENTO DE HERIDAS"
- Si se trata un jinete herido en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
  - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (único el días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany;
  - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.

"ACTIVIDADES POSTERIORES A LAS HERIDAS"
- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de Jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completan los procedimientos que se apuntaron anteriormente.

Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se deben demorar todos los entrenamientos matutinos hasta que una ambulancia de la ciudad o otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.

(REV 2.0, OCTUBRE 2011)
Inspectors from the Fire Prevention Office of the Albany Fire Department conducted a Fire and Life Safety Inspection on January 3, 2017. The purpose of this inspection was for determination the facility is prepared for public attendance in the upcoming racing season as well as ensuring a safe working environment for GGF employees. Most areas of the facility within the jurisdiction of the City of Albany were inspected with the following exceptions: the GGF Maintenance/Corr Yard; Track Equipment Operators break shack; track Steward’s observation towers; AND several locked rooms within the Grand Stand and Office structure in which the GGF Fire Watch has no keyed access (these were rooms with unapproved lock changes and/or offices requiring high level security)

RECOMMENDATION: Do not allow anyone to change locks or add padlocks without permission from Safety Director; ensure Fire Watch and Security Personnel are equipped with keyed access to every space on the premises. AFD Fire Inspectors will be returning for follow up inspection when the facility is in operation to observe patron behaviors during business hours. Entry to locked areas will be checked at this time utilizing Fire Watch or Security personnel.

During the inspection, it became evident there is confusion with the inventory and locations of exit and egress lighting. Accountability issues and non-operational exit signs/emergency lighting were judged excessive during the January 3rd Inspection, especially since this inspection was planned (not a surprise visit.) Additionally, it was noted that there are approximately two dozen exit signs that are not battery backed up. These units are 110-115v ac only. It is important to note that GGF employs three backup generators. These generators are utilized for the uninterrupted operation of the facility characterized as entertainment with food and beverage service together with the various gambling services associated with horse racing activities, all of which rely on electricity. In the event of a fire, the circuits that supply energy to exit signs and/or egress lighting units have the potential for failure due to heat and/or direct flame impingement, backup generators cannot ensure their operation. Only battery backed-up units, by design, will guarantee uninterrupted service.

RECOMMENDATION: We suggest employing an identification system where each device is labeled with a unique number together with a listed location. During servicing and testing, it would be easy to affix an adhesive tag to the unit and by providing different colors to the tags for each level of service or date of service, immediate recognition of which devices lack needed attention would be evident. Additionally, begin a program of replacing exit signs that do not contain battery backup with styles that do include batteries and perhaps consider standardizing with styles that are combination exit and egress lights.

Golden Gate Fields is over 75 years old and occupies approximately 140 acres. The grandstand and associated decks can easily accommodate upwards of 15 thousand patrons on a dally basis. That said, the facility is well maintained and has a history of safe operation. The administrative staff runs efficiently and has outstanding rapport with the various City of Albany Departments. Communication between GGF and the Albany Fire Department has a long history of excellent cooperation.
Attached you will find a partial list of inspectors findings from the January 3rd inspection. It is incomplete due to several areas our crew could not gain access to during our tour of the facility. Fire Clearance is hereby granted to The Pacific Racing Association/Golden Gate Fields for a term ending on December 31, 2017. This Clearance is conditional based on the following items: It is expected that maintenance staff with GGF will be actively involved with testing and upgrading the deficiencies identified with exiting and egress lighting systems; correction of the lack of access to several spaces noted in the attached report and vigilance with prevention of future access issues; Albany Fire Department Inspectors will continue to periodically inspect areas of the facility not yet observed for this period of operation with any findings reported to the Safety Director for correction.

Feel free to contact the Fire Prevention Office for any questions.

James S. Jorgensen
Fire Inspector
Albany Fire Department
May 31, 2017

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
1100 Eastshore Highway  
Berkeley, CA 94710  
Phone (510) 559-7300 (main office)  
Fax (510) 559-7465

Subject: Fire & Life Safety Inspection of Golden Gate Fields in Berkeley, CA

Dear Mr. Roenbeck:

On Monday, April 10, 2017, the City of Berkeley Fire Prevention Division conducted an annual inspection of the horse stables and buildings located on the Berkeley, CA, portion of Golden Gate Fields. Some fire code violations were found. A re-inspection was completed on Thursday, May 25, 2017. Upon re-inspection of the violations, it was found that there were no outstanding violations or corrections needed.

Should you have any questions or concerns regarding the annual inspection, then please contact me at 510-981-5582 or dtieu@cityofberkeley.info.

Thank you for all the hard work you and Camillo Lopez’s security team put into correcting the fire code violations and making the horse stables and associated buildings fire code compliant.

Sincerely,

Dori Tieu  
Fire Prevention Inspector, City of Berkeley Fire Department
This Certificate of Insurance supersedes any certificate previously issued under this number.

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

Certificate Holder:
California Horse Racing Board
1010 Hurley Way
Sacramento, CA 95825
Attn.: Insurance Department

Named Insured and Address:
445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries
455 Magra Drive
Aurora, ON L4G 7A9
Subsidiary/Divisions:
Pacific Racing Association
1100 Eastshore Highway
Albany, CA 94706
and
Pacific Racing Association II
dba Golden Gate Fields
1100 Eastshore Highway
Albany, CA 94706

This certificate is issued regarding:
Evidence of Insurance

<table>
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<tr>
<th>Type(s) of Insurance</th>
<th>Insurer(s)</th>
<th>Policy Number(s)</th>
<th>Effective/Expiry Dates</th>
<th>Sums Insured Or Limits of Liability</th>
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<td>Each Occurrence: USD 2,000,000</td>
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<td>LIBERTY MUTUAL FIRE INSURANCE CO</td>
<td>WA2-B1D-170912-017</td>
<td>Jun 30, 2017 to Jun 30, 2018</td>
<td>Workers' Compensation: Statutory</td>
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Additional Information:
The Commercial General Liability Policy, placement was made by Marsh Commercial Business Center, a Service of Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

Notice of cancellation:
The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

Marsh Canada Limited
120 Bremner Boulevard
Suite 800
Toronto, ON M5J 0A8
Telephone: 1-844-990-2378
Fax: -
Certs.Stronach@marsh.com

By:
Matthew Warnholtz
Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

<table>
<thead>
<tr>
<th>Service</th>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tip Sheets</td>
<td>West Coast Wheelie’s, 4811 Versailles Rd, Lexington, KY 40510</td>
</tr>
<tr>
<td>Armored Car</td>
<td>Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144</td>
</tr>
<tr>
<td>Track Physician</td>
<td>Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546</td>
</tr>
<tr>
<td>Official Program</td>
<td>Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706</td>
</tr>
<tr>
<td>Starting Gate</td>
<td>Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590</td>
</tr>
<tr>
<td>Track Photographer</td>
<td>Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550</td>
</tr>
</tbody>
</table>

Does the association provide its own concessions? Yes [x] No [ ]

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: On file with the Board

B. Promotional/Marketing budget for this race meeting: On file with the Board

C. Number of hosts and hostesses employed for meeting:
   1 Customer Service Representative
   5 Group Sales Representatives

D. Describe facilities set aside for new fans:

   Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance

   Xpressbet Customer Service – An Xpressbet ambassador is open year round with a trained service representative to assist fans in joining a new program “XB Rewards Club.” This new program provides fans with admission discounts and wagering points to be accumulated for designated rewards

   Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service
Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen
   - Added 69 stalls (5 new barns) complete with sprinklers and alarms, 12 tack rooms to barn area, and nine (9) new hay storage sheds
   - Upgraded entire fire alarm system in the barn area
   - Purchased new BLS (basic life support) ambulance for racing and morning training
   - Adding surveillance cameras in the barn area and Receiving Barn
   - Purchased new turf course vacuum and mower to enhance yearly renovations
   - Renovated Barn 63 and Barn 53 in their entirety including carpentry, new roofs, etc.
   - Added storage room to the Recreation Hall for CTT usage
   - Adding AED unit to backside Security office
   - Replaced old tubes on turf course to allow easier movability
   - Ordered new barn area parking lot signage
   - Installed new light pole/lights in Groom’s parking lot
   - Continuation of laundry service for the Jockey’s Room by GGF
   - Added new televisions with upgraded digital signal to the Steward’s booth for viewing the races
   - Electrical repair work completed in barn area
   - Winners Foundation trailer relocated in barn area with new electrical
   - Adhered to the yearly Tapeta® maintenance schedule of 5x per year in January, April, June, September and December 2017
   - Continual roadway, horse paths, and barn repairs

2. Fans
   - Initiation of the new Xpressbet Rewards Club which gives fans admission discounts and opportunity to earn wagering points redeemable for rewards
   - XBTV will broadcast designated Golden Gate Fields large stakes races
   - Heavy GGF Social Media presence in the Bay Area
   - Replaced chair inventory in the Grandstand area with 300 new chairs
   - Adding new stationary HD camera at 6 furlong chute for behind the starting gate shots
   - Pegasus Communication high definition (HD) conversion; on-site and off-site HD broadcast
   - Renovated the Turf Club buffet stand
   - Adding over 90 televisions throughout the facility
   - Jib camera in Paddock will be used for Winners’ Circle, Paddock, crowd, and beauty shots
   - Implemented new GGF television graphics with the rollout of HD broadcast to improve our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, total amount wagered per race, etc.
   - New carpet in designated Turf Club areas
   - New flooring in Grandstand on A Deck and B Deck (mezzanine)
   - Replacement of old highway sign with new LED components for clearer display
- Replaced all Top of the Stretch table top televisions (~150) with flat screen LED TV's
- Replaced all Turf Club table top televisions (~282) with LED TVs
- Supplemented Club House television inventory by adding 12-55" LED TVs
- Adding nine (9) 42" LED televisions to the Club House Season Membership box seat area
- Checked all emergency exit lights throughout facility and replaced lights/batteries for the inoperable ones
- Upgraded the Club House by adding 28 flat screen televisions to enhance fan’s viewing pleasure
- Added four (4) surveillance cameras in Club House 1 and Club House 2
- Continual parking lot and roadway repairs

3. Facilities in the restricted areas Equine Hospital

19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

<table>
<thead>
<tr>
<th>Description</th>
<th>Price</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Admission (general)</td>
<td>$6.00</td>
<td>$6.00 (previously bundled price included free program)</td>
</tr>
<tr>
<td>Admission (club house)</td>
<td>$10.00</td>
<td>$10.00 (previously bundled price included free program)</td>
</tr>
<tr>
<td>Admission (turf club)</td>
<td>$12.00 Weekdays</td>
<td>$12.00 (previously bundled price included free program)</td>
</tr>
<tr>
<td></td>
<td>$15.00 Weekends/Holidays</td>
<td>$15.00 (previously bundled price included free program)</td>
</tr>
<tr>
<td>Reserved seating (general)</td>
<td>N/A</td>
<td></td>
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<tr>
<td>Reserved seating (clubhouse)</td>
<td>$5.00 (Free with TB card)</td>
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<tr>
<td>Parking (general)</td>
<td>$5.00</td>
<td></td>
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<tr>
<td>Parking (preferred)</td>
<td>$10.00</td>
<td></td>
</tr>
<tr>
<td>Parking (valet)</td>
<td>$10.00</td>
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<tr>
<td>Programs (on-track)</td>
<td>$2.50</td>
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<td>$2.50</td>
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**Thoroughbred Club Members**

Bundled Pricing includes free program

<table>
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<tr>
<th>Description</th>
<th>Price</th>
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<tbody>
<tr>
<td>General Admission:</td>
<td>$5.00</td>
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<tr>
<td>1-10 Visits</td>
<td>$5.00</td>
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<tr>
<td>11-20 Visits</td>
<td>$4.00</td>
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<tr>
<td>21-30 Visits</td>
<td>$3.00</td>
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<tr>
<td>31-40 Visits</td>
<td>$2.00</td>
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<tr>
<td>Over 40 Visits</td>
<td>$1.00</td>
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</table>

<table>
<thead>
<tr>
<th>Description</th>
<th>Price</th>
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<tbody>
<tr>
<td>Club House Admission:</td>
<td>$8.00</td>
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<tr>
<td>1-10 Visits</td>
<td>$8.00</td>
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<tr>
<td>11-20 Visits</td>
<td>$7.00</td>
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<tr>
<td>21-30 Visits</td>
<td>$6.00</td>
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<tr>
<td>31-40 Visits</td>
<td>$5.00</td>
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<tr>
<td>Over 40 Visits</td>
<td>$4.00</td>
</tr>
<tr>
<td>Reserved seating</td>
<td>Free</td>
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**Thoroughbred Club Prepaid Admission/Program Packages**

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ $2.00 each
TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each
Horsemen Free
B. Describe any "Season Boxes" and "Turf Club Membership" fees:

<table>
<thead>
<tr>
<th>Membership Type</th>
<th>Fee</th>
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<tbody>
<tr>
<td>Turf Club – Full Season Single Membership</td>
<td>$1,000.00</td>
</tr>
<tr>
<td>Turf Club – Full Season Dual Membership</td>
<td>$2,000.00</td>
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<tr>
<td>Top of the Stretch – Full Season Membership</td>
<td>$500.00</td>
</tr>
<tr>
<td>Club House Box (4 seats, no admission)</td>
<td>$450.00</td>
</tr>
<tr>
<td>Club House Season Pass (Admission only)</td>
<td>$400.00</td>
</tr>
<tr>
<td>Grandstand Season Pass (Admission only)</td>
<td>$200.00</td>
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</tbody>
</table>

C. Describe any "package" plans such as combined parking, admission and program:

None

20. JOCKEYS/DRIVERS’ QUARTERS

A. Check the applicable amenities available in the jockeys/drivers’ quarters:

<table>
<thead>
<tr>
<th>Amenities</th>
<th>Quantity</th>
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<tbody>
<tr>
<td>Corners (lockers and cubicles)</td>
<td>23</td>
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<tr>
<td>Showers</td>
<td></td>
</tr>
<tr>
<td>Steam room, sauna or steam cabinets</td>
<td></td>
</tr>
<tr>
<td>Lounge area</td>
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<tr>
<td>Masseur</td>
<td></td>
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<tr>
<td>Food/beverage service</td>
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<tr>
<td>Certified platform scale</td>
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</tr>
</tbody>
</table>

B. Describe the quarters to be used for female jockeys/drivers: 

Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

CHRB to provide name of CHRB inspector and date of last inspection conducted with Stable Superintendent, Carrie Fawcett

B. Number of rooms used for housing on the backstretch of the racetrack: 140

C. Number of restrooms available on the backstretch of the racetrack: 18 with showers, 7 in office areas

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1 restroom for every 12 people

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5.280 feet.
B. Describe the type of track surface at the facility, including the specific track surface composition:

**Tapeta® Footings Synthetic Track Surface**

C. The percent of cross slope in the straight-aways is: 1.5%
The percent of cross slope in the center of the turns is: 4%

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Main Track:** Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.

**Turf Course:** Inner and outer railings are metal gooseneck by Fontana Safety Rail. Approximate height of the top of the inner railing is 42 inches.

E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Juan Meza

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file

G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of $3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. Not applicable

**23. DECLARATIONS**

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No exceptions

B. Attach each horsemen’s agreement pursuant to CHRB Rule 2044.
   
   TOC agreement forwarded to Board upon receipt
   CTT agreement forwarded to Board upon receipt

C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. Will be forwarded to Board upon receipt
D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). N/A

E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No exceptions

F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days’ notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Joe Morris
Print Name
Sr. Vice President, West Coast Operations
Print Title

Signature

Date 9.27.17
STAFF ANALYSIS
November 16, 2017

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF WATCH AND WAGER LLC AT CAL EXPO DECEMBER 26, 2017 THROUGH MAY 12, 2018.

Watch and Wager.com, LLC (WAW) filed its application to conduct a harness race meeting at Cal Expo December 26, 2017 through May 12, 2018, or 39 days; the same as its 2017 winter race meet. Live racing will commence December 26, 2017 through May 5, 2018. WAW proposes to race a total of approximately 546 races. The average daily purse for the Watch and Wager 2017 meet during the same time period was $47,410. The (estimated) average daily purse for this meet is $47,669.

- The race dates proposed are the dates allocated:

- Racing 2 nights per week, Friday and Saturday, with the exception of racing on Tuesday, December 26, 2017.
- First post 6:10 p.m. Friday, Saturday; and 5:00 p.m. Tuesday.
- WAW will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.
- Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of $100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be
maintained during the period of the meeting that all payments are made. In the event of a dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board effective through September 1, 2018.

- Wagering program will use CHRB and ARCI rules.
  - Early wagering will begin at 10:00 a.m. each live race day.
  - $0.20 Pick n Pool 4 on races 10 through 13. 16% takeout, 90% carryover if no correct tickets with four winners, 10% minor pool payout.
  - $0.50 Pick n Pool 5 starting on the first race. 16% takeout, two tiered payout 75% major pool, 25% minor pool. 75% carryover if no tickets correctly select five winners.
  - $0.50 Pick n Pool 6 on races six through eleven. 70% major pool payout to tickets with six winners. 30% payout to minor pool winners. 70% carryover if no correct tickets with six winners are sold.
  - $.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option 3.
  - $30,000 guaranteed Pick-4 pool Fridays.
  - $40,000 guaranteed Pick-4 pool Saturdays.

- WAW is requesting a takeout reduction for two wagers on its nightly harness racing program. The proposed reduction is being sought for the $0.50 Pick 5, and the $0.20 Pick 4. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the two wagers listed. WAW has cited Business and Professions Code section 19610.8 on which they base their reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the pari-mutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Advance Deposit Wagering (ADW) providers are ODS Technologies, L.P. dba TVG; Twinspires; XpressBet, LLC; Watch and Wager; and NYRA bets.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.
Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.
- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.
- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:
- ODS Technologies, L.P. d/b/a TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet LLC. dba XpressBet.com, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Watch and Wager has submitted all documents required in compliance with Business and Professions Code section 19604.
- NewCo Ventures North America, LLC d/b/a NYRAbets.com has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinspires has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.
- Request Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525, Racing Officials Appointed by the Board.

RECOMMENDATION:

Staff recommends the Board approve the application.
Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT ASSOCIATION

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 700 Larkspur Landing Circle, Suite #199, Larkspur, CA, 94939 Ed Comins, ecomins@watchandwager.com 925-336-4499

B. Breed of horse: TB [ ] QH [ ] H [x]

C. Racetrack name: California Exposition & State Fair (Cal Expo)

D. Attach a certified check payable to the Treasurer of the State of California in the amount of $10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.

License Fee Deposit on File with the Board

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

   Yes [ ] No [x]

If no, attach a surety bond in the amount of one hundred thousand dollars.

Surety bond is on file with the Board

NOTICE TO APPLICANT: No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars ($100,000), or a greater amount, as determined by the Board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the Board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The $100,000 surety bond amount may be increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

A. Inclusive dates allocated for the entire meeting: December 26, 2017 thru May 12, 2018

B. Actual dates racing will be held: December 26, 29, 30, January 5, 6, 12, 13, 19, 20, 26, 27, February 2, 3, 9, 10, 16, 17, 23, 24, March 2, 3, 9, 10, 16, 17, 23, 24, 30, 31, April 6, 7, 13, 14, 20, 21, 27, 28, May 4, 5

C. Total number of days or nights of racing: 39 (Thirty-Nine) Calendar Attached

D. Days or nights of the week races will be held:

   [ ] Wed - Sun  [ ] Tues - Sat  [x] Other (specify): Friday and Saturday evenings

E. Number of days or nights of racing per week: 2 (Two): Friday and Saturday. We are proposing a special Tuesday December 26th program in addition to the Friday/Saturday schedule.

CHRB CERTIFICATION

Application received: 10/10/17
Deposit received: 10/10/17
Reviewed: 10/10/17
Hearing date: 11/16/17
Approved date: 11/16/17
License number:
# 2018 Winter/Spring Meet

39 Racing nights!

## December 2017

<table>
<thead>
<tr>
<th>Sun</th>
<th>Mon</th>
<th>Tue</th>
<th>Wed</th>
<th>Thu</th>
<th>Fri</th>
<th>Sat</th>
</tr>
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</tbody>
</table>

**Friday & Saturday Harness Racing**

*Post time 6:15 pm/pst*

**Special Tuesday Harness Racing**

*Dec. 26 - Post time 5:00 pm/pst*

## January 2018

<table>
<thead>
<tr>
<th>Sun</th>
<th>Mon</th>
<th>Tue</th>
<th>Wed</th>
<th>Thu</th>
<th>Fri</th>
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Watch and Wager LLC, Operator Cal Expo Harness Racing
25 Cadillac Drive, Suite 201 • Sacramento, CA 95825

Website: CalXHarness.com • Email: info@goldenbarracing.com • Phone: 916-800-1395
3. RACING PROGRAM

A. Total number of races: Up to 546

B. Number of races for each day or night: Up to 14 races nightly.

C. Total number of stakes races: 36

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

1. Attach a listing of all stakes races for the past two race meetings. The information provided must be for the same timeframe in which the association is applying. Include the date the stakes races were run, and the added money or guaranteed purse for each. Note the races that were designated for California-bred horses. Attached

2. Identify the stakes races listed under item D. that have been altered, added, or are new for the current race meeting. Provide details regarding any alterations to the identified stakes races. (e.g., changes in the age, sex, eligibility, purse or substantial calendar changes). No Changes

3. Identify the stakes races listed under item D.1. that have been dropped or deleted, and the reasons the stakes were dropped or deleted. None

E. Will provisions be made for owners and trainers to use their own registered colors?
   Yes [X] No

   If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.

F. List all post times for the daily racing program: 6:10 pm every Friday and Saturday. A special 5pm post time is requested for Tuesday December 26th. Post Time Schedule Attached.

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race," pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

4. RACING ASSOCIATION

A. Association is a: [ ] Corporation (complete subsection C) [X] LLC (complete subsection D) [ ] Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

C. CORPORATION

   1. Registered name of the corporation:
   2. State where incorporated:
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<tr>
<th>Date</th>
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<td>Goudreau Final</td>
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<td>Budahn Final</td>
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<td>1/24</td>
<td>Beck Final</td>
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Watch and Wager LLC @ Cal Expo Harness 2017-2018
Overnight Stake Schedule
Overnight Stakes Winter/Spring 2018

Shelly Goudreau  6K A
Dr. Gary Budahn  6K A
Lonnie Beck  5K A
Alan Kirshenbaum  5K A
Jim Grundy  9K A
Marvin Shapiro  8K A
Kirk Breed  10K
Stan Bergstein  10K
Lloyd Arnold  10K
**Cal Expo Harness Racing**

**2018 Winter/Spring Meeting**

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<td>Race 14*</td>
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* if applicable

**Note:** Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: N/A
6. Number of outstanding shares in the corporation:
7. Are the shares listed for public trading? □ Yes □ No
   If yes, on what exchange and how is the stock listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: N/A
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.
   If yes, answer questions 10-17. Yes □ No □
10. Registered name of the corporation:
11. State where incorporated:
12. Registry or file number for the corporation:
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes □ No □
   If yes, on what exchange and how is the stock listed:
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

1. Registered name of the LLC: Watch and Wager.com LLC
2. State where articles of organization are filed: Nevada
3. Registry or file number for the LLC: C20120420-0901
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each:
   Ed Comins, President, Managing Member
5. Are the shares listed for public trading? Yes □ No X
   If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
   European Wagering Services 100 % Shareholder
   A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes □ No □
7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
8. State where articles of organization are filed: Isle of Man, United Kingdom
9. Registry or file number for the LLC: IOM Registration # 0984CC2
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, President, 0 Shares. Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM (London) United Kingdom.
11. Are the shares listed for public trading? Yes [x] No [ ]
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER
1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION *
1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: Attached

   • Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant’s assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
   • Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant’s income, expenses, and profits.
   • Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
   • Statement of Changes in Equity: to include the changes of the applicants’ equity through the reporting period.
   • Statement of Cash Flows: to include a report of the applicant’s cash flow activity, particularly its operating investing and financing activities during the reporting period.
   • Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

*NOTICE TO APPLICANT: The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF
1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- President & Managing Member, Watchandwager.com LLC.

Watchandwager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watchandwager.com LLC the day-to-day operations of the proposed harness meeting. A copy
of that management agreement is on file with the Board.

Christopher Schick, General Manager
Benjamin Kenney, Chief Financial Officer
Kate Phariss, Director of Backstretch Operations
Glen Harris, Mutuel Manager
John Robert Williams, Track Superintendent
Gary Seibel, Announcer/ Simulcast Host
Martin Bridges, Program Director

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney, 25 Cadillac Drive #201, Sacramento, CA 95825. E-Mail: chris@goldenbearracing.com; ben@goldenbearracing.com

5. TAKE OUT PERCENTAGE

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes ☐ No ☐

Wager(s) to be adjusted: ____________ Proposed percentage: ____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen’s organization for the meeting of the thoroughbred association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01, notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the Board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the Board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

2. the handle information for the previous racing association.
7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution: December 26th, 2017 thru May 5th 39 2018 Racing Nights
Prior meet comparison: December 26th, 2016 thru May 6th 2017 39 Racing Nights

1. All races other than stakes:
   Current meet estimate: $1,501,500
   Prior meet actual: $1,491,380

   Average Daily Purse (7 A1 + number of days):
   Current meet estimate: $38,500
   Prior meet actual: $38,241

2. Overnight stakes:
   Current meet estimate: $69,000
   Prior meet actual: $51,420

   Average Daily Purse (7 A2 + number of days):
   Current meet estimate: $1,769
   Prior meet actual: $1,318

3. Non-overnight stakes:
   Current meet estimate: $175,500
   Prior meet actual: $195,000

   Average Daily Purse (7 A3 + number of days):
   Current meet estimate: $4,500
   Prior meet actual: $5,000

4. Total Purses: (7A1+7A2+ 7A3)
   Current meet estimate: $1,746,000
   Prior meet actual: $1,737,800

B. California-bred Stakes Races:

1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
   Current meet estimate: 100%
   Prior meet actual: 100%

   Average Daily Purse (7 B1 + number of days):
   Current meet estimate: 2.56%
C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):
Current meet estimate: $30,000
Prior meet actual: $28,263

D. Payment to each recognized horsemen’s organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen’s Organization: California Harness Horseman’s Association
Current meet estimate: 
Prior meet actual: 
Total $83,100

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A + 7 C + 7 D):
Current meet estimate: $1,859,100
Prior meet actual: $1,848,974

Average Daily Purse (7 E / number of days):
Current meet estimate: $47,669
Prior meet actual: $47,410

F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s)):
Current meet estimate: $1,100,000
Prior meet actual: $1,095,799

Average Daily Purse (7 F / number of days):
Current meet estimate: $28,205
Prior meet actual: $28,097

G. Purse funds to be generated from interstate handle:
Current meet estimate: $242,000
Prior meet actual: $240,461

Average Daily Purse (7 G / number of days):
Current meet estimate: $6,205
Prior meet actual: $6,166

H. Bank and account number for the Paymaster of Purses' purse account: Union Bank...

I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
Disher Accountancy Corporation, 1816 Maryal Dr., Sacramento, Ca. 95864 916 482 4224.

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt,
be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of
the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track
simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses
and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the
association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such
purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own
funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled
thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds
remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding
meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of underpayment of purses which
results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due
to horsemens and breeders and horsemens' organizations, the association may carry forward the surplus amount to its next succeeding
meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of
purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the
form of purses and breeders’ awards to the horse owners and breeders having earned purses or awards during the conduct of the
meeting.

8. STABLE ACCOMMODATIONS
A. Number of usable stalls available for racehorses at the track where the meeting is held: 800
B. Minimum number of stalls believed necessary for the meeting: 625
C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or
approved training centers: N/A
D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained
at each site: N/A
E. Attach each contract or agreement between the association and the person(s) furnishing off-site
stabling accommodations for eligible racehorses that cannot be provided stabling on-site. N/A

Complete subsections F. through H. if the association will request reimbursement for off-site stabling as
provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise,
proceed to section 9.

F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business
and Professions Code section 19535(c): 1020
G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: N/A
H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for
vanning per-horse: N/A

9. EQUINE EMERGENCY SERVICES
A. Name and emergency telephone number of the racing veterinarian onsite during training hours,
workouts and during racing for the association and auxiliary sites: See Attached

1. Attach a schedule listing the dates and times that the racing veterinarian will be available
onsite during training hours, workouts and during racing for the association and auxiliary
sites.
**Watch and Wager LLC**

**Equine Emergency Services**

Attachment to Watch and Wager License Application

Section 9A

<table>
<thead>
<tr>
<th>VETERINARIAN</th>
<th>EMERGENCY CONTACT NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eduardo DeLaCruz, D.V.M.</td>
<td>706-254-0924</td>
</tr>
<tr>
<td>Kathy Jones, D.V.M.</td>
<td>530-666-4019</td>
</tr>
<tr>
<td>Noel Muller, D.V.M.</td>
<td>209-334-1660</td>
</tr>
<tr>
<td>David Valcheck, D.V.M.</td>
<td>916-606-1616</td>
</tr>
</tbody>
</table>

**Schedule**

<table>
<thead>
<tr>
<th>Day</th>
<th>Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sunday</td>
<td>Closed</td>
</tr>
<tr>
<td>Monday</td>
<td>AM only/TBD</td>
</tr>
<tr>
<td>Tuesday</td>
<td>AM only/TBD</td>
</tr>
<tr>
<td>Wednesday</td>
<td>AM only/Eduardo DeLaCruz, D.V.M.</td>
</tr>
<tr>
<td>Thursday</td>
<td>AM only/Eduardo DeLaCruz, D.V.M.</td>
</tr>
<tr>
<td>Friday</td>
<td>AM/TBD</td>
</tr>
<tr>
<td>Saturday</td>
<td>PM/Eduardo DeLaCruz, D.V.M.</td>
</tr>
<tr>
<td></td>
<td>AM/TBD</td>
</tr>
</tbody>
</table>

TBD=rotate from list above
10. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

<table>
<thead>
<tr>
<th>TYPE OF WAGERS</th>
<th>APPLICABLE RULES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Example Race:</td>
<td>$1 E; $1 Double</td>
</tr>
</tbody>
</table>

Race #1

Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

<table>
<thead>
<tr>
<th>Type</th>
<th>Rule Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exacta (1E)</td>
<td>1959</td>
</tr>
<tr>
<td>Daily Double (1DD)</td>
<td>1957</td>
</tr>
<tr>
<td>Trifecta (0.20 Tri)</td>
<td>1979</td>
</tr>
<tr>
<td>Superfecta (.10SF)</td>
<td>1979.1</td>
</tr>
<tr>
<td>Pick-3 (1 PK3)</td>
<td>1977</td>
</tr>
<tr>
<td>Pick-4 (0.20 PNPK4)</td>
<td>1976.9</td>
</tr>
<tr>
<td>Pick-5 (.50 PNPK5)</td>
<td>1976.9</td>
</tr>
<tr>
<td>Pentafecta (.20 Super H5)</td>
<td>ARCI 004-105-X- Option 3</td>
</tr>
<tr>
<td>PN6 (0.50)</td>
<td>1976.9</td>
</tr>
</tbody>
</table>

Race 1: 1E, 1DD, 0.20 Tri, 0.50 PNP5
Race 2: 1E, 0.20 Tri, .10 SF
Race 3: 1E, 0.20 Tri, .10SF
Race 4: 1E, 0.20 Tri, .10SF, 1 P3
Race 5: 1E, 0.20 Tri, .10SF
Race 6: 1E, 0.20 Tri, .50 PN6
Race 7: 1E, 0.20 Tri, .10SF, 1 P3
Race 8: 1E, 0.20 Tri, .10SF
Race 9: 1E, 0.20 Tri, .10SF
Race 10: 1E, 0.20 Tri, .20 PNP4
Race 11: 1E, 0.20 Tri, .20 Super H5
Race 12: 1E, 0.20 Tri, .10 Super, 1P3
Race 13: 1E, 0.20 Tri, .10SF, 1DD
Race 14: 1E, 0.20 Tri, .20Super H5
• $0.20 PNP4 on races 10 thru 13, reduced 16% takeout, 90% carryover if no correct tickets with four winners. 10% minor pool payout.

• $0.50 PN6 on races 6 thru 11. 70% Major pool payout to tickets with six winners. 30% payout to minor pool winners. 70% carryover if no correct tickets with six winners are sold.

• $0.50 PNP5 starting on the first race. Reduced 16% takeout, two tiered payout 75% major pool payout, 25% minor pool. 75% carryover if no tickets correctly select five winners.

• $0.20 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. ARCI-004-105-X-Option3.

B. Identify any wagers noted in 10.A. (the current pari-mutuel wagering program) that were not in the prior year’s pari-mutuel program, or that are not being carried forward from the previous year’s pari-mutuel wagering program. None

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: May 5th 2018

D. List any options requested with regard to exotic wagering: Request for 16% Takeout on Pick-5 (0.50) PNP5

Request for 16% Takeout on Pick-4 (PNP4 0.20)

Request for Friday $30,000 Guaranteed Pick-4 Pool
Request for Saturday $40,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

E. Will "advance" or "early bird" wagering be offered? Yes [X] No [ ]
If yes, when will such wagering begin? 10 am. Specify days and time for "early bird" wagering: All live race days.

F. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Amtote International...Statewide contract, Contract expires Sept 2018.

G. List below the takeout percentage for each type of wager identified in 10.A.:

<table>
<thead>
<tr>
<th>Race #</th>
<th>WPS</th>
<th>E 24.18%</th>
<th>Pick-5</th>
<th>16%</th>
<th>Tri 24.18%</th>
<th>DD 24.18%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Race #1</td>
<td>WPS</td>
<td>17.43%</td>
<td>E 24.18%</td>
<td>Pick-5</td>
<td>16%</td>
<td>Tri 24.18%</td>
</tr>
<tr>
<td>Race #2</td>
<td>WPS</td>
<td>17.43%</td>
<td>E 24.18%</td>
<td>Tri 24.18%</td>
<td>Sup 24.18%</td>
<td></td>
</tr>
<tr>
<td>Race #3</td>
<td>WPS</td>
<td>17.43%</td>
<td>E 24.18%</td>
<td>Tri 24.18%</td>
<td>Sup 24.18%</td>
<td></td>
</tr>
<tr>
<td>Race #4</td>
<td>WPS</td>
<td>17.43%</td>
<td>E 24.18%</td>
<td>Tri 24.18%</td>
<td>Sup 24.18%</td>
<td>P3 24.18%</td>
</tr>
<tr>
<td>Race #5</td>
<td>WPS</td>
<td>17.43%</td>
<td>E 24.18%</td>
<td>Tri 24.18%</td>
<td>Sup 24.18%</td>
<td></td>
</tr>
<tr>
<td>Race #6</td>
<td>WPS</td>
<td>17.43%</td>
<td>E 24.18%</td>
<td>Tri 24.18%</td>
<td>Pick-6 24.18%</td>
<td></td>
</tr>
</tbody>
</table>
Promotional Wager Takeout Reduction Request

Cal Expo 2018 Winter/Spring Meeting

Watch and Wager.com LLC, and the California Horseman’s Association jointly request a reduction in takeout for two nightly wagers on the program. The wagers requested for reduction are the $0.50 Pick-5, and the $0.20 Pick-4 wager. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on the above nightly wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in an amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman’s Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.
July 21, 2017

Mr. Rick Baedeker
Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 28, 2017 and concluding in May of 2018.

Sincerely,

CALIFORNIA HARNESS HORSEMAN'S ASSOCIATION

Jimmy Perez,
Executive Director
8–21
Race #7  WPS 17.43%  E 24.18%  Tri 24.18%  Sup 24.18%  P3 24.18%
Race #8  WPS 17.43%  E 24.18%  Tri 24.18%  Sup 24.18%
Race #9  WPS 17.43%  E 24.18%  Tri 24.18%  Sup 24.18%
Race #10 WPS 17.43%  E 24.18%  Tri 24.18%  P4 16.00%
Race #11 WPS 17.43%  E 24.18%  Tri 24.18%  Super Hi 5 24.18%
Race #12 WPS 17.43%  E 24.18%  Tri 24.18%  Sup 24.18%  P3 24.18%
Race #13 WPS 17.43%  E 24.18%  Tri 24.18%  Super 24.18%  DD 24.18%
Race #14 WPS 17.43  E 24.18%  Tri 24.18%  Super Hi Five 24.18%

11. ADVANCE DEPOSIT WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the association for this race meeting:
   TVG
   Watch and Wager.com
   Twin Spires
   Xpress Bet
   NYRA Bets

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting.

C. Have the contract/agreements been approved by the respective horsemen’s groups?
   Yes [x]  No [ ]

   If yes, attach a copy of the approval.
   If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen’s organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen’s organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

12. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the association to conduct simulcast wagering:
   Southern California Off Track Wagering Inc.

B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
   Scotwinc and Watch and Wager agreement is on file with the Board

C. California simulcast facilities the association proposes to offer its live audiovisual signal: All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.

D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:
   See Attached
July 21, 2017

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825  

Dear Mr. Baedeker:

This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on October 28, 2017 and extends through the final night of racing in May of 2018. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are pleased to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMAN'S ASSOCIATION

Jimmy Perez  
Executive Director
California Harness Horsemen's Association

P.O. Box 254767
Sacramento, CA 95865
(916) 263-7888

July 21, 2017

Mr. Rick Baedeker
Executive Director
California Horse Racing Board

1010 Hurley Way, Suite 300
Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

These approvals shall commence with the scheduled harness meet on October 28, 2017 and extend through the final day of racing in May of 2018. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are pleased to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN’S ASSOCIATION

[Signature]

Jimmy Perez
Executive Director
E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: See Attached

F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: All licensed California mini-satellite sites.

G. For THOROUGHBRED racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

<table>
<thead>
<tr>
<th>THOROUGHBRED SIMULCAST RACES TO BE IMPORTED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Host Track</td>
</tr>
<tr>
<td>---------------------</td>
</tr>
<tr>
<td>Hawthorne</td>
</tr>
<tr>
<td>Northfield Park</td>
</tr>
<tr>
<td>Yonkers Raceway</td>
</tr>
<tr>
<td>Woodbine Harness</td>
</tr>
<tr>
<td>Pocono Downs</td>
</tr>
<tr>
<td>Meadowlands</td>
</tr>
<tr>
<td>Mohawk</td>
</tr>
<tr>
<td>Hoosier</td>
</tr>
</tbody>
</table>

H. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

<table>
<thead>
<tr>
<th>QUARTER HORSE SIMULCAST RACES TO BE IMPORTED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Host Track</td>
</tr>
<tr>
<td>---------------------</td>
</tr>
<tr>
<td>Hawthorne</td>
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<td>Northfield Park</td>
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</tr>
<tr>
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</tr>
<tr>
<td>Meadowlands</td>
</tr>
<tr>
<td>Mohawk</td>
</tr>
<tr>
<td>Hoosier</td>
</tr>
</tbody>
</table>

I. For STANDARDBRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

<table>
<thead>
<tr>
<th>HARNESS SIMULCAST RACES TO BE IMPORTED</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Host Track</td>
</tr>
<tr>
<td>---------------------</td>
</tr>
<tr>
<td>Hawthorne</td>
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</tr>
<tr>
<td>Mohawk</td>
</tr>
<tr>
<td>Hoosier</td>
</tr>
</tbody>
</table>

Under current statute, the association proposes to import 10 (ten) out of state races nightly during live racing nights overlapped with Los Alamitos.

On any live racing night when not overlapped with Los Alamitos, consistent with the statute, association will import 20 harness or quarter horse out of state races.
Watch and Wager LLC @ Cal Expo 2018 Winter/Spring Meet

OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR POOLS WITH CAL EXPO.

American Tab, Oregon
Atlantic City Race Course, New Jersey
Atlantic Paradise Island Casino, Fla

Batavia Downs, New York
Borgata Casino, Atlantic City, NJ
Buffalo Thunder Resort, New Mexico
Capitol District OTB, New York
Catskill Region OTB, New York
Connecticut OTB, Conn.
Downs at Albuquerque, New Mexico
Elite Turf Club
Empire City Bets, New York
ExpressBet, California

Fire Lake Casino, Oklahoma
Foxwoods Casino, Connecticut
Fraser Downs, BC, CAN
Freehold Raceway, New Jersey
Game Play Network, Ca
Global Wagering Inc, ND
Harrington Raceway, Delaware
Harrah’s Philly, Pa
Hastings Park, BC, CAN
Hawthorne Racecourse, Illinois
Hazel Park, Mich
Hippodrome de Montreal, QUE, CAN
Hoosier Park, Indiana
Illinois OTB, Illinois
Indianapolis Downs, Indiana
Las Vegas Dissemination, Nevada
Les Bois Park, Idaho
Lien Games, North Dakota
Lone Star Park, Texas
Maywood Park, Illinois
Maryland Jockey Club, Maryland
Meadowlands Race Track, New Jersey
Megatote LLC, WI
Mesquaki Casino, Iowa
Mohawk Racetrack, ONT, CAN
Nassau Region OTB, New York

New Jersey Casinos, Atlantic City, NJ
Pari-Mutuel Association, Nevada
Newco Ventures... NYRA bets.com
Northfield Park, Ohio
Northlands Park, BC, CAN
Northville Downs, Michigan
Ocean Downs, Maryland
Penn National Gaming, Pennsylvania
Philadelphia Park, Pennsylvania
Plainridge Racecourse, Massachusetts
Pompano Park, Florida
Potawatomi Bingo Casino, Wisconsin
Premiere Gateway
Racing Channel, Oregon
Racing & Gaming Services, St. Kitts
Running Aces, Minnesota
Sam Houston Park, Texas
Saratoga Harness, New York
Scioto Downs, Ohio
Southland Greyhound, Arkansas
Sports Creek Raceway, Michigan
Suffolk Downs, MA
Suffolk Regional OTB, New York
Sunland Park, New Mexico
Television Games Network (TVG)
The Meadows, Pa
Thistledown, Ohio
The Downs at Albuquerque, New Mexico
The Red Mile, Kentucky
Tri-State Greyhound, WV
TwinSpires.com
Watch and Wager.com LLC
Western Fair, ONT, CAN
Western Region OTB, New York
Windsor Raceway, ONT, CAN
Woodbine Entertainment. Group, ONT, CAN
XpressBet Com
Yonkers Raceway, New York
Watch & Wager LLC @ Cal Expo Harness

OUT OF STATE SIMULCAST WAGERING LOCATIONS

2018 Winter/ Spring Meet

American Tab, Oregon
Atlantic City Race Course, New Jersey
Balmoral Park, Illinois
Capitol District OTB, New York
Catskill Region OTB, New York
Connecticut OTB, Connecticut
Downs at Albuquerque, New Mexico
ExpressBet, California
Elite Turf Club,
Fire Lake Casino, Oklahoma
Foxwoods Casino, Connecticut
Fraser Downs, BC, CAN
Freehold Raceway, New Jersey
Game Play Network
Harrah’s Philly, PA
Harrington Raceway, Delaware
Hastings Park, BC, CAN
Hawthorne Racecourse, Illinois
Hazel Park, Mi
Hippodrome de Montreal, QUE, CAN
Hoosier Park, Indiana
Illinois OTB, Illinois
Indianapolis Downs, Indiana
Las Vegas Dissemination, Nevada
Les Bois Park, Idaho
Lien Games LLC
Lone Star Park, Texas
Maywood Park, Illinois
Meadowlands Race Track, New Jersey
Mesquaki Casino, Iowa
Mohawk Racetrack, QNT, CAN
Nassau Region OTB, New York
Nevada Pari-mutuel Association, Nevada
New Jersey Casinos, New Jersey
Northfield Park, Ohio
Northlands Park, BC, CAN
Northville Downs, Michigan
Ocean Downs, Maryland
Penn National Gaming, Pennsylvania
Philadelphia Park, Pennsylvania
Plainridge Racecourse, Massachusetts
Pompano Park, Florida
Premiere Gateway
Racing Channel, Oregon
Racing & Gaming Services, St. Kitts
Rosecroft Raceway, Maryland
Sam Houston Park, Texas
Saratoga Harness, New York
Scioto Downs, Ohio
Southland Greyhound, Arkansas
Sports Creek Raceway, Michigan
Suffolk Downs, MA
Suffolk Regional OTB, New York
Sunland Park, New Mexico
Television Games Network (TVG)
Thistledown, Ohio
The Downs at Albuquerque, New Mexico
The Meadows, PA
The Red Mile, Kentucky
Tri-State Greyhound, WV
TwinSpires.com
Watch and Wager.com LLC, ND
Western Fair, QNT, CAN
Western Region OTB, New York
Windsor Raceway, QNT, CAN
Woodbine Entertainment. Group, QNT, CAN
Yonkers Raceway, New York
XPRESSBet.com
Association requests approval to import the entire Breeders Crown program from Hoosier Park, Saturday October 28th, 2017. This request is consistent with 19596 #1, which allows harness associations racing live that evening to import the entire Breeders Crown program.

J. For all racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported.

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

<table>
<thead>
<tr>
<th>Name of Host Track</th>
<th>Breed of Horse</th>
<th>Race Dates</th>
<th>Number of Races to Be Imported</th>
</tr>
</thead>
</table>

K. For all racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabilizing and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**13. CHARITY RACING DAYS**

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, CA 95821

B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, CA 95821 501 C4 – 68-0031464

C. Dates the association will conduct races as charity racing days OR:

D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes [x]

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).
14. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:
   Association Veterinarian(s): Dr. Leticia O'Hare, DVM
   Clerk of Scales: NA
   Clerk of the Course: Patricia Petersen
   Film Specialist: Plusmic Inc.
   Horse Identifier: Carl Sobey
   Horseshoe Inspector: Larry Goshman
   Paddock Judge: Walter Petersen
   Patrol Judges: Kenneth Fowler
   Placing Judges: Tina Waters
   Starter: Kenneth Fowler
   Timer: Tina Waters

B. Management officials in the racing department:
   Director of Racing: Robin Schadt
   Racing Secretary: Robin Schadt
   Assistant Racing Secretary: Brent Bridges
   Paymaster of Purses: Glen Harris

C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd., Herald, CA, 95638 916-956-3914 breezecsr@att.net

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 10, 2018

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communications: Jim Porep, President. Contract expires May 2018. Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer maintained and owned by Pegasus Communications. Contract Expires May 10, 2018.

15. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263-3000/3120. See attached 2017 security organizational chart.

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18

1. Attach a written plan for enhanced security for graded stakes races, and races of $100,000 or
more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for $100,000 or more.

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.

B. Number of security guards in the detention stall area during a 24-hour period. Four

C. Describe number and location of surveillance cameras in detention stall area. The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

Cal Expo Detention Barn Information: The Cal Expo Detention Barn is a stand alone fully secure 20-stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergencies only. All ingress or egress is documented in the log book.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested. Currently seven races nightly, two horses per race, randomly drawn by the stewards. Association will evaluate for potential increase.

B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.

C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.

D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no more than 2 at any given time.

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate. One surveillance camera and monitor will be located at both the detention barn and Gate 12 main gate.

D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.
Watch and Wager Detention Barn Procedures

2018 Winter/Spring Meeting

The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to be sign in the log book. Veterinarians are allowed in for Salix administration only.

All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.
16. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and during racing: American Medical Response, 1779 Tribute Rd, Sacramento, CA, 95815 916-563-0600

1. Attach a certification from the ambulance service(s) listed in 16.A., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. See Attached

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: N/A

1. Attach a certification from the ambulance service (s) listed in 16.B., certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. N/A

C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: If quarter horse racing association sees D.1 N/A

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 (1.5 miles)

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)

F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey’s room to be used in the event of an on-track injury to a jockey: See Attached

G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d): Ben Kenney, Safety Manager, Kate Pharris, Asst Safety Manager.

H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Attached
July 13, 2017

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. All of our paramedic staff are licensed with the California Emergency Medical Services Authority (EMSA) in addition to being accredited by the LEMSA (local emergency medical services) in the area(s) in which they are practicing. The current status of any Paramedic License can be verified by CA EMSA on their website: http://www.centralregistry.ca.gov/Search.aspx. In addition to annual inspections by the LEMSA, our vehicles are inspected and permitted annually by the CHP. Specific personnel licenses or vehicle permits are available for viewing on request at the local AMR Sacramento office.

Please feel free to contact me if you require further information.

Sincerely,

Daniel Iniguez
Operations Manager
AMR – Sacramento County

1101 Fee Drive, Sacramento, CA 95815
Phone: 916-563-0835  Fax: 916-649-2031
Watch & Wager, LLC @ Cal Expo

FOR ON TRACK INJURY TO DRIVERS

"FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

"ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

"INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room.

CALIFORNIA EXPOSITION & STATE FAIR

P.O. Box 15540 * Sacramento, CA 95843-1540 * State of California * Local Branch, Governor
Watch & Wager.com LLC @ Cal Expo

“PRIMERA RESPUESTA”

- En caso de un accidente, responderá la ambulancia, el poniador, o, el carro de comensar.
- La ambulancia responderá para aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hará una evaluación de acuerdo al accidente.

“EVALUACIÓ AL INSTANTE”

- Basándose al (EMT) Emergencia Médica Responsable. Que es la ambulancia. Ellos Aran
  Una evaluación al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

“ATENCION AL ACSIDENTADO”

- El accidentado será tratado de inmediato por (EMT).
  Si es demasiado grave el accidentado será transportado por ambulancia.
SPECIAL EVENT APPLICATION AND PERMIT

Facility Name: California Exposition & State Fair

Facility Address: 1600 Exposition Blvd

City: Sacramento Zip Code: 95815 County: Sacramento

Facility Representative: Marcia Shell, Assistant General Manager Operations

Phone: (916) 263-3040

E-Mail: mshell@calexo.com

TYPE OF ACTIVITY

☐ FESTIVAL ☐ CONCERT ☐ TRADE SHOW ☐ OTHER Live Horse Racing-Backstretch Operations


Event Date(s): 7/14/17

Estimated Daily Attendance: 450.00

Event Time(s): Start: 06:00 am/pm Finish: 22:30 am/pm


A site and floor plan must be submitted to the Office of the State Fire Marshal for approval 10 days prior to the event. At a minimum the items listed below shall be shown and identified on the plans:

1. Emergency access for fire equipment must be provided.
2. Location of all grandstands, stages, tents, temporary fencing, cooking areas, generators, vendors, etc.
3. Location of all exits, path of travel to exits, and specify all exit and exit aisle widths.
4. Location of tables and chairs and/or chair rows.
5. Location of fire extinguishers.

Final approval of the permit may be subject to a field inspection. Stand-by personnel may be required for the event at the discretion of the Office of the State Fire Marshal.

This event shall be conducted in accordance with the Fire and Life Safety requirements of Title 19, Title 24, and the conditions noted on this permit. Non-compliance with either the code requirements or the noted conditions shall invalidate this permit.

For Dept. Use Only

Comments/Conditions: See the 2017 SFM Annual Report.

PERMIT NOT VALID UNTIL SIGNED BY DEPUTY STATE FIRE MARSHAL

DSFM Signature: [Signature] Print Name: [Name] Date: 7/6/17

Application: ☑ Approved ☐ Denied

SFM File #: 04-84-04-0001

Contacted Local Government: ☐ Department Contact:

Date Notified: ☐ Name & Title:

Phone: ☐
Watch and Wager, LLC

Plan for Backstretch Grooms and Trainers

September 2017 through May 2018

For the duration race horses are housed on the backstretch for the Watch and Wager harness meet at Cal Expo, the following procedures will be implemented.

From September 15, 2017 through May 15, 2018:

1. Grooms will be allowed to live in tack rooms that have been formally converted to efficiency dwelling units – specifically Barns B, C, F, G, J, K, M, Q, T (handicapped accessible), U and Y. Absolutely no one will be allowed to live in tack rooms that have not been converted to efficiency dwelling units.
2. Grooms will be assigned to living units by Director of Backstretch Operations. A list of said grooms and their CHRB license numbers, will be kept on file in the Director’s office.
3. One efficiency dwelling unit with wheelchair access has been designated as a handicapped room. Designated handicapped parking spaces are available directly across from the access doors to this room.
4. No hot plates or other methods of cooking are to be used in the efficiency dwelling units.
5. A Firewatch will patrol the backstretch from 9:00 p.m. to 6:00 a.m.
6. Director of Backstretch Operations and Firewatch will ensure that no one is living anywhere on the backstretch but in the designated efficiency dwelling units.
7. Telephone numbers for the Director of Backstretch Operations and Gate 12 will be posted in the Racing Office building in case of emergency.
8. Fire extinguishers are located in the barn area per the Fire Marshal’s regulations (four in each barn).
9. Evacuation plans written in both English and Spanish are posted on each barn.

Dated: July 6, 2017

Kate Phariss, Director of Backstretch Operations
I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Cypress Insurance Company Policy# WAWC60236

J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall, pursuant to Business and Professions Code section 19481.3, maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the Board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

17. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Does the association provide its own concessions? Yes [ ] No [ X ]

18. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting: See Attached

B. Promotional/Marketing budget for this race meeting: $68,000

Promotional/Marketing budget for prior race meeting: $62,500

C. Number of hosts and hostesses employed for meeting: 2

D. Describe facilities set aside for new fans: none

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen: None at this time
2. Fans: Big Screen TV rental. Upgraded graphics and simulcast presentation.
3. Facilities in the restricted areas: None at this time
CERTIFICATE OF LIABILITY INSURANCE

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFER NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSURING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER: License # 0E67768
IQA Insurance Services
3676 Hopyard Road
Suite 246
Pleasanton, CA 94588

INSURED
WatchandWager.com, LLC
25 Cadillac Drive, Suite 201
Sacramento, CA 95825

INSURER
Cypress Insurance Company (CA)

COVERAGES

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

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A. WORKERS COMPENSATION AND EMPLOYERS LIABILITY

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DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 911, Additional Remarks Schedule, may be attached if more space is required)

RE: Evidence of Coverage

CERTIFICATE HOLDER

California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

© 1988-2016 ACORD CORPORATION. All rights reserved.
New Stable Recruitment: We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to $275,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product. Incentive plan brochure attached.

Improved Simulcast Production. Our on air team including Gary Siebel and newly hired Jenn Bongiorno provide some of the best insight in the industry. Watch and Wage has nationally known Derrick Giwner of DRF Harness doing nightly Pick-5 analysis. We are also pursuing other National analyst talent as contributors. Our goal is to give the betting public as much insight in to our product as possible. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Three percent of harness handle comes from off-track sources.

Guaranteed Pools. We guarantee a $30,000 Pick-4 on Friday, and a $40,000 Pick-4 guarantee on Saturday. This is the second highest guarantee of any harness track in the country.

Website: We have given our website a complete facelift. We continue to offer free live video streaming and free archived replays.

TVG: We are a exclusive partner of the Television Games Network.

Social Media: We have become very active on Facebook and Twitter. We will continue to invest in a continued presence on Social Media platforms.
On Track Handle: We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

Parking/Admission: We will continue the policy of free parking and admission every live racing night.

Concessions: We will continue to work with the Cal Expo master caterer Ovations to provide live fans with food and beverage nightly specials. We have agreed to a $1 night the final Saturday of each month. We plan to promote this final Saturday of each month as the "Best Entertainment Value" in Sacramento.
New Horse Incentive Program*

Two-tiered structure includes higher incentive for horses starting early:

(a) If first start on or before November 4, 2017 (first two-day week)
   - $800 · 1st start
   - $400 · 8th start

(b) If first start after November 4, 2017 and, on or before November 25, 2017
   - $500 · 1st start
   - $250 · 8th start

Returning Horse Incentive Program*

(a) $400 per horse, paid at first start if start is on or before November 4, 2017
(b) $250 per horse, if starts after November 4, 2017 and on or before November 25, 2017

Conditions:
(a) "New horses" must not started at Cal-Expo since 6/1/2016.
(b) Must have at least one (1) race in 2017 for a purse greater than or equal to $1,500 at a track other than Cal-Expo (par-mutuel or non-par-mutuel).
(c) Must not have raced for purse greater than or equal to $15,000 in each of its last five (5) overnight starts.
(d) All payments will be made to the owner of record as of the date the new horse records its first Cal-Expo start.
(e) The 8th start must be made prior to the end of the meet in May of 2018.
(f) An individual trainer and/or owner is entitled to a maximum of 20 "new horse" incentives.

*CHHA Membership: All owners are required to be a current member of the CHHA to receive new or returning horse payment.

For more information please contact us at:
Email: info@goldenbearracing.com • Phone: 916-800-1395
Check out our website: CalXHarness.com
19. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:
   - Admission (general) Free
   - Admission (clubhouse) Free
   - Reserved seating (general) NA
   - Reserved seating (clubhouse) NA
   - Parking (general) Free
   - Parking (preferred) NA
   - Parking (valet) NA
   - Programs (on-track) 4:00
     (off-track) 4.50 Program is a joint Los Alamitos/Cal Expo night program.

B. Describe any "Season Boxes" and "Turf Club Membership" fees: N/A

C. Describe any "package" plans such as combined parking, admission and program: N/A

20. JOCKEYS/DRIVERS' QUARTERS

A. Check the applicable amenities available in the jockeys/drivers' quarters:
   - Corners (lockers and cubicles) How many 46
   - Showers X Steam room, sauna or steam cabinets X
   - Masseur X Food/beverage service
   - Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: Female drivers had a separate room for changing, along with a separate rest room and showering facilities.

21. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.

B. Number of rooms used for housing on the backstretch of the racetrack: 44

C. Number of restrooms available on the backstretch of the racetrack: 8

D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

22. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5280 feet.

B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
C. The percent of cross slope in the straight-aways is: 2%
The percent of cross slope in the center of the turns is: 5-6%

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4'' x 4'' uprights, offset wood 4'' x 4'' supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System. Outer Rail Aluminum.

E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J.R. Williams Track Superintendent

F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file

G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days’ notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of $3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

23. DECLARATIONS

A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions

B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. See Attached

C. Attach an agreement to provide for race-day furosemide administration pursuant to CHRB Rule 1845. Agreement Attached

D. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen’s agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made. Lease agreement between Cal Expo and Watch and Wager which is through May of 2022 is on file with the board.

E. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions

F. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exceptions
NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

24. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

Christopher J. Schick
Print Name

Signature

General Manager
Print Title

Date 10/9/2017
APPLICATION FOR APPROVAL TO CONDUCT ADVANCE DEPOSIT WAGERING OF
GAME PLAY NETWORK, INC. FOR AN OUT-OF-STATE MULTI-JURISDICTIONAL
WAGERING HUB, FOR A PERIOD OF UP TO TWO YEARS.

Game Play Network, Inc. (GPN) filed its application as an out-of-state multi-jurisdictional wagering hub to provide Advance Deposit Wagering (ADW). It is currently licensed through December 31, 2017 as an out-of-state multi-jurisdictional wagering hub, accepting ADW wagers on races conducted outside of California. On November 3, 2017, GPN shared that it expected to have a hub agreement with the Thoroughbred Owners of California (TOC) available following its board meeting on November 10, 2017. They additionally anticipated an extension of its hub agreement with Los Alamitos Quarter Horse Racing Association, Inc. (LAQHRA) expiring July 2018 to be extended to December 2019 and submitted to the Board prior to the Board meeting. At the time of printing of this Board package the TOC hub agreement and LAQHRA hub agreement extension had not been received. CHRB Rule 2072, Approval to Conduct Advance Deposit Wagering by an out-of-state Applicant, allows for a two year license term.

A bond or other form of financial security in the amount of $500,000 is required to be submitted with an application for license to conduct advance deposit wagering. GPN has submitted a $500,000 irrevocable standby letter of credit from East West Bank, which expires December 31, 2018.

This application provides for:

Dates of operation will be conducted January 1, 2018 through December 31, 2019. Schedule varies based on availability of racing content. Call center hours 9:00 a.m. to 6:00 p.m.

• Business and Profession Code section 19604 includes specific provisions that must be met before an ADW provider can accept wagers. These include:

19604 (b)(1) and (2)
No ADW provider may accept wagers on races conducted in California from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.
2. A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
3. The agreement referenced in subparagraph (2) shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made in accordance with the Interstate Horseracing Act (15 U.S.C.
Sec. 3001, et seq.), regardless of the location of the ADW provider, whether in California or otherwise, including, without limitation, any and all requirements contained therein with respect to written consents and required written agreements of horsemen's groups to the terms and conditions of the acceptance of those wagers and any arrangements as to the exclusivity between the host racing association or fair and the ADW provider. For purposes of this subdivision, the substantive provisions of the Interstate Horseracing Act shall be taken into account without regard to whether, by its own terms, that act is applicable to advance deposit wagering on races conducted in California accepted from residents of California.

No ADW provider may accept wagers on races conducted outside of California from a resident of California unless all of the following conditions are met:

1. The ADW provider must be licensed by the Board.

2. There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

19604 (a)(7)

"'Hub agreement' as a written agreement providing for contractual compensation paid with respect to advance deposit wagers placed by California residents on a particular breed of racing conducted outside of California. In the event a hub agreement exceeds a term of two years, then an ADW provider, one or more racing associations or fairs that together conduct no fewer than five weeks of live racing for the breed covered by the hub agreement, and the horsemen's organization responsible for negotiating purse agreements for the breed covered by the hub agreement shall be signatories to the hub agreement. A hub agreement is required for an ADW provider to receive contractual compensation for races conducted outside of California."

19604 (d)(1)(B)

"The board shall not approve an application for an original or renewal license as an ADW provider unless the entity, if requested in writing by a bona fide labor organization no later than ninety days prior to licensing, has entered into a contractual agreement with that labor organization ..."

Game Play has provided the Board with a copy of the following agreements in compliance with Business and Professions Code section 19604:

- Hub agreement between Game Play Network, Inc. and Los Alamitos Quarter Horse Racing Association, Inc. dated as of July 1, 2016 ending June 30, 2018.
- Hub agreement between Game Play Network, Inc. and Watch and Wager dated as of October 6, 2017 ending December 31, 2019.
There are 12 racing venues approved for racing by the California Horse Racing Board: four thoroughbred racing associations, one quarter horse racing association, one harness facility racing and six fairs. Game Play has provided documentation allowing it to accept wagering for only quarter horse and harness racing.

Outstanding items required before wagers can be accepted on races conducted in California from a resident of California:
1. 2018 Contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows GPN to accept wagers.
2. Horsemen approval of ADW agreement.

Outstanding items required before wagers can be accepted on races conducted outside of California from a resident of California:
3. Written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
4. Verification that the signatory parties of the hub agreement with the horsemen’s organization TOC have provided notice to all racing associations and fairs conducting live racing on the same breed covered by the hub agreement pursuant Business and Professions Code section 19604(b)(2)(E).
5. Labor agreement.

The following information will need updating during the proposed licensing period:

2. Letter of Credit (expires December 31, 2018).

RECOMMENDATION:

If the application is considered for approval, staff recommends approval of a two year license contingent upon the submission of outstanding items.
Application is made to the CHRB for approval to conduct Advance Deposit Wagering in accordance with the California Business and Professions (B&P) Code and CHRB Rules and Regulations (Rule) and the provisions of the Interstate Horseracing Act, 15 U.S.C. 3001 to 3007.

NOTICE – By submitting the Application the out-of-state Applicant consents to the jurisdiction of California courts and the application of California law as to all California wagers and operations.

Application must be filed not later than 90 days in advance of the date scheduled to conduct Advance Deposit Wagering and must be accompanied by a bond from a surety company admitted in the state of California or other form of financial security in the amount of $500,000.

1. OUT-OF-STATE MULTI-JURISDICTIONAL WAGERING HUB (out-of-state Hub)

   A. Name, mailing address, telephone and fax numbers:

   Name: Game Play Network, Inc.
   Address: 10866 Wilshire Blvd., Suite 700, Los Angeles CA 90024
   Phone: (503) 350-0231
   Fax: (503) 214-8101

   B. Name, title, license number and racing jurisdiction where licensed for all management personnel:

   Please refer to Exhibit 1B – Attached for license schedules by individual and jurisdiction.

   David Marshall – Chief Executive Officer
   Russell Fine – President
   Joseph Hasson – Chief Operations Officer
   Gary Jacobs – Director
   Gary McAdams – Director
   Kurt Brendlinger – Director

   C. Name, title and mailing address of the California agent for receipt of service of process:

   Russell Fine
   President
   Game Play Network, Inc.
   10866 Wilshire Blvd., Suite 700
   Los Angeles, CA 90024

   D. Attach the contract with the California racing association or fair and the required horsemen’s approval under the Interstate Horseracing Act that permits you to provide Advance Deposit Wagering services and identify the amount of the market access fee to be paid to the California racing association or fair for access to the California market for wagering purposes.

   Included refer to Exhibit 1D – Hub Agreements - Attached
2. **DATES OF OPERATION**

   A. Dates Advance Deposit Wagering will be conducted: **January 1, 2018 through December 31, 2019.**

   B. Hours Advance Deposit Wagering will be conducted: **Schedule varies based on availability of racing content. Call center hours are 9:00 AM to 6:00 PM.**

3. **BUSINESS STRUCTURE**

   A. [X] Corporation (complete subsection B)
      
      [ ] LLC (complete subsection C)
      
      [ ] Other (specify, and complete subsection D)

   Complete the applicable subsection

   B. **CORPORATION**

   1. Registered name of the corporation: **Game Play Network, Inc.**
   
   2. State where incorporated: **Delaware**
   
   3. Registry or file number for the corporation: **0001560325**
   
   4. Name of all officers and directors, titles, and number of shares of the corporation held by each: **Please refer to Exhibit 3B4 – Attached**
   
   5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Only Officers and Directors as reported in Exhibit 3B4 hold 5% or more of the outstanding shares.**
   
   6. Number of outstanding shares in the corporation:
      
      **27,834,348 Common Shares**
      
      **287 Series A Preferred** (each preferred has 13,514 common voting rights)
   
   7. Are the shares listed for public trading?  
      
      [ ] Yes  
      
      [X] No

      If yes, on what exchange and how is the stock listed:

   8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
      
      **Russell Fine - President**
   
   9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **N/A**
   
   10. Attach the most recent annual financial statement for the corporation, including balance sheet
and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

**Please refer to Exhibit 3B10 - Attached**

11. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

**Please refer to Exhibit 3B11 - Attached**

C. LLC

1. Registered name of the LLC:

2. State where articles of organization are filed:

3. Registry or file number for the LLC:

4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:

5. Names (true names) of all members, **other than the officers and directors listed above**, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:

6. Are the shares listed for public trading? □ Yes □ No

If yes, on what exchange and how is the stock listed:

7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

8. Attach the most recent annual financial statement for the LLC, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the corresponding state where you registered your corporation.

9. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

D. OTHER

1. Name(s) of partners/sole proprietor:

2. If a partnership, attach partnership agreement.

3. Attach a business plan to include a detailed budget that shows anticipated revenue, expenditures and cash flow by month projected for the term of the approval.

4. **ESTABLISHING ADVANCE DEPOSIT WAGERING ACCOUNTS** – must comply with Rule 2074.

A. List the procedures to establish an Account: **Please refer to Exhibit 5A, Supplement 1, Sections 1.10 & 1.11 – Attached.**

B. If an application form is used to establish an Account attach a copy of the form.
Please refer to Exhibit 5A, Supplement 1a from the Operating Plan, “GPN Application” – Attached.

C. Name and address of the third party you will use to verify identity, residence and age verification:
   Transunion – 555 W Adams, Chicago, Illinois 60661
   CAMS – 8391 Beverly Blvd., #310, Los Angeles, CA 90048

5. OPERATION OF ADVANCE DEPOSIT WAGERING ACCOUNTS – must comply with Rule 2073.
   A. Submit a copy of your plan for operation.
      Please refer to Exhibit 5A “Operating Plan” - Attached
   B. List the type of deposits you will accept:
      Please refer to Exhibit 5A, Supplement 2, Section 1.2.1 for our list of Accepted Deposit Types
   C. Identify any fees or transaction-related charges and the amount that will be assessed:
      Currently no fees are charged.

6. SECURITY ACCESS
   A. Attach your security access policy and safeguards pursuant to B&P Section 19604 (c) (2). Policy must include the following:
      1. Description of the technology to ensure identity, residence, and age verification when an Account is established:
         Please refer to Exhibit 5A, Section 2.3; &
         Please refer to Exhibit 5A, Supplement 1, Section 1.11
      2. Description of the technology to ensure confidentiality of the Means of Personal Identification:
         Please refer to Exhibit 5A, Section 2.3
      3. Methods and locations available for Account Holders to withdraw funds from their Account:
         Please refer to Exhibit 5A, Supplement 2, Section 1.4.1 for our list of Accepted Withdrawal Types
      4. If the Advance Deposit Wagering records will be maintained at a site other than the out-of-state Hub provide the name, address, telephone and fax numbers and the hours of operation:
         All records will be maintained at our Oregon Operations Office located at, 14725 SW Millikan Way, Beaverton, OR 97006. The customer service toll free number is 844-462-7768. The customer service fax number is (503) 350-0232. The call center office number is (503) 350-0231. Call Center hours are Monday through Sunday 9:00 AM PT to 6:00 PM PT. Holiday hours will apply based on available racing content.
7. PARI-MUTUEL

A. Name, address and telephone number of the pari-mutuel audit firm:

BDO
1888 Century Park East 4th Floor, Los Angeles, CA 90067
(310) 557-0300

B. Type(s) of pari-mutuel or totalizator equipment to be used and the simulcast organization, name of the entity supplying equipment, and expiration date of the service contract:


C. List the locations of the racing venues on which Advance Deposit Wagering will be accepted:

Please refer to Exhibit 7B

NOTICE – The pari-mutuel system used must use a device or combination of devices authorized and operated exclusively for placing, receiving, or otherwise making a wager and by which a person must subscribe to in order to place, receive or otherwise make a wager; an effective customer and age verification system and the appropriate data security standards to prevent unauthorized access by any person who has not subscribed or who is under the age of 18.

8. CONTRACTS AND AGREEMENTS

A. List name and address of all organizations you will contract with to facilitate Advance Deposit Wagering that are not provided in other sections of this application:

Please refer to Exhibit 8A – Attached

B. List each contract or agreement to facilitate Advance Deposit Wagering that is not finalized and signed:

Please refer to Exhibit 8B – Attached

9. ADVERTISING

Name and address of the advertising agency you will use:

Game Play Network, Inc. staff is responsible for marketing & advertising.

NOTICE – Pursuant to Rule 2072 (h) all advertisements shall contain a statement that persons under 18 are not allowed to open or have access to Accounts. All advertisements shall contain contact information for a recognized problem-gambling support organization. Additionally, pursuant to B&P Section 19604 (D) (3) advertisements shall not be deceptive to the public.
10. CERTIFICATION

I hereby certify under penalty of perjury that I have examined this Application, that all of the foregoing statements in this Application are true and correct, and that I am authorized to attest to this Application.

Joseph Hasson
Print Name

COO
Print Title

Signature

8/29/2017
Date
STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB RULE 1467, PAYMASTER OF PURSES, TO INCLUDE TRAINER AND JOCKEY PURSE DEDUCTIONS, FROM ANY THOROUGHBRED RACE CONDUCTED AT A THOROUGHBRED OR FAIR RACE MEETING, TO BE CONTRIBUTED TO THE CALIFORNIA RETIREMENT MANAGEMENT ACCOUNT (CARMA), A CHARITABLE TRUST FUND MAINTAINED BY CARMA, A NON-PROFIT ORGANIZATION.

Regular Board Meeting
November 16, 2017

BACKGROUND

In 2008, the California Horse Racing Board (CHRB or Board) amended Rule 1467, Paymaster of Purses, to require that the paymaster of purses deduct 0.3 percent of the net purse earned by any thoroughbred horse at a thoroughbred race meet or racing fair from the owner's account for deposit into the California Retirement Management Account (CARMA). The amendment also provided an option for owners to opt-out of the CARMA contribution requirement by completing form CHRB-206 (New 09/07) at each race meet where they did not wish to make the contribution.

CARMA is a 501(c)(3) non-profit organization founded in 2007 to raise money for retired racehorses. It is responsible for hosting fundraising events, educating owners and trainers on equine retirement, raising awareness and working to unify the racing industry in support of its equine athletes. Ways in which this is accomplished include facilitating the transition of racehorses off the track through its Placement Program and showcasing the breed’s versatility as equestrian mounts at its Thoroughbred Classic Horse Show. CARMA manages a grant request process and since 2008 has awarded more than 3.2 million dollars to qualified retirement facilities caring for Thoroughbreds.

ANALYSIS

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held, and over all persons or things having to do with the operation of such meetings, is vested in the Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering.

This proposed amendment to Rule 1467, Paymaster of Purses, would add a similar percentage deduction for jockey and trainer purse accounts to be deposited into the California Retirement Management Account. The amendment would also provide jockeys and trainers with the same opt-out option currently available to owners by revising form CHRB-206, Notification of Exclusion of CARMA Contribution. Finally, the proposed amendment would clarify that these
funds are to be distributed directly to the CARMA not-for-profit organization, which is in line with current practices.

RECOMMENDATION

This item is presented for Board discussion and action.
1467. Paymaster of Purses.

(a) The association shall appoint a paymaster of purses who shall maintain records as the association and the Board direct. All records shall be separate from those of the Board and are subject to inspection by the Board at any time. The duties of the paymaster of purses or their assistants shall consist of the following:

1. Maintain records which shall include the name, address, state or country of residence, social security number or federal identification number of each horse owner, trainer, driver, jockey or apprentice jockey participating at the race meeting who has funds due or on deposit in their horsemen's account.

2. Keep jockey and driver accounts, receive their fees and disburse said fees to the proper claimants.

3. Verify that the correct claiming price is on deposit with the association before any claim in a claiming race is accepted as official.

4. Receive and disburse the purses and other awards of each race.

5. Receive all stakes, entrance money, fines, purchase money in claiming races and other monies that properly come into the paymaster's possession.

6. Accept money belonging to another association, provided the money is returned within five working days to that association.

7. Disclose the Cal-bred awards to the respective breed agencies.

8. Accept and file all required statements of partnerships, assignments of interest, lease agreements, and registrations of authorized agents.
(9) Disburse all monies to the entitled individuals, unless otherwise provided in this section, within 30 calendar days after the meet ends.

(10) Estimate escrow accounts and receive, maintain and disburse funds as directed by the Board.

(11) Deduct from the horse owner’s account, and deposit into the account of the horse owner’s trainer, 10 percent of the purse earned on any horse that finishes first, second or third at thoroughbred race meetings. Such payments shall be disbursed to the trainer and will be available at the office of the paymaster of purses no later than seven days after the race was conducted. Any amounts so paid shall be repaid to the paymaster forthwith by the trainer upon any order requiring redistribution.

(12) Deduct from the horse owner’s account, and deposit into the account of the horse owner’s trainer, 10 percent of the net purse earned on any horse that finishes first, second or third at quarter horse meetings. Such payments shall be disbursed to the trainer and will be available at the office of the paymaster of purses no later than seven days after the race was conducted. Any amounts so paid shall be repaid to the paymaster forthwith by the trainer upon any order requiring redistribution.

(b) For purposes of this regulation, “purse earned” or “net purse earned” means all amounts earned except in stakes races in which case “purse earned” or “net purse earned” means all amounts earned less any nomination, entry or starter fees paid by the owner.

(c) For purposes of subsections (a)(11) and (a)(12) above, horse owners may elect not to have 10 percent of the purse earned deducted from their account by filing with the paymaster of purses at each racing association at which the owner wishes it to be in effect, a form CHRB-134 (New 1/02), Notification of Exclusion To Trainer 10% Program, which is hereby incorporated by reference. The form CHRB-134 (New 1/02) is available at the office of the paymaster of purses at any race meeting.
(d) A form CHRB-134 (New 1/02) may be filed with the paymaster of purses at any time during a race meeting, and

(1) Shall apply to all horses owned in whole or in part by the owner,

(2) Shall be binding on all licensed owners with an interest in the horse or horses,

(3) Shall apply to all trainers employed by the owner, and

(4) Shall remain in force until written revocation is submitted to the paymaster at the race meeting at which the form CHRB-134 (New 1/02) was submitted.

(e) In addition to the duties in subsection (a), the paymaster of purses shall deduct from the horse owner’s account 0.3 percent of the net purse earned by any thoroughbred horse at a thoroughbred racing association or Fair meeting, and shall deduct from the jockey’s and trainer’s accounts 0.3 percent of the purse money they earn from any race conducted at a thoroughbred racing association or Fair meeting. Such deductions shall be deposited into the California Retirement Management Account (CARMA), a charitable trust fund maintained by the CARMA not-for-profit organization maintained by the horsemen’s organization representing thoroughbred horse owners (horsemen’s organization), for distribution to California thoroughbred retirement/rehabilitation facilities, which provide livestock care and services to retired thoroughbred horses that competed in thoroughbred races in California.

(1) Thoroughbred horse owners, jockeys, and trainers may elect not to have the 0.3 percent deducted from their net-purse by filing with the paymaster of purses at each racing association for each race meeting at which the owners, jockeys, and trainers wish it to be in effect, a form CHRB-206 (New Rev. 08/1709/07), Notification of Exclusion of CARMA Contribution, which is hereby incorporated by reference. The form CHRB-206 (New Rev. 08/1709/07) is available at the office of the paymaster of purses at any race meeting.

(2) The horsemen’s organization shall distribute CARMA funds shall be distributed at least on an annual basis to retirement/rehabilitation facilities, as determined by the CARMA not-
for-profit organization. Each such retirement/rehabilitation facility shall be:

(A) A nonprofit corporation or organization.

(B) Exempt or entitled to an exemption from federal or state income taxes.

(C) Approved by the Board.

(3) The horsemen’s organization, The CARMA not-for-profit organization, shall file with the Board within 90 days of the close of its fiscal year an audited financial statement of the CARMA trust fund account.

STATE OF CALIFORNIA
NOTIFICATION OF EXCLUSION
OF CARMA CONTRIBUTION
CHRB-206 (NewRev. 08/179/07)

To the Paymaster of Purses at: _____________________ Track

The undersigned hereby notifies the paymaster to not deduct from my owner’s, jockey, or trainer account and deposit into the California Retirement Management Account (CARMA) 0.3 percent of the net purse on any thoroughbred horse in which I have an interest (for owner’s accounts) or 0.3 percent of the purse money I have earned from any thoroughbred race (for jockey’s and trainer’s accounts).

Horse Owner or Stable Name: ____________________________________________

Stable Name: _________________________________________________________

Jockey: ______________________________________________________________

Trainer: ______________________________________________________________

*If licensed as both an owner and a trainer, print name in each row that you wish for the exclusion to apply

Mailing Address:

Street Number _______________________________________________________

City __________________________ State ______ Zip Code __________________

Telephone No.: (____) ______________________________ FAX No.: (____) __________________

List All Racing Partnerships in which you have an interest:

_____________________________________________________________________

_____________________________________________________________________

_____________________________________________________________________

Horsemanship’s Paymaster Account Nos.:____________________________________

The undersigned understands that this notification is effective only at the racing facility indicated above, and applies to all horses and/or purses in which the person or entity indicated above has an interest, until revoked in writing. The undersigned also declares that he/she is authorized to act on behalf of all entities listed above.

Signature of Authorized Licensed Horse Owner, Trainer, or Jockey ______________ Date __________

Print Name ____________________________________________________________

Distribution: Paymaster of Purses; Licensed Horse Owner; Licensed Trainer; Jockey
DO NOT forward a copy to CHRB.
STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING THE PROPOSED AMENDMENT TO CHRB BOARD RULE 1685, EQUIPMENT REQUIREMENT, TO CHANGE “WHIP” TO “RIDING CROP” AND TO REQUIRE THAT RIDING CROPS USED DURING TRAINING MEET THE SAME REGULATORY STANDARDS AS RIDING CROPS USED DURING THE RUNNING OF A RACE AND CHRB RULE 1688, USE OF RIDING CROP, TO REQUIRE THE PROVISIONS OF THE RULE APPLY TO TRAINING AS WELL AS RACING (NOTE: THIS CONCLUDES THE 45-DAY PUBLIC COMMENT PERIOD. THE BOARD MAY ADOPT THE PROPOSAL AS PRESENTED.)

Regular Board Meeting
November 16, 2017

ISSUE

In 2015, the Board amended Rule 1688 to replace the word “whip” with “riding crop.” Whip and riding crop are the same item; however, “riding crop” is more specific, and is the word used by the horse racing industry to describe the tool used to encourage and help maintain control of race horses. Rule 1685 has not been updated to reflect the recent changes made to Rule 1688. Currently, Rule 1688 restricts where on a horse the jockey may use the crop, as well as other prohibitions meant to protect the horse. However, these restrictions only apply to jockeys during the running of a race. No equivalent rules apply to exercise riders or jockeys when the horses are training.

ANALYSIS

The proposed amendment to Rule 1685 would change the text to replace the word “whip” with “riding crop.” “Riding crop” is more specific, and is the word used by the horse racing industry to describe the tool used to encourage and help maintain control of race horses. The proposed amendment to Rule 1685 also amends subsection 1685(b) to require that riding crops used during training meet the same regulatory standards as riding crops used during the running of a race. The amendment to Rule 1685 is necessary to create consistency between Board rules.

The proposed amendment to Rule 1688 would make the existing provisions regarding use of the riding crop (except subsections (b)(2) and (b)(4)) applicable to both jockeys and exercise riders during training. This expanded application of Rule 1688 would therefore prohibit jockeys and exercise riders from using a riding crop during training on any part of the horse except the shoulders and hind quarters; excessively or brutally causing welts or breaks in the skin; persistently even though the horse is showing no response; or more than three times in succession without giving the horse a chance to respond. A new subsection (c) states subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training. Subsections (b)(2) and (4) are exempt because they identify situations that only occur during a race, and not during training.
BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include, but are not limited to, adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19441.2 states in its annual report required under section 19441, the Board shall include recommendations concerning the worker safety impacts of improvements in jockey equipment. Business and Professions Code section 19481 provides that in performing its responsibilities, the Board shall establish safety standards governing equipment for horse and rider. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

The 45-day public comment period for the proposal to amend Rule 1685 and Rule 1688 began September 22, 2017, and ended November 6, 2017. No comments were received during the 45-day period.

RECOMMENDATION

This item is presented for Board discussion and action.
1685. Equipment Requirement.

(a) No bridle shall weigh more than two (2) pounds.

(b) Riding crops Whips allowed for use in flat racing and training shall be unaltered from the original manufacturer; shall have shaft and flap (popper); shall weigh no more than 8 ounces and shall not be more than 30 inches in length.

(1) The minimum diameter of the shaft shall be 0.5 inches, with a smooth, padded contact area that has no protrusions or raised surface.

(c) The only allowed attachment to the shaft is the flap (popper), which shall not extend more than 1 inch beyond the end of the shaft.

(1) The flap (popper) shall have a width of not less than 1 inch, or more than 1.5 inches; shall have a minimum length of 7 inches; and a minimum circumference of 3 inches measured around the width. The flap (popper) shall have no reinforcements or additions beyond the end of the shaft, and no binding within 7 inches of the end of the shaft.

(2) The flap (popper) shall be folded over and sewn down each side. It shall have an inner layer consisting of memory foam, closed cell foam, or a similar shock-absorbing material, and an outer layer that is dark in color and made of a material that does not harden over time.

(d) All riding crops whips are subject to inspection and approval by the stewards.

Authority: Sections 19420 and 19440, Business and Professions Code.

Reference: Sections 19441.2 and 19481, Business and Professions Code.
1688. Use of Riding Crop.

(a) In all races where a jockey will not ride with a riding crop, an announcement shall be made over the public address system of such fact.

(b) Although the use of a riding crop is not required, any jockey or exercise rider who uses a riding crop during a race or training is prohibited from using a riding crop on a horse:

1. on the head, flanks, or on any parts of its body other than the shoulders or hind quarters;
2. during the post parade except when necessary to control the horse;
3. excessively or brutally causing welts or breaks in the skin;
4. when the horse is clearly out of the race or has obtained its maximum placing;
5. persistently even though the horse is showing no response under the riding crop; or
6. more than three times in succession without giving the horse a chance to respond before using the riding crop again.

(c) Subsections (b)(2) and (4) shall not apply to jockeys and exercise riders during training.

(d) Correct uses of the riding crop are:

1. showing horses the riding crop before hitting them;
2. using the riding crop in rhythm with the horse's stride; and
3. using the riding crop as an aid to maintain a horse running straight.

Authority: Sections 19420, 19440 and 19562, Business and Professions Code.

Reference: Sections 19440, 19481 and 19562, Business and Professions Code.
STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING RECONSIDERATION OF THE
2018 NORTHERN CALIFORNIA RACE DATES
DUE TO A REPORTED CHANGE IN THE
CALENDAR FOR THE SONOMA COUNTY FAIR

Regular Board Meeting
November 16, 2017

ISSUE

The Board at its October 26, 2017 Regular Meeting, allocated the 2018 northern California race dates as follows:

**Thoroughbred Meetings**
- Golden Gate Fields  December 26, 2017, through June 12, 2018
- Golden Gate Fields  August 22 through October 2, 2018
- Golden Gate Fields  October 17 through December 18, 2018

**Fair Meetings**
- Pleasanton          June 13 through July 10, 2018
- Cal Expo State Fair July 11 through July 24, 2018
- Santa Rosa          July 25 through August 14, 2018
- Ferndale            August 15 through August 28, 2018
- Fresno              October 3 through October 16, 2018

Subsequent to the Board’s allocation of race dates, the Board of Directors of the Sonoma County Fair (Santa Rosa) voted to operate a two-week fair. As a result, the first week of racing will be run without a concurrent fair, contrary to the Board’s understanding at the October meeting when the 2018 calendar for Northern California was discussed and subsequent action taken. Because of the change in circumstances the Board will reconsider the allocation of those dates.

RECOMMENDATION

This item is presented for discussion and action.
California Horse Racing Board

NOVEMBER 16, 2017
REGULAR BOARD MEETING

There is no board package material for Item 13